



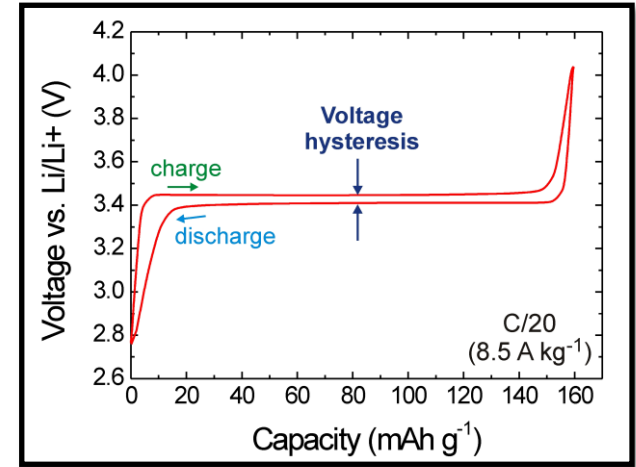
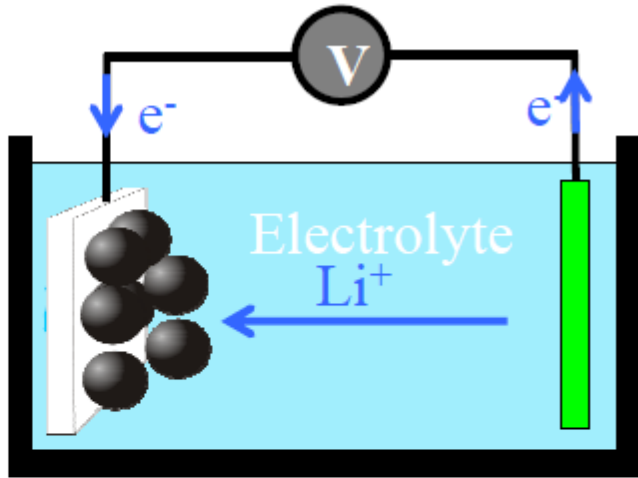
Weierstrass Institute for
Applied Analysis and Stochastics



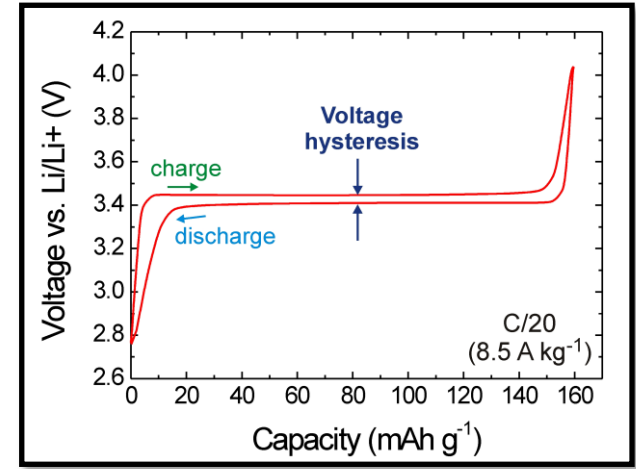
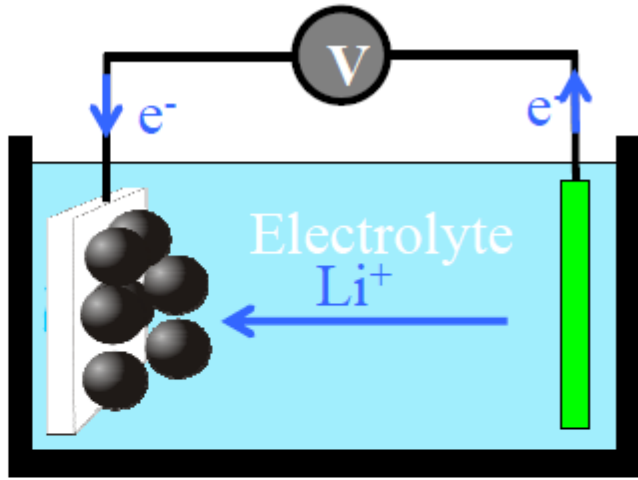
Models of Lithium-Ion Batteries: A Paradigm for Consistent Modeling

Wolfgang Dreyer Clemens Gohlke Manuel Landstorfer Rüdiger Müller

Selected Phenomena in Li-Ion Batteries



Selected Phenomena in Li-Ion Batteries



- Phase transition within particle ensemble
- Ion transport
- Adsorption
- Electron transfer reaction
- Double layers

Electrochemistry



Max Planck
(1858-1947)



Otto Stern
(1888-1969)



Alexander N.
Frumkin
(1895-1976)



David C.
Grahame
(1912-1958)



Walther Nernst
(1864-1941)



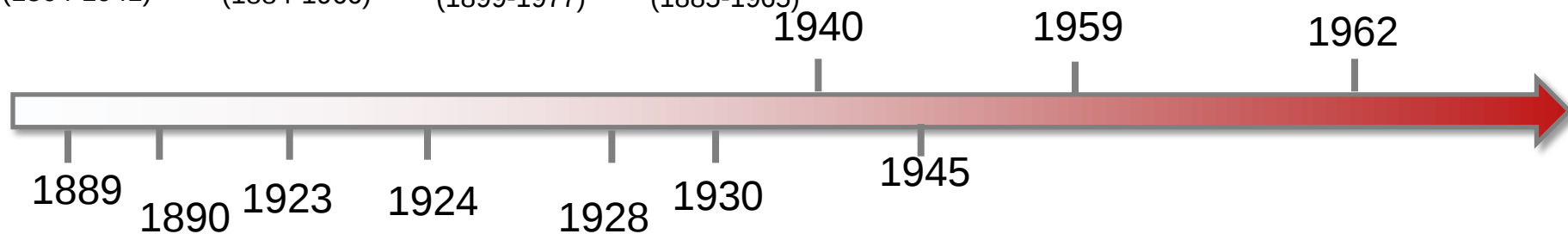
Peter Debye
(1884-1966)



John A.V. Butler
(1899-1977)



Max Volmer
(1885-1965)



Electrochemistry



Max Planck
(1858-1947)



Otto Stern
(1888-1969)



Alexander N.
Frumkin
(1895-1976)



David C.
Grahame
(1912-1958)



Walther Nernst
(1864-1941)



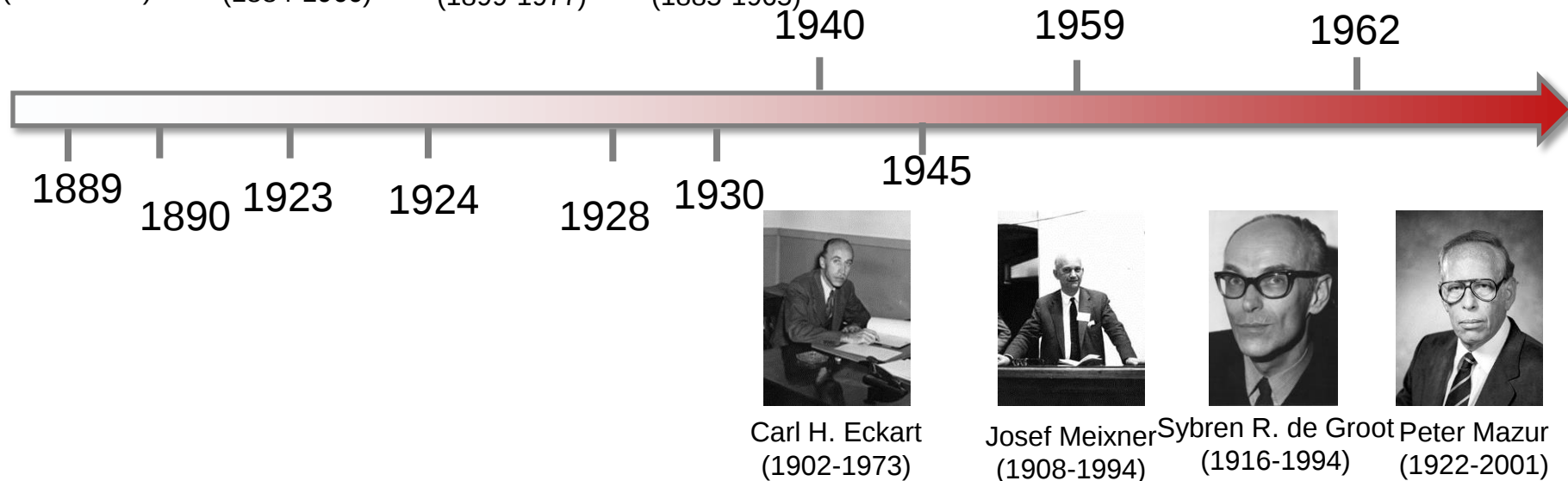
Peter Debye
(1884-1966)



John A.V. Butler
(1899-1977)



Max Volmer
(1885-1965)



Non-Equilibrium Thermodynamics

Electrochemistry



Max Planck
(1858-1947)



Otto Stern
(1888-1969)



Alexander N.
Frumkin
(1895-1976)



David C.
Grahame
(1912-1958)

No separation between
universal laws and
material depending
relations $\Rightarrow$ Models
limited to specific



Walther Nernst
(1864-1941)



Peter Debye
(1884-1966)



John A.V. Butler
(1899-1977)

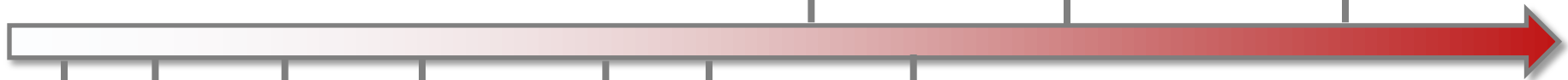


Max Volmer
(1885-1965)

1940

1959

1962



1889

1890

1923

1924

1928

1930

1945

Missing coupling of
electro- and
thermodynamics for surfaces



Carl H. Eckart
(1902-1973)



Josef Meixner
(1908-1994)

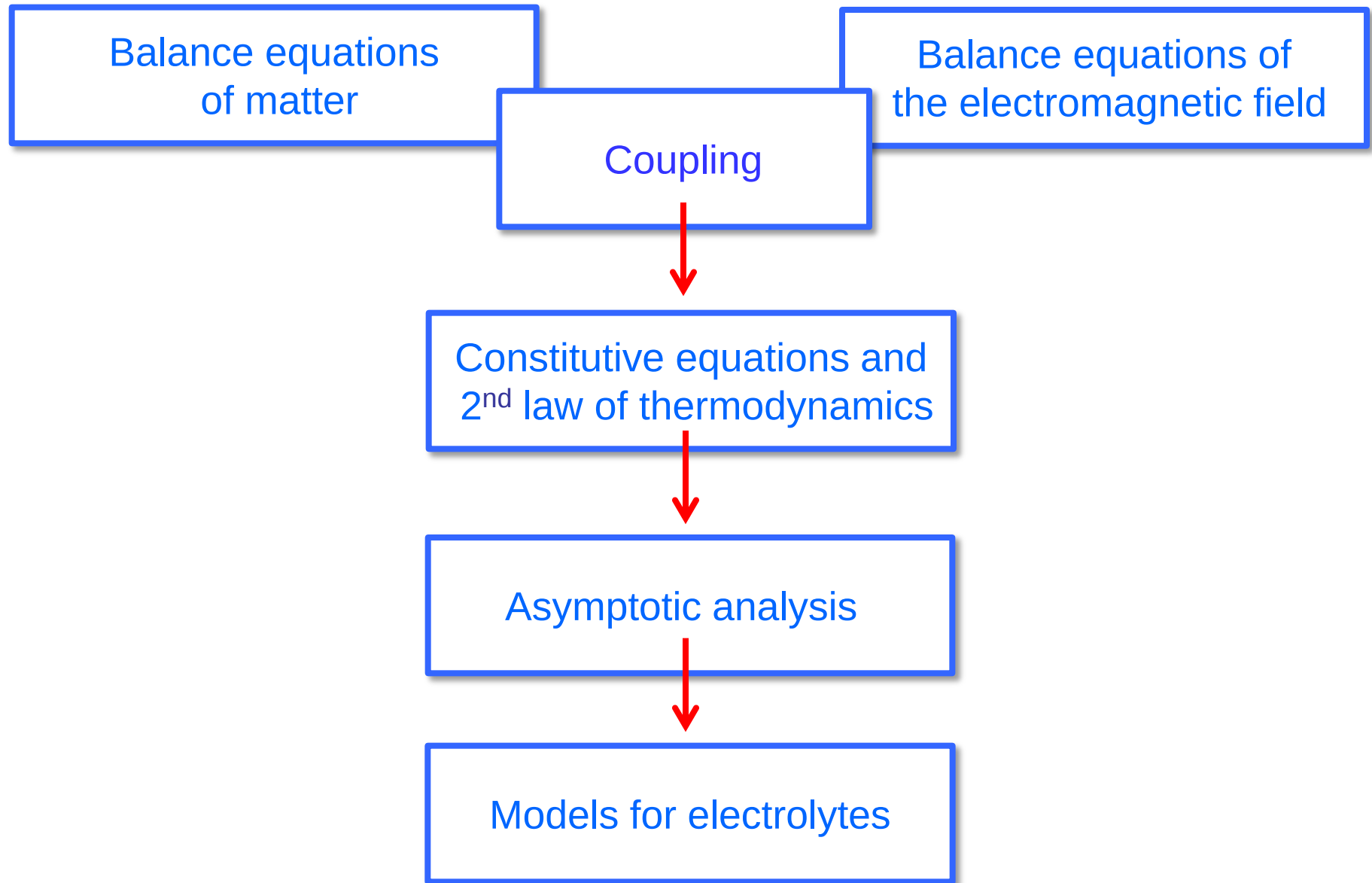


Sybren R. de Groot
(1916-1994)



Peter Mazur
(1922-2001)

Non-Equilibrium Thermodynamics



Variables

φ electric potential

$(n_\alpha)_{\alpha=1,2,\dots,N-1,N}$ particle densities

$\mathbf{v}$ barycentric velocity

Variables

φ electric potential

$(n_\alpha)_{\alpha=1,2,\dots,N-1,N}$ particle densities

$\mathbf{v}$ barycentric velocity

Essential flaws of the Nernst-Planck model

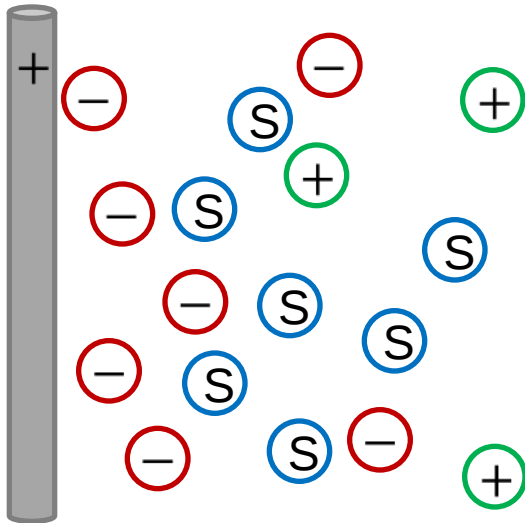
- The solvent and the barycentric velocity are ignored
- The pressure due to the constituents of the electrolyte is ignored
- The diffusion fluxes do not reflect the interaction of anions and cations with the solvent

Nernst-Planck, 1890

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi \right) \quad \text{for} \quad \alpha = 1, 2, \dots, N-1$$

Dreyer, Guhlke, Müller, 2012

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi - \frac{m_\alpha}{m_N} \frac{n_\alpha}{n_N} \nabla n_N + \frac{1}{kT} \left(1 - \frac{m_\alpha}{m_N} \right) \frac{n_\alpha}{n^{\text{R}}} \nabla p \right)$$

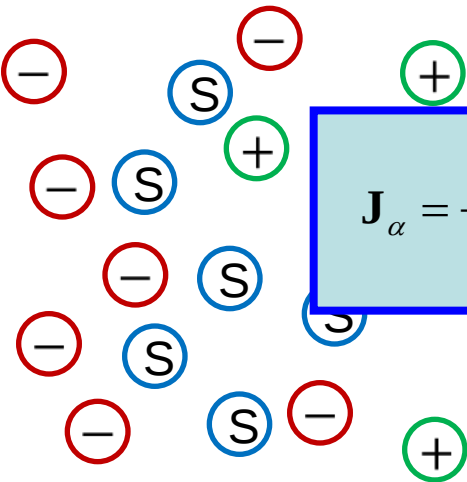
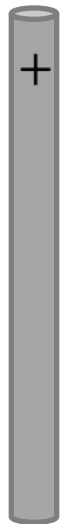


Nernst-Planck, 1890

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi \right) \quad \text{for} \quad \alpha = 1, 2, \dots, N-1$$

Dreyer, Guhlke, Müller, 2012

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi - \frac{m_\alpha}{m_N} \frac{n_\alpha}{n_N} \nabla n_N + \frac{1}{kT} \left(1 - \frac{m_\alpha}{m_N} \right) \frac{n_\alpha}{n^{\text{R}}} \nabla p \right)$$



$$\mu_\alpha = \frac{\partial \rho \psi(T, n_1, n_2, \dots, n_N, \nabla \varphi)}{\partial n_\alpha}$$

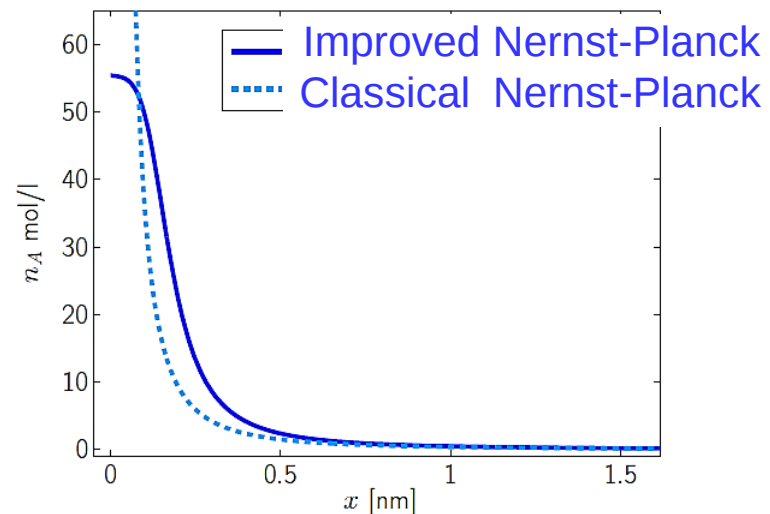
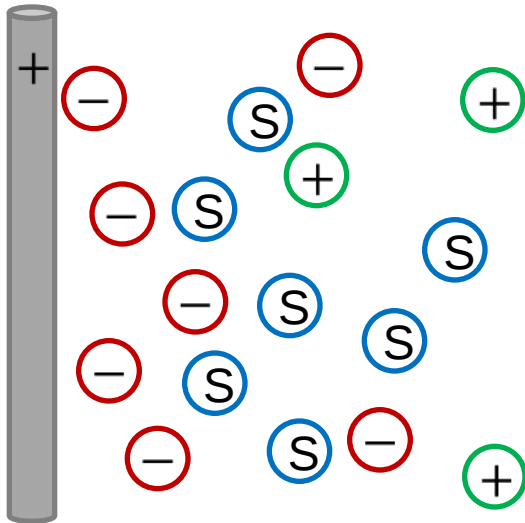
$$\mathbf{J}_\alpha = -\sum_{\beta=1}^{N-1} M_{\alpha\beta} \left(\nabla \left(\frac{\mu_\beta}{T} - \frac{\mu_N}{T} \right) + \frac{1}{T} \left(\frac{z_\beta}{m_\beta} - \frac{z_N}{m_N} \right) \nabla \varphi \right) \quad \mathbf{J}_N = -\sum_{\alpha=1}^{N-1} \mathbf{J}_\alpha$$

Nernst-Planck, 1890

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi \right) \quad \text{for} \quad \alpha = 1, 2, \dots, N-1$$

Dreyer, Guhlke, Müller, 2012

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi - \frac{m_\alpha}{m_N} \frac{n_\alpha}{n_N} \nabla n_N + \frac{1}{kT} \left(1 - \frac{m_\alpha}{m_N} \right) \frac{n_\alpha}{n^{\text{R}}} \nabla p \right)$$

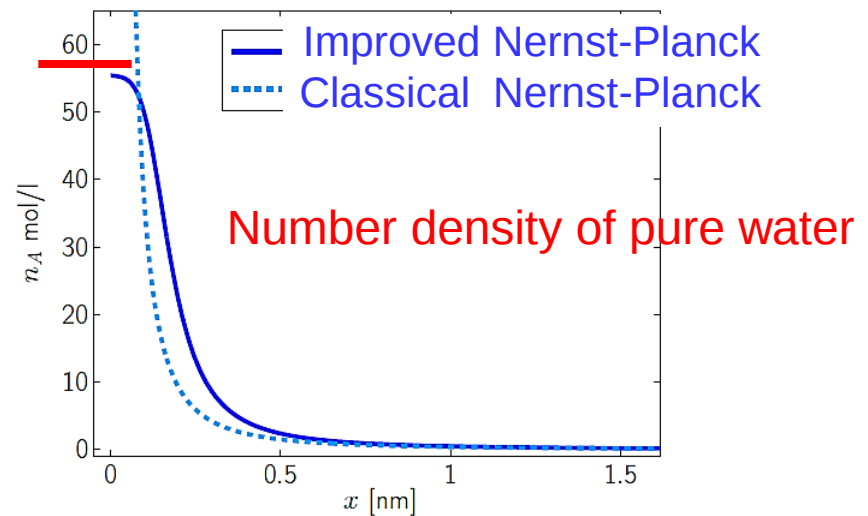
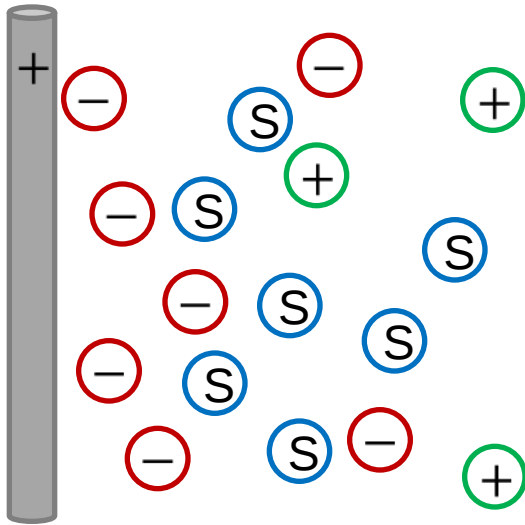


Nernst-Planck, 1890

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi \right) \quad \text{for} \quad \alpha = 1, 2, \dots, N-1$$

Dreyer, Guhlke, Müller, 2012

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi - \frac{m_\alpha}{m_N} \frac{n_\alpha}{n_N} \nabla n_N + \frac{1}{kT} \left(1 - \frac{m_\alpha}{m_N} \right) \frac{n_\alpha}{n^{\text{R}}} \nabla p \right)$$

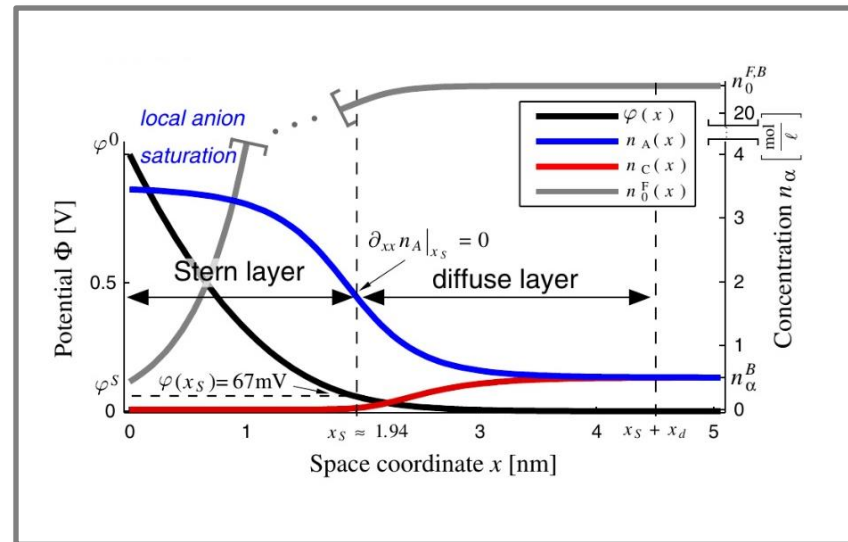
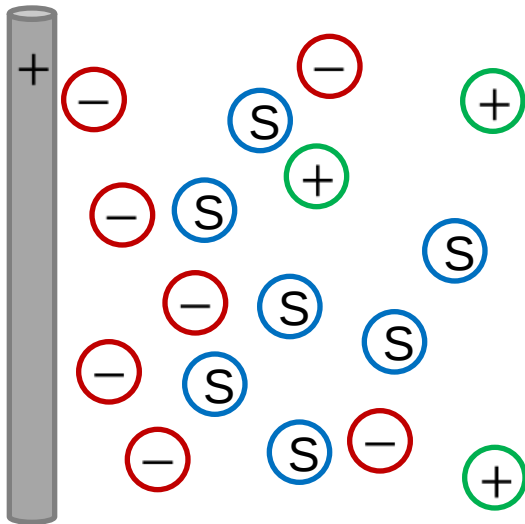


Nernst-Planck, 1890

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi \right) \quad \text{for} \quad \alpha = 1, 2, \dots, N-1$$

Dreyer, Guhlke, Müller, 2012

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi - \frac{m_\alpha}{m_N} \frac{n_\alpha}{n_N} \nabla n_N + \frac{1}{kT} \left(1 - \frac{m_\alpha}{m_N} \right) \frac{n_\alpha}{n^{\text{R}}} \nabla p \right)$$

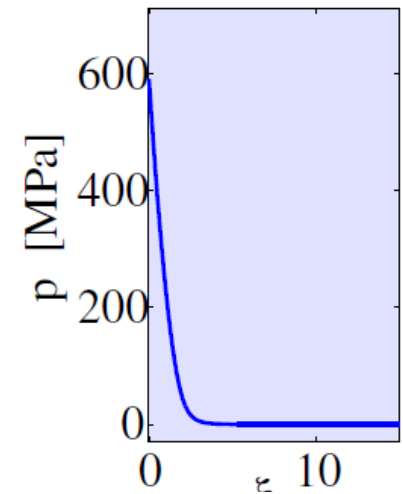
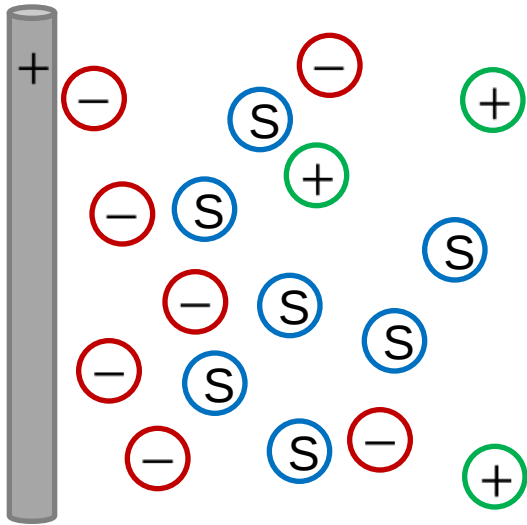


Nernst-Planck, 1890

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi \right) \quad \text{for} \quad \alpha = 1, 2, \dots, N-1$$

Dreyer, Guhlke, Müller, 2012

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi - \frac{m_\alpha}{m_N} \frac{n_\alpha}{n_N} \nabla n_N + \frac{1}{kT} \left(1 - \frac{m_\alpha}{m_N} \right) \frac{n_\alpha}{n^{\text{R}}} \nabla p \right)$$

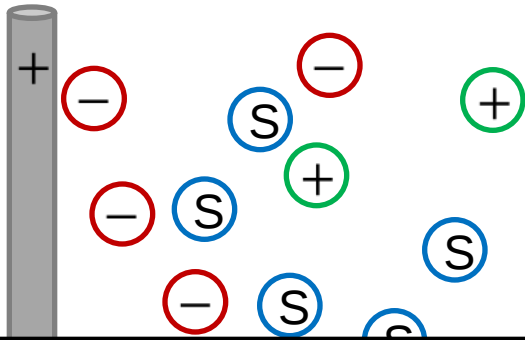


Nernst-Planck, 1890

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi \right) \quad \text{for} \quad \alpha = 1, 2, \dots, N-1$$

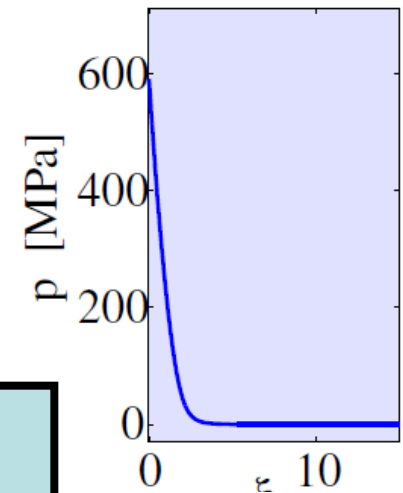
Dreyer, Guhlke, Müller, 2012

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi - \frac{m_\alpha}{m_N} \frac{n_\alpha}{n_N} \nabla n_N + \frac{1}{kT} \left(1 - \frac{m_\alpha}{m_N} \right) \frac{n_\alpha}{n^{\text{R}}} \nabla p \right)$$



Recall

$$\Sigma = -p + \varepsilon_0 (1 + \chi) (\nabla \varphi \otimes \nabla \varphi - \frac{1}{2} |\nabla \varphi|^2 \mathbf{1}) = \text{constant} = 0.1 \text{ MPa}$$



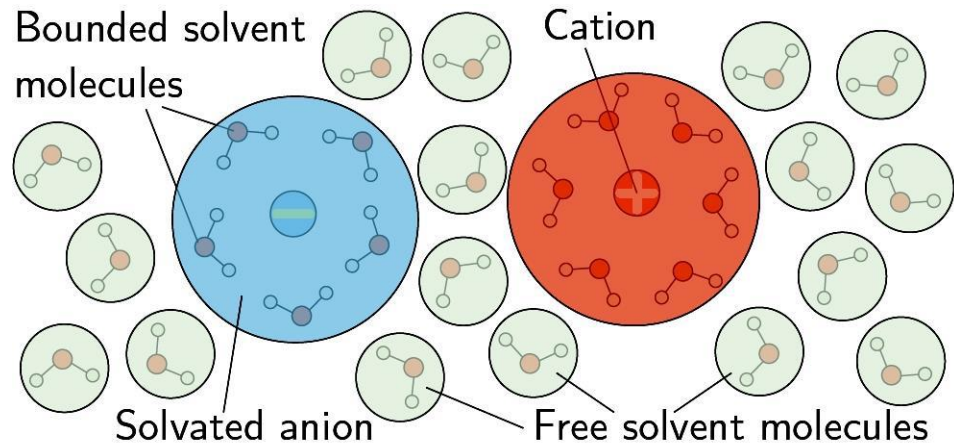
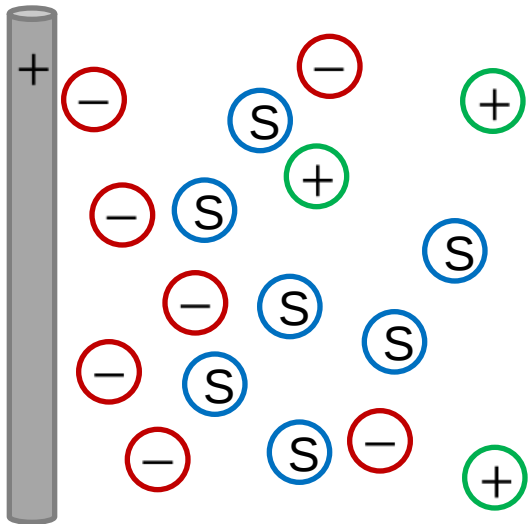
Example: Solvation Phenomenon

Nernst-Planck, 1890

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi \right) \quad \text{for} \quad \alpha = 1, 2, \dots, N-1$$

Dreyer, Guhlke, Müller, 2012

$$\mathbf{J}_\alpha = -M_\alpha^{\text{NP}} \left(\nabla n_\alpha + \frac{z_\alpha e_0}{kT} n_\alpha \nabla \varphi - \frac{m_\alpha}{m_N} \frac{n_\alpha}{n_N} \nabla n_N + \frac{1}{kT} \left(1 - \frac{m_\alpha}{m_N} \right) \frac{n_\alpha}{n^{\text{R}}} \nabla p \right)$$



$$\Delta\varphi = -\frac{1}{\varepsilon_0} n^e \quad \text{with} \quad n^e = \sum_{\alpha=1}^N z_{\alpha} n_{\alpha} - \operatorname{div}(\mathbf{P})$$

$$\partial_t \rho \mathbf{v} + \operatorname{div}(\rho \mathbf{v} \otimes \mathbf{v} - \boldsymbol{\sigma}) = -n^e \nabla \varphi$$

$$\partial_t m_{\alpha} n_{\alpha} + \operatorname{div}(m_{\alpha} n_{\alpha} \mathbf{v} + \mathbf{J}_{\alpha}) = \sum_{i=1}^{N_R} m_{\alpha} v_{\alpha}^i (R_{\mathrm{f}}^i - R_{\mathrm{b}}^i) \quad \alpha \in \{1, 2, \dots, N\}$$

Variables

φ electric potential

$(n_{\alpha})_{\alpha=1,2,\dots,N}$ particle densities

$\mathbf{v}$ barycentric velocity

$$\Delta\varphi = -\frac{1}{\varepsilon_0} n^e \quad \text{with} \quad n^e = \sum_{\alpha=1}^N z_{\alpha} n_{\alpha} - \operatorname{div}(\mathbf{P})$$

$$\partial_t \rho \mathbf{v} + \operatorname{div}(\rho \mathbf{v} \otimes \mathbf{v} - \boldsymbol{\sigma}) = -n^e \nabla \varphi$$

$$\partial_t m_{\alpha} n_{\alpha} + \operatorname{div}(m_{\alpha} n_{\alpha} \mathbf{v} + \mathbf{J}_{\alpha}) = \sum_{i=1}^{N_R} m_{\alpha} \nu_{\alpha}^i (R_{\mathrm{f}}^i - R_{\mathrm{b}}^i) \quad \alpha \in \{1, 2, \dots, N\}$$

Variables

φ electric potential

$(n_{\alpha})_{\alpha=1,2,\dots,N}$ particle densities

$\mathbf{v}$ barycentric velocity

Definitions

$$\rho = \sum_{\alpha=1}^N m_{\alpha} n_{\alpha} \quad \rho \mathbf{v} = \sum_{\alpha=1}^N m_{\alpha} n_{\alpha} \mathbf{v}_{\alpha} \quad \mathbf{J}_{\alpha} = m_{\alpha} n_{\alpha} (\mathbf{v}_{\alpha} - \mathbf{v}) \quad \Rightarrow \quad \sum_{\alpha=1}^N \mathbf{J}_{\alpha} = 0$$

$$\Delta\varphi = -\frac{1}{\varepsilon_0} n^e \quad \text{with} \quad n^e = \sum_{\alpha=1}^N z_{\alpha} n_{\alpha} - \operatorname{div}(\mathbf{P})$$

$$\partial_t \rho \mathbf{v} + \operatorname{div}(\rho \mathbf{v} \otimes \mathbf{v} - \boldsymbol{\sigma}) = -n^e \nabla \varphi$$

$$\partial_t m_{\alpha} n_{\alpha} + \operatorname{div}(m_{\alpha} n_{\alpha} \mathbf{v} + \mathbf{J}_{\alpha}) = \sum_{i=1}^{N_R} m_{\alpha} \nu_{\alpha}^i (R_{\mathrm{f}}^i - R_{\mathrm{b}}^i) \quad \alpha \in \{1, 2, \dots, N\}$$

Variables

φ electric potential

$(n_{\alpha})_{\alpha=1,2,\dots,N}$ particle densities

$\mathbf{v}$ barycentric velocity

Definitions

$$\rho = \sum_{\alpha=1}^N m_{\alpha} n_{\alpha} \quad \rho \mathbf{v} = \sum_{\alpha=1}^N m_{\alpha} n_{\alpha} \mathbf{v}_{\alpha} \quad \mathbf{J}_{\alpha} = m_{\alpha} n_{\alpha} (\mathbf{v}_{\alpha} - \mathbf{v}) \quad \Rightarrow \quad \sum_{\alpha=1}^N \mathbf{J}_{\alpha} = 0$$

$$\partial_t \rho + \operatorname{div}(\rho \mathbf{v}) = 0$$

$$\Delta\varphi = -\frac{1}{\varepsilon_0} n^e \quad \text{with} \quad n^e = \sum_{\alpha=1}^N z_{\alpha} n_{\alpha} - \operatorname{div}(\mathbf{P})$$

$$\partial_t \rho \mathbf{v} + \operatorname{div}(\rho \mathbf{v} \otimes \mathbf{v} - \boldsymbol{\sigma}) = -n^e \nabla \varphi$$

$$\partial_t m_{\alpha} n_{\alpha} + \operatorname{div}(m_{\alpha} n_{\alpha} \mathbf{v} + \mathbf{J}_{\alpha}) = \sum_{i=1}^{N_R} m_{\alpha} \nu_{\alpha}^i (R_{\mathrm{f}}^i - R_{\mathrm{b}}^i) \quad \alpha \in \{1, 2, \dots, N\}$$

Variables

φ electric potential

$(n_{\alpha})_{\alpha=1,2,\dots,N}$ particle densities

$\mathbf{v}$ barycentric velocity

Definitions

$$\rho = \sum_{\alpha=1}^N m_{\alpha} n_{\alpha} \quad \rho \mathbf{v} = \sum_{\alpha=1}^N m_{\alpha} n_{\alpha} \mathbf{v}_{\alpha} \quad \mathbf{J}_{\alpha} = m_{\alpha} n_{\alpha} (\mathbf{v}_{\alpha} - \mathbf{v}) \quad \Rightarrow \quad \sum_{\alpha=1}^N \mathbf{J}_{\alpha} = 0$$

$$\partial_t \rho + \operatorname{div}(\rho \mathbf{v}) = 0$$

$$\partial_t \rho \mathbf{v} + \operatorname{div}(\rho \mathbf{v} \otimes \mathbf{v} - \boldsymbol{\Sigma}) = 0$$

$$\boldsymbol{\Sigma} = \boldsymbol{\sigma} + \varepsilon_0 (\nabla \varphi \otimes \nabla \varphi - \frac{1}{2} |\nabla \varphi|^2 \mathbf{1})$$

Constitutive model and 2nd law of thermodynamics

$$\Delta\varphi = -\frac{1}{\varepsilon_0} \left(\sum_{\alpha=1}^N z_{\alpha} n_{\alpha} - \operatorname{div}(\mathbf{P}) \right)$$

$$\partial_t \rho \mathbf{v} + \operatorname{div}(\rho \mathbf{v} \otimes \mathbf{v} - \boldsymbol{\sigma}) = \left(\sum_{\alpha=1}^N z_{\alpha} n_{\alpha} - \operatorname{div}(\mathbf{P}) \right) \nabla \varphi$$

$$\partial_t m_{\alpha} n_{\alpha} + \operatorname{div}(m_{\alpha} n_{\alpha} \mathbf{v} + \mathbf{J}_{\alpha}) = \sum_{i=1}^{N_R} m_{\alpha} \nu_{\alpha}^i (R_{\mathrm{f}}^i - R_{\mathrm{b}}^i) \quad \alpha \in \{1, 2, \dots, N\}$$

Variables

φ electric potential

$(n_{\alpha})_{\alpha=1,2,\dots,N}$ particle densities

$\mathbf{v}$ barycentric velocity

$$\mathbf{P} = \frac{\partial \rho \psi}{\partial \nabla \varphi}$$

$$\mu_{\alpha} = \frac{\partial \rho \psi}{\partial \rho_{\alpha}}$$

$$\boldsymbol{\sigma} = -p \mathbf{1} - \nabla \varphi \otimes \mathbf{P}$$

$$p = -\rho \psi + \sum_{\beta=1}^N \rho_{\beta} \mu_{\beta}$$

$$\mathbf{J}_{\alpha} = -\sum_{\beta=1}^{N-1} M_{\alpha\beta} \left(\nabla \left(\frac{\mu_{\beta}}{T} - \frac{\mu_N}{T} \right) + \frac{1}{T} \left(\frac{z_{\beta}}{m_{\beta}} - \frac{z_N}{m_N} \right) \nabla \varphi \right) \quad \alpha \in \{1, 2, \dots, N-1\}$$

$$R_{\mathrm{b}}^i = R_{\mathrm{f}}^i \exp \left(\frac{1}{kT} \sum_{\beta=1}^N m_{\beta} \nu_{\beta}^i \mu_{\beta} \right)$$

$$\rho \psi = \rho \hat{\psi}(T, \rho_1, \rho_2, \dots, \rho_N, \nabla \varphi)$$