# Institut für Angewandte Analysis und Stochastik

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## Attractors of non invertible maps

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ABSTRACT. For mappings  $f: S^1 \times \mathbb{R}^n \to S^1 \times \mathbb{R}^n$   $(n \ge 2)$  of the form  $f(t, x) = (\Theta t, \lambda x + v(t))$ , where  $\Theta \in \mathbb{Z}, \Theta \ge 2, \lambda \in (0, 1), v \in C^1(S^1, \mathbb{R}^n)$  we consider the open subset  $S_{n,\Theta,\lambda}$  of  $C^1(S^1, \mathbb{R}^n)$  which consist of all v for which the restriction of f to its attractor is injective. It is shown that for  $\lambda < \min(\frac{1}{2}, \Theta^{-2/(n-1)})$  this set  $S_{n,\Theta,\lambda}$  is dense in  $C^1(S^1, \mathbb{R}^n)$  and that for each odd n it is not dense provided  $\lambda \ge 64\Theta^{-2/(n-1)}$ .

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#### 1. Results

Let  $S^2 = \mathbb{R} \pmod{1}$  be the unit circle. The Cartesian product  $V = S^1 \times \mathbb{R}^n$  can be regarded as an open (n+1)-dimensional solid torus. We always assume  $n \ge 2$ . For an integer  $\Theta > 1$ , a real  $\lambda \in (0,1)$  and a  $C^r$  mapping  $v: S^1 \to \mathbb{R}^n$   $(r \ge 0)$  we consider the mapping

$$f: V \to V$$

which is given by

$$f(t,x) = (\Theta t, \lambda x + v(t)) \quad (t \in S^1, x \in \mathbb{R}^n).$$

If we want to point out that f is determined by  $\Theta, \lambda, v$  we shall write  $f = f_{\Theta,\lambda,v}$ . Since  $0 < \lambda < 1$  this mapping has a compact attractor which will be denoted by  $\Lambda_f$ or by  $\Lambda$ . To visualize this attractor we consider a compact solid torus  $V_{\rho} = S^1 \times \mathbb{D}_{\rho}^n$ , where  $\mathbb{D}_{\rho}^n$  is the compact ball in  $\mathbb{R}^n$  with radius p and centre o and where

$$ho \geq rac{1}{1-\lambda} \sup_{t\in S^1} (v(t)),$$

or, equivalently

$$v(t) + \lambda \rho \le \rho \quad (t \in S^1).$$

Then  $f(V_{\rho}) \subset V_{\rho}$  and

$$\Lambda = \bigcap_{i=0}^{\infty} f^i(V_{\rho}).$$

The image  $f(V_{\rho})$  is obtained by stretching  $V_{\rho}$  in the direction of  $S^1$ , contracting it in the direction of  $\mathbb{D}_{\rho}^n$  and wrapping it around in  $V_{\rho}$  exactly  $\Theta$  times without folds. (Self intersection of  $f(V_{\rho})$  are not excluded.) If f is injective in  $V_{\rho}$ , i.e. if  $f(V_{\rho})$  has no self interpretitions, then the images  $f^i(V_{\rho})$  (i = 1, 2, ...) form a nested sequence of solid tori, and  $f^i(V_{\rho})$  for i large is thin and runs around in  $V_{\rho}$ exactly  $\Theta^i$  times. As mentioned above  $\Lambda$  is the intersection of these tori, and this intersection is the well known solenoid. So  $\Lambda$  has a simple structure in this case. If the restriction of f to  $\Lambda$  is known to be injective, then, by compactness of  $\Lambda$ , there is a neighbourhood of  $\Lambda$  on which f is injective, and it can be shown that  $\Lambda$  is a solenoid in this case too.

In this paper we look for conditions under which a mapping  $f_{\Theta,\lambda,v}$  is injective on its attractor. The result concerns the  $C^1$  case and can roughly speaking, be stated as follows. If for a fixed  $\Theta$  the number  $\lambda$  is sufficiently small, then generically for all v the restriction of  $f_{\Theta,\lambda,v}$  to its attractor is injective.

**Theorem 1.** For  $n, \Theta, \lambda$  as above let  $S_{n,\Theta,\lambda}$  be the set of all  $v \in C^1(S^1, \mathbb{R}^n)$  for which  $f_{\Theta,\lambda,v}$  is injective on its attractor. If  $\lambda < \frac{1}{2}$  and  $\lambda < \Theta^{-2/(n-1)}$  then  $S_{n,\Theta,\lambda}$  is open and dense in  $C^1(S^1, \mathbb{R}^n)$ .

As easily senn the set

$$\{\lambda \in (0,1) | \mathcal{S}_{n,\Theta,\lambda} \text{ dense in } C^1(S^1,\mathbb{R}^n) \}$$

is an open interval  $(0, \lambda_n, (\Theta))$ , and the theorem is equivalent to the inequality

$$\lambda_n(\Theta) \ge \min(\frac{1}{2}, \Theta^{-2/(n-1)}).$$

**Theorem 2.** For each odd dimension  $n \geq 3$ 

$$\lambda_n(\theta) \le 32\theta^{-2/(n-1)}$$

holds for all  $\theta \geq 2$ .

**Remark 1.** If  $f_{\theta,\lambda,v}$  is injective on its attractor  $\Lambda$ , then for each  $t \in S^1$  the section  $(\{t\} \times \mathbb{R}^n) \cap \Lambda$  is a Cantor set, and by standard methods it is easy to see that the Hausdorff dimension of this Cantor set is  $\log \theta / \log \frac{1}{\lambda}$ . The Hausdorff dimension of a subset of  $\mathbb{R}^n$  can not exceed n, and therefore  $\lambda > \theta^{-1/n}$  implies that for any  $v \in C^0(S^1, \mathbb{R}^n)$  the restriction of  $f_{\theta,\lambda,v}$  to its attractor can not be injective, and therefore

$$\lambda_n(\theta) \le \theta^{-1/n}.$$

**Remark 2.** Let  $\varepsilon > 0$  be fixed. If n and  $\theta$  are sufficiently large (the lower bound for  $\theta$  depends on n), then the factor 32 in Theorem 2 can be replaced by  $8 + \varepsilon$ . This fact can easily be derived from our proof below. Modifying this proof (the set  $\mathfrak{P}_r$  e.g.) a further reduction of this factor is possible.

#### 2. Proof of Theorem 1

Let  $n, \Theta, \lambda$  be fixed such that  $\lambda < \frac{1}{2}, \lambda < \Theta^{-2/(n-1)}$ .

Since our attractors are compact it is not hard to see that for any  $r \ge 0$  the set of all  $v \in C^r(S^1, \mathbb{D}^n_{\alpha})$  with injective restriction to its attractor is open in  $C^r(S^1, \mathbb{D}^n_{\alpha})$ . This holds for  $0 < \alpha \le \infty$ , where  $\mathbb{D}^n_{\infty} = \mathbb{R}^n$ . Therefore  $S_{n,\theta,\lambda}$  is open, and we have only to prove that  $S_{n,\Theta,\lambda}$  is dense in  $C^1(S^1, \mathbb{R}^n)$ . Moreover it is sufficient to prove, as we shall do below, that for an arbitrary  $\alpha$  ( $0 < \alpha < \infty$ ) the intersection  $S_{n,\Theta,\lambda} \cap C^1(S^1, \mathbb{D}^n_{\alpha})$  is dense in  $C^1(S^1, \mathbb{D}^n_{\alpha})$ . Therefore for the rest of the proof in addition to  $n, \theta$  and  $\lambda$  the positive real numbers  $\alpha$  and  $\rho > \frac{\alpha}{1-\lambda}$  will be fixed. Then  $f_{\theta,\lambda,v}(V_{\rho}) \subset \text{Int } V_{\rho}$  for any  $v \in C^r(S^1, \mathbb{D}^n_{\alpha})$ , and

$$\Lambda_{f_{\theta,\lambda,v}} = \bigcap_{i=0}^{\infty} f_{\theta,\lambda,v}^{i}(V_{\rho}).$$

If m is a proper multiple of  $\theta$ , i.e.  $m = \theta m'$  with  $m' \in \mathbb{Z}$ , m' > 1, we consider the points  $t_i = \frac{i}{m}$  and arcs  $I_i = [t_{i-1}, t_i]$  in  $S^1$   $(i \in \mathbb{Z})$ . The family of these arcs will be denoted by  $\mathcal{P}_m$  and called a Markov partition of  $S^1$ . Of course  $t_i = t_{i'}$ ,  $I_i = I_{i'}$ if and only if  $i \equiv i' \pmod{m}$ , so that  $\mathcal{P}_m$  consists of the m arcs  $I_1, \ldots, I_m$ . Moreover

$$\theta t_i = t_{\theta i}, \quad \theta I = [t_{\theta(i-1)}, t_{\theta i}] = I_{\theta(i-1)+1} \cup \cdots \cup I_{\theta i}.$$

If  $\mathcal{P}_m$  is fixed, then each  $v \in C^0(S^1, \mathbb{R}^n)$  which is linear on each arc of  $\mathcal{P}_m$  is determined by the *m* points  $v_i = v(t_i)$  in  $\mathbb{R}^n$  (i = 1, ..., n), and we identify this

piecewise linear v with the point  $(v_1, \ldots, v_m)$  in  $\mathbb{R}^{mn}$ . So  $\mathbb{R}^{mn}$  is embedded in  $C^0(S^1, \mathbb{R}^n)$  and those  $v \in \mathbb{R}^{mn}$  which belong to  $C^0(S^1, \mathbb{D}^n_{\alpha})$  are just the points in  $(\mathbb{D}^n_{\alpha})^m$ . This set  $(\mathbb{D}^n_{\alpha})$ , when regarded as a subset of  $C^0(S^1, \mathbb{R}^n)$ , will be denoted by  $\mathcal{V}_m$ .

For  $v \in C^0(S^1, \mathbb{R}^n)$  we define

$$|v|_0 = \sup_{t\in S^1} |v(t)|$$

and for  $v \in C^1(S^1, \mathbb{R}^n)$ 

$$|v|_1 = \max(|v|_0, |\dot{v}|_0),$$

where  $\dot{v} = \frac{dv}{dt} : S^1 \to \mathbb{R}^n$ . If  $\mathcal{P}_m$  is a Markov partition and  $v \in C^0(S^1, \mathbb{R}^n)$  is  $C^1$  on each arc  $I_i$  of  $\mathcal{P}_m$ , then we define

$$|v|_1 = \max(|v|_0, \sup \{ |\dot{v}(t)| | t \in \bigcup_{I_i \in \mathcal{P}_m} \operatorname{Int} I_i \}).$$

Obviously for  $v \in C^1(S^1, \mathbb{R}^m)$  the two definitions of  $|v|_1$  coincide. If  $v \in \mathcal{V}_m$  then, as easily seen,

$$|v|_1 \leq 2m |v|_0,$$

and  $||_0$  and  $||_1$  define the same topology in  $\mathcal{V}_m$  which coincides with the natural topology of  $(\mathbb{D}^n_{\alpha})^m$ .

**Lemma 1.** If  $v_0 \in C^1(S^1, \mathbb{D}^n_{\alpha})$  and  $\varepsilon > 0$ , then there is a positive integer m and a mapping  $v_1 \in \mathcal{V}_m$  such that  $|v_0 - v_1| < \varepsilon$ .

**Lemma 2.** The set  $S_m = \{v \in \mathcal{V}_m | f_{\theta,\lambda,v} |_{\Lambda_{f_{\theta,\lambda,v}}} \text{ injective} \}$  is open and dense in  $\mathcal{V}_m$ .

**Lemma 3.** If  $v_0 \in C^1(S^1, \mathbb{D}^n_{\alpha}), v_2 \in \mathcal{V}_m, |v_0 - v_2|_1 < \varepsilon$  for some  $\varepsilon > 0$ , then for each  $\delta > 0$  there is a  $v \in C^1(S^1, \mathbb{D}^n_{\alpha})$  such that  $|v - v_0|_1 < \varepsilon, |v - v_2|_0 < \delta$ .

These three lemmas easily imply Theorem 1: Let  $v_0 \in C^1(S^1, \mathbb{D}^n_{\alpha})$  and  $\varepsilon$  be given. We have to find a  $v \in C^1(S^1, \mathbb{D}^n_{\alpha})$  such that  $|v - v_0|_1 < \varepsilon$  and the restriction of  $f_{\theta,\lambda,v}$  to its attractor is injective.

By Lemma 1 we find a  $v_1$  in some  $\mathcal{V}_m$  such that  $|v_0 - v_1| < \varepsilon/2$ . Now we apply Lemma 2 to get a  $v_2 \in \mathcal{S}_m$  such that  $|v_1 - v_2|_0$  is so small that  $|v_0 - v_2|_1 < \varepsilon$ . Since the set of all  $v \in C^0(S^1, D^n_\alpha)$  with an injective restriction  $f_{\theta,\lambda,v}|_{\Lambda_{f_{\theta,\lambda,v}}}$  is open in  $C^0(S^1, D^n_\alpha)$  we can apply Lemma 3 to find a  $v \in C^1(S^1, D^n_\alpha)$  with the property required above.

Lemma 1 is almost obvious and its proof can be omitted. To prove Lemma 3 we merely have to smoothen the corners of  $v_2$ . Therefore it remains to prove Lemma 2.

**Proof of Lemma 2.** We choose a fixed Markov partition  $\mathcal{P}_m$  of  $S^1$  with arcs  $I_i$  and partitioning points  $t_i$ . If  $k \geq 1$  in an integer let  $\mathcal{V}_m(k)$  be the set of all  $v \in \mathcal{V}_m$  for which the mapping  $f_{\theta,\lambda,v}$  is injective on the neighbourhood  $f_{\theta,\lambda,v}^{k-1}(V_{\rho})$  of the attractor  $\Lambda_{f_{\theta,\lambda,v}}$ . As easily seen  $\mathcal{V}_m(k)$  consists of all  $v \in \mathcal{V}_m$  with the following property. If  $s_1, s_2$  are points in  $S^1$  such that  $\theta^{k-1} \cdot s_1 \neq \theta^{k-1} \cdot s_2$  but  $\theta^k \cdot s_1 = \theta^k \cdot s_2$ , then

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 $f_{\theta,\lambda,v}^k(D(s_1)) \cap f_{\theta,\lambda,\mu}^k(D(s_2)) = \emptyset$ , where  $D(s_i)$  are the meridional disks  $\{s_i\} \times \mathbb{D}_{\rho}^n$  of  $V_{\rho}$ . Obviously  $\mathcal{V}_m(k) \subset \mathcal{V}_m(k+1)$ , and

$$\mathcal{S}_m = \bigcup_{k=1}^{\infty} \mathcal{V}_m(k).$$

The complement  $\mathcal{V}_m(k)$  will be denoted by  $\mathcal{W}_m(k)$ . In  $\mathcal{V}_m = (\mathbb{D}^n_{\alpha})^m$  we consider the Lebesgue measure which will be denoted by vol. Since a subset of  $\mathcal{V}_m$  whose complement has measure 0 must be dense in  $\mathcal{V}_m$ , Lemma 2 is an immediate consequence of  $\lambda < \theta^{-2/(m-1)}$  and the following lemma.

**Lemma 2'.** There is a real  $\gamma$  such that

vol 
$$\mathcal{W}_m(k) \leq \gamma \theta^{2k} \lambda^{k(n-1)}$$
  $(k = 1, 2, ...).$ 

By  $\mathcal{J}_k$  we denote the set of all pairs (I, J) of arcs in  $S^1$  such that  $\theta^{k-1}I, \theta^{k-1}J$  are different arcs of our Markov partition  $\mathcal{P}_m$  and  $\theta^k I = \theta^k J$ . The number of elements in  $\mathcal{J}_k$  is bounded by

$$#\mathcal{J}_{k} = \frac{m}{\theta} \cdot \theta^{k} \cdot \theta^{k-1}(\theta-1) < m\theta^{2k}.$$
 (1)

For  $(I, J) \in \mathcal{J}_k$  we consider the sets

$$\mathcal{W}(I,J) = \{ v \in \mathcal{V}_m | f^k_{\theta,\lambda,v}(I \times \mathbb{D}^n_\rho) \cap f^k_{\theta,\lambda,v}(J \times \mathbb{D}^n_\rho) \neq \emptyset \}.$$

This definition implies

$$\mathcal{W}_m(k) = \bigcup_{(I,J)\in\mathcal{J}_k} \quad \mathcal{W}(I,J).$$

This last equation together with (1) reduces the proof of Lemma 2' to the proof of the following lemma.

**Lemma 2".** There is a real  $\gamma$  which does not depend on k, I, J and for which the following inequality holds

vol 
$$\mathcal{W}(I,J) \leq \gamma \lambda^{k(n-1)}$$
.

Let (I, J) be a fixed pair in  $\mathcal{J}_k$ . We write  $I = [s_1, s_2]$ ,  $J = [s_3, s_4]$  and define for each  $v \in \mathcal{V}_m$  four points  $x_{v,j}$   $(1 \le j \le 1)$  in  $\mathbb{D}_{\rho}^n$  by

$$f^{\boldsymbol{k}}_{\boldsymbol{\theta},\boldsymbol{\lambda},\boldsymbol{v}}(s_{j},o) = (\boldsymbol{\theta}^{\boldsymbol{k}}s_{j}, x_{\boldsymbol{v},j}) \quad (1 \leq j \leq 4),$$

where o denotes the centre of  $\mathbb{D}_{\rho}^{n}$ . The four points  $p_{j} = (\theta^{k}s_{j}, x_{v,j})$  are the end points of the two segments  $f^{k}(I \times \{o\}), f^{k}(J \times \{o\})$  in the cylinder  $Z = \theta^{k}I \times \mathbb{D}_{\rho}^{n} = \theta^{k}J \times \mathbb{D}_{\rho}^{n}$ (see Fig. 1). The image  $f^{k}(I \times \mathbb{D}_{\rho}^{n})$  is the union of all *n*-disks in Z which are parallel to the bottom of Z, whose centres lie on  $f^{k}(I \times \{o\})$  and whose radius in  $\rho\lambda^{k}$ . The cylinder  $f^{k}(J \times \mathbb{D}_{\rho}^{n})$  is obtained similarly from  $f^{k}(J \times \{o\})$ . If, as above, the points in  $\mathbb{R}^{mn}$  are identified with the elements of  $C^{0}(S^{1}, \mathbb{R}^{n})$  which are linear on each arc of  $\mathcal{P}_{m}$ , then the mapping  $v \to (x_{v,1}, \ldots, x_{v,4})$  of  $\mathcal{V}_{m} = (\mathbb{D}_{\alpha}^{n})^{m}$  to  $(\mathbb{D}_{\rho}^{n})^{4}$  can be extended to a linear mapping  $\varphi : \mathbb{R}^{mn} \to \mathbb{R}^{4n}$ . This extension is characterized by

$$\varphi(v) = (x_1, \ldots, x_4) (v \in \mathbb{R}^{mn}, x_j \in \mathbb{R}^n),$$
$$x_j = \sum_{l=1}^k \lambda^{l-1} v(\theta^{k-l} s_j) \qquad (1 \le j \le 4).$$

Later in the proof of Lemma 2" we shall need the following lemma.



Fig. 1

**Lemma 4.** There is a real  $\gamma_0$  which depends on  $\lambda$  but not on k, I, J such that for any compact subset Q of  $(\mathbb{D}_{\rho}^n)^4$  we have

vol 
$$(\varphi^{-1}(Q) \cap (\mathbb{D}^n_{\alpha})^m) \leq \gamma_0$$
 vol  $Q$ ,

where vol denotes the Lebesgue measure in  $\mathbb{R}^{mn}$  or in  $\mathbb{R}^{4n}$ , respectively.

**Proof of Lemma 4.** Let  $\delta = \lambda/(1-\lambda)$ . (Here we apply  $\lambda < \frac{1}{2}$  so that  $\delta > 0$ .) The lemma will be proved if we have found a 4n-dimensional linear subspace L of  $\mathbb{R}^{mn}$  such that  $\varphi|_L : L \to \mathbb{R}^{4n}$  is regular with determinant at least  $\delta^{4n}$ , where the determinant is defined with respect to the natural metrics in L and  $\mathbb{R}^{4n}$ . To define L we consider the arcs  $\theta^{k-1}I$ ,  $\theta^{k-1}J$ . These arcs belong to  $\mathcal{P}_n$ , and we

can write

$$\theta^{k-1}I = [t_{i_1}, t_{i_2}], \quad \theta^{k-1}J = [t_{i_3}, t_{i_4}],$$

where  $t_{i_1}, \ldots, t_{i_4}$  are partitioning points of  $\mathcal{P}_m(1 \leq i_j \leq m)$ . Since  $\theta^{k-1}I \neq \theta^{k-1}J$  but  $\theta^k I = \theta^k J$  we get actually four points  $t_{i_j}$ , i.e. no two of them coincide. Now let L be the space of all  $v = (v_1, \ldots, v_m) \in \mathbb{R}^{mn}$  for which  $v_i = o$  unless i is one of the four indices  $i_1, \ldots, i_4$ .

We can identify L with the tensor product  $\mathbb{R}^4 \otimes \mathbb{R}^n$ , where for  $\mu = (\mu_1, \ldots, \mu_4) \in \mathbb{R}^4$ ,  $x \in \mathbb{R}^n$  the product  $\mu \otimes x$  is identified with  $v = (v_1, \ldots, v_m)$  given by

$$v_i = \begin{cases} \mu_j x & \text{if } i = i_j \\ o & \text{otherwise.} \end{cases} (1 \le j \le 4),$$

Moreover, each  $\mu = (\mu_1, \ldots, \mu_4) \in \mathbb{R}^4$  will be identified with the function  $\mu : S^1 \to \mathbb{R}$  which is linear on each arc of  $\mathcal{P}_m$  and satisfies

$$\mu(t_i) = \left\{ egin{array}{cc} \mu_j & ext{if } i=i_j & (1\leq j\leq 4), \\ 0 & ext{otherwise} \end{array} 
ight.$$

for the end points of these arcs. Then, if  $\xi : \mathbb{R}^4 \to \mathbb{R}^4$  denotes the maps given by

$$\xi(\mu) = (\nu_{\mu,1}, \ldots, \nu_{\mu,4}),$$

$$\nu_{\mu,j} = \sum_{l=1}^{k} \lambda^{l-1} \mu(\theta^{k-l} s_j) \quad (1 \le j \le 4),$$

we get

$$|P|_L = \xi \otimes id : L = \mathbb{R}^4 \otimes \mathbb{R}^n \to \mathbb{R}^{4n} = \mathbb{R}^4 \otimes \mathbb{R}^n,$$

where the equation on the right hand side is realized by

$$(\nu_1,\ldots,\nu_4)\otimes(x_1,\ldots,x_n)=(\nu_1x_1,\ldots,\nu_4x_1,\ldots,\nu_1x_n,\ldots,\nu_4x_n).$$

To prove det  $\varphi|_L \geq \delta^{4n}$  it is sufficient to prove det  $\xi \geq \delta^4$ . To this end we consider the 16 points  $\varepsilon = (\varepsilon_1, \ldots, \varepsilon_4)$  in  $\mathbb{R}^4$ , where  $|\varepsilon_j| = 1$ . Their convex hull is a cube Kwith volume  $2^4$ . The images  $\xi(\varepsilon) = (\nu_{\varepsilon,1}, \ldots, \nu_{\varepsilon,4})$  are given by

$$\nu_{\varepsilon,j} = \sum_{l=1}^{k} \lambda^{l-1} \varepsilon(\theta^{k-l} s_j) = \varepsilon_j + \sum_{l=2}^{k} \lambda^{l-1} \varepsilon(\theta^{k-l} s_j) = \varepsilon_j + \sum_{l=1}^{k-1} \lambda^l \varepsilon(\theta^{k-l-1} s_j).$$

(Here we apply  $\varepsilon(\theta^{k-1}s_j) = \varepsilon(t_{i_j}) = \varepsilon_j$ .) So we have

$$\nu_{\varepsilon,j} = \varepsilon_j + \nu'_j$$

with

$$|\nu'_j| \leq \sum_{l=1}^{\infty} \lambda^l = \frac{\lambda}{1-\lambda} = 1-\delta.$$

If  $F_{\epsilon}$  denotes the part

$$F_{\varepsilon} = \{ (\nu_1, \ldots, \nu_4) \in \mathbb{R}^4 | \nu_j \varepsilon_j \ge \delta \}$$

of  $\mathbb{R}^4$ , then  $\xi(\varepsilon) \in F_{\varepsilon}$ , and it is a simple geometric fact that the convex hull  $\xi(K)$ of the 16 points  $\xi(\varepsilon)$  contains the cube  $K' = \{(\nu_1, \ldots, \nu_4) \in \mathbb{R}^4 | |\nu_j| \le \delta\}$  whose volume is  $(2\delta)^4$ . (See Fig. 2 where the situation is illustrated in the 2-dimensional case.) Now det  $\xi \ge \delta^4$  is implied by vol  $(K) = 2^4$ , vol  $\xi(K) \ge (2\delta)^4$ .





We continue the proof of Lemma 2" with the definition of a function  $d^* : \mathbb{R}^{4n} \to \mathbb{R}$ :

$$d^*(x_1,\ldots,x_4) = \inf_{0 \le \tau \le 1} |x_1 + \tau(x_2 - x_1) - (x_3 + \tau(x_4 - 3))| \qquad (x_j \in \mathbb{R}^n).$$

If [t',t''] denotes the arc  $\theta^k I = \theta^k J$  in  $S^1$  and t is the point  $t' + \tau(t'' - t')$  in [t',t''], then  $x_1 + \tau(x_2 - x_1), x_3 + \tau(x_4 - x_3)$  are the points at which the segments  $f^k(I \times \{o\}), f^k(J \times \{o\})$  pierce the disk  $\{t\} \times \mathbb{D}_{\rho}^n$ . Therefore  $d^*(x_1, \ldots, x_4)$  may be regarded as the vertical distance between these segments. Since  $f^k(I \times \mathbb{D}_{\rho}^n)$  is the  $\rho\lambda^k$ -neighbourhood of  $f^k(I \times \{o\})$  with respect to this distance and the same holds for  $f^k(J \times \mathbb{D}_{\rho}^n)$  and  $f^k(J \times \{o\})$ , the function  $d^*$  characterizes the set  $\mathcal{W}(I, J)$  by

$$\begin{aligned} \mathcal{W}(I,J) &= \{ v \in \mathcal{V}_m | d^*(x_{v,1},\ldots,x_{v,4}) \leq 2\rho\lambda^k \} \\ &= \{ v \in \mathcal{V}_m | d^*(\varphi(v)) \leq 2\rho\lambda^k \}. \end{aligned}$$

So we get the inclusion

$$\mathcal{W}(I,J) \subset (\mathbb{D}^n_{\alpha})^m \cap \varphi^{-1}(\{x \in \mathbb{R}^{4m} | d^*(x) \le 2\rho\lambda^k\}).$$
(2)

This inclusion suggests to look for the structure of the sets  $\{x \in \mathbb{R}^{4n} | d^*(x) \leq x\}$ 

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 $\varepsilon$ } ( $\varepsilon > 0$  small), and we are led to introduce the further set

$$\{x \in \mathbb{R}^{4n} | d^*(x) = 0\}$$

As easily seen this set is contained in

 $F = \{(x_1, \ldots, x_4) \in \mathbb{R}^{4n} | x_1 - x_3, x_2 - x_4 \text{ linearly dependent in } \mathbb{R}^n \}.$ 

It will turn out below that F has a simple shape (it is an (n + 1)-dimensional cone over a smooth manifold), and we relate the sets  $\{x \in \mathbb{R}^{4n} | d^*(x) \leq \varepsilon\}$  to F by showing that, for  $\varepsilon$  small, they lie close to F. Indeed, the following lemma proves

$$\{x \in \mathbb{R}^{4n} | d^*(x) \le \varepsilon\} \subset N_{2\varepsilon}(F), \tag{3}$$

where  $N_{\varepsilon}(F)$  denotes the closed  $\varepsilon$ -neighbourhood of F in  $\mathbb{R}^{4n}$ .

Lemma 5. For  $(x_1, ..., x_4) \in \mathbb{R}^{4n}$ 

dist 
$$((x_1, \ldots, x_4), F) \leq 2d^*(x_1, \ldots, x_4)$$
.

**Proof of Lemma 5.** Obviously we may assume  $|x_1 - x_3| \le |x_2 - x_4|, x_1 \ne x_3, x_2 \ne x_4$ . First we consider the case  $x_3 = x_4$ . let the points  $y, z \in \mathbb{R}^n$  be determined by (see Fig. 3)

- (i)  $(y, x_2, x_3, x_3) \in F$ , i.e.  $y, x_2, x_3$  collinear, (ii)  $y - x_1 \perp x_1 - x_2$ , i.e. the scalar product  $(y - x_1, x_1 - x_2)$  vanishes, (iii)  $z, x_1, x_2$  collinear
- (iv)  $z x_3 \perp x_1 x_2$ , i.e.  $(z x_3, x_1 x_2) = 0$ .



Fig. 3

Then  $d^*(x_1, x_2, x_3, x_3) \ge |z - x_3|$ , and by  $|x_1 - x_3| \le |x_2 - x_4|$  we have  $|y - x_1| \le 2|z - x_3|$ . Therefore

$$\begin{array}{rcl} {\rm dist}\;((x_1,x_2,x_3,x_3),F)&\leq&|(x_1,x_2,x_3,x_3)-(y,x_2,x_3,x_3)|\\ &=&|y-x_1|\\ &\leq&2|z-x_3|\\ &\leq&2d^*(x_1,x_2,x_3,x_3). \end{array}$$

To prove the lemma in the general case we introduce the point  $x^* = x_2 - (x_4 - x_3)$ . Then

$$d^*(x_1, x^*, x_3, x_3) = d^*(x_1, x_4, x_3, x_4),$$
  
dist  $((x_1, x^*, x_3, x_3), F) = \text{dist} ((x_1, x_2, x_3, x_4), F),$ 

and the general case is reduced to the special case considered above.

Using (2) and (3) we get

$$\mathcal{W}(I,J) \subset (\mathbb{D}^n_{\alpha})^m \cap \varphi^{-1}(N_{4\lambda^k}(F)).$$
(4)

The set  $\varphi(\mathbb{D}^n_{\alpha})^m$  is contained in the subset  $(\mathbb{D}^n_{\rho})^4$  of  $\mathbb{R}^{4n}$ , and we get the further inclusion

$$\mathcal{W}(I,J) \subset (\mathbb{D}^n_{\alpha})^m \cap \varphi^{-1}((\mathbb{D}^n_{\rho})^4 \cap N_{4\lambda^k}(F)).$$

We shall show that there is a real  $\gamma_1$  such that for each  $\varepsilon > 0$ 

$$\operatorname{vol}\left(N_{\varepsilon}(F) \cap (\mathbb{D}_{\rho}^{n})^{4}\right) \leq \gamma_{1} \varepsilon^{n-1}, \tag{5}$$

where vol denotes the Lebesgue measure in  $\mathbb{R}^{4n}$ . Since neither F nor  $(\mathbb{D}_{\rho}^{n})^{4}$  depends on k, I, J, the number  $\gamma_{1}$  is also independent of k, I, J. This inequality (5) (with  $\varepsilon = 4\lambda^{k}$ ) together with (4) and Lemma 4 (with  $Q = N_{4\lambda^{4}}(F) \cap (\mathbb{D}_{\rho}^{n})^{4}$ ) immediately implies Lemma 2" (with  $\gamma = \gamma_{0}\gamma_{1}$ ) and therefore Lemma 2 and Theorem 1.

So we must prove (5). To this aim we describe the set F. If  $\sigma : \mathbb{R}^{4n} \to \mathbb{R}^{2n}$  is the projection which is defined by

$$\sigma(x_1,\ldots,x_4) = (x_1 - x_3, x_2 - x_4),$$

then  $F = \sigma^{-1}(F_0)$ , where

$$F_0 = \{ (x_1, x_2) \in \mathbb{R}^{2n} |_{x_1, x_2} \text{ linearly dependent in } \mathbb{R}^n \}.$$

This set  $F_0$  is a cone with vertex o, i.e.  $x \in F_0$  and  $\tau \in \mathbb{R}$  imply  $\tau x \in F_0$ . To find a basis of this cone we consider the neighbourhood  $B = \mathbb{D}_1^n \times \mathbb{D}_1^n$  of o in  $\mathbb{R}^{2n}$ . The boundary of B is

$$\partial B = (\partial \mathbb{D}_1^n \times \mathbb{D}_1^n) \cup (\mathbb{D}_1^n \times \partial \mathbb{D}_1^n) = (S^{n-1} \times \mathbb{D}_1^n) \cup (\mathbb{D}_n^1 \times S^{n-1}),$$

where  $S^{n-1} = \partial \mathbb{D}_1^n$  is the (n-1)-dimensional unit sphere. Each of the sets

$$F_0 \cap (S^{n-1} \times \mathbb{D}_1^n) = \{(x, \tau x) | x \in S^{n-1}, -1 \le \tau \le 1\}$$
  
$$F_0 \cap (\mathbb{D}_1^n \times S^{n-1}) = \{(\tau x, x) | x \in S^{n-1}, -1 \le \tau \le 1\}$$

is a smooth compact *n*-dimensional manifold, and  $(x, \tau) \mapsto (x, \tau x), (\tau, x) \mapsto (\tau x, x)$  $(x \in S^{n-1}, \tau \in [-1, 1])$  define homeomorphisms  $S^{n-1} \times [-1, 1] \to F_0 \cap (S^{n-1} \times \mathbb{D}_1^n), [-1, 1] \times S^{n-1} \to F_0 \cap (\mathbb{D}_1^{n-1} \times S^{n-1})$ , respectively. Both manifolds have the same boundary

$$F_0 \cap (S^{n-1} \times S^{n-1}) = \{(x, \tau x) | x \in S^{n-1}, \tau = \pm 1\}$$

and no further common points. Therefore their union  $F_0 \cap \partial B$  is a topological manifold without boundary, and this manifold is a basis of the cone  $F_0$ . So  $F_0$  is the cone over an *n*-dimensional topological manifold which is the union of two smooth compact *n*-dimensional manifolds with common boundary, and it is not

hard to see that  $F_0 \setminus \{0\}$  is a smooth (n+1)-dimensional manifold. The codimension of  $F_0$  in  $\mathbb{R}^{2n}$  is n-1, and we can find a real  $\gamma'$  such that for any  $\varepsilon > 0$  we have

$$\operatorname{vol}\left(N_{\varepsilon}(F_0) \cap (\mathbb{D}_{2o}^n)^2\right) \leq \gamma' \varepsilon^{n-1},$$

where vol denotes the Lebesgue measure in  $\mathbb{R}^{2n}$ . Since  $\sigma((\mathbb{D}_{\rho}^{n})^{4}) \subset (\mathbb{D}_{2\rho}^{n})^{2}$  this inequality shows that there is a  $\gamma_{1}$  which satisfies (5).

#### 3. PROOF OF THEOREM 2

We fix an odd integer  $n = 2n'+1 \ge 3$ . The main part in the proof of the theorem will be the proof of the following lemma.

**Lemma 6.** Let  $r \geq 1$  be an integer, and let

$$\theta_r = 2^{2n'+2} \binom{n}{n'} r^{n'}, \qquad \lambda > \frac{1}{2} r^{-1}.$$

Then there is a non empty open subset  $\mathcal{U}_r$  of  $C^1(S^1, \mathbb{R}^n)$  such that for any  $v \in \mathcal{U}_r$  the restriction of  $f_{\theta_r,\lambda,v}$  to its attractor is not injective.

Before proving this lemma we show how it implies Theorem 2. Since  $\frac{1}{2}r^{-1} = 2^{1+2/n'} {n \choose n'} \theta_r^{-1/n'}$ , as an immediate consequence of the lemma we get

$$\lambda_n(\theta_r) \le 2^{1+2/n'} {n \choose n'}^{1/n'} \theta_r^{-1/n'}.$$

For  $n' \to \infty$  the value  $\binom{n}{n'}^{1/n'}$  tends from below to 4, and it is easy to see that

$$\lambda_n(\theta_r) \le 24\theta_r^{-1/n'}.$$

If  $\theta_r \leq \theta < \theta_{r+1}, \quad r \geq 3$ , then

$$\lambda_n(\theta) \le \lambda_n(\theta_r) \le 24\theta_r^{-1/n'} = 24\left(\frac{\theta}{\theta_r}\right)^{1/n'} \theta^{-1/n'} < 24\left(\frac{\theta_{r+1}}{\theta_r}\right)^{1/n'} \theta^{-1/n'}$$
$$\le 24\frac{r+1}{r} \theta^{-1/n'} \le 32\theta^{-1/n'}$$

If  $2 \leq \theta < \theta_3$  then we use  $\lambda_n(\theta) \leq \theta^{-1/n}$  (see Remark 1). So we get

$$\begin{aligned} \lambda_{n}(\theta) &\leq \theta^{-1/(2n'+1)} &= \theta^{(n'+1)/[n'(2n'+1)]} \theta^{-1/n'} \\ &< \theta_{3}^{(n'+1)/[n'(2n'+1)]} \theta^{-1/n'} \\ &= 2^{2(n'+1)^{2}/[n'(2n'+1)]} {n \choose n'}^{(n'+1)/[n'(2n'+1)]} 3^{(n'+1)/(2n'+1)} \theta^{-1/n'} \\ &\leq 2^{8/3} \cdot 3^{2/3} \cdot 3^{2/3} \cdot \theta^{-1/n'} \\ &< 32\theta^{-1/n'}. \end{aligned}$$

Therefore for each  $\theta \geq 2$  we have  $\lambda_n(\theta) \leq 32\theta^{-1/n'}$ , and since 1/n' = 2/(n-1) the theorem is proved.

In the proof of Lemma 6 we shall apply the following Lemma 8. Let  $\mathfrak{Q}(\rho)$  be the set of all *n*-dimensional cubes Q in  $\mathbb{R}^n$  whose edges are parallel to the axes of  $\mathbb{R}^n$  and have length  $\rho$ . The *k*-dimensional skeleton of a cube Q, i.e. the union of its *k*-dimensional faces, will be denoted by  $S_k(Q)$ . **Lemma 7.** Let Q', Q'' be q-dimensional cubes in  $\mathbb{R}^q$ , where q = 2q' is even. We assume that Q', Q'' intersect, that Q', Q'' have the same edge length and that the edges of both cubes are parallel to the axes of  $\mathbb{R}^q$ . Then  $S_{q'}(Q') \cap S_{q'}(Q'') \neq \emptyset$ .

**Lemma 8.** If  $Q'(\tau), Q''(\tau)$  ( $\tau \in [0,1]$ ) are two continuous families of cubes in  $\mathfrak{Q}(\rho)$ , then  $Q'(0) \cap Q''(0) \neq \emptyset, Q'(1) \cap Q''(1) = \emptyset$  implies that there is a  $\tau_0 \in [0,1]$  such that  $S_{n'}(Q'(\tau_0)) \cap S_{n'}(Q''(\tau_0)) \neq \emptyset$ .

The proof of Lemma 7 is easy and can be omitted. The topological background of Lemma 8 is the fact that for two cubes Q', Q'' of  $\mathfrak{Q}(\rho)$  with  $Q' \cap Q'' \neq \emptyset, S_{n'}(Q') \cap S_{n'}(Q'') = \emptyset$  these two n'-dimensional skeletons must be linked as indicated for n = 3, n' = 1 in Figure 4.



Fig. 4

Proof of Lemma 8. We define

$$\tau_0 = \sup\{\tau \in [0,1] | Q'(\tau) \cap Q''(\tau) \neq \emptyset\}.$$

Then  $Q'(\tau_0) \cap Q''(\tau_0) \neq \emptyset$ , but Int  $Q'(\tau_0) \cap$  Int  $Q''(\tau_0) = \emptyset$ . If  $H_1, \ldots, H_{2n}$  are the (n-1)-dimensional Hyperplanes in  $\mathbb{R}^n$  each of which contains an (n-1)dimensional face of  $Q'(\tau_0)$ , then there is at least one  $H_i$  such that  $Q'(\tau_0), Q''(\tau_0)$  lie on different sides of  $H_i$ . (Otherwise the interiors of the two cubes would intersect.) Let F', F'' be the (n-1)-dimensional faces of  $Q'(\tau_0), Q''(\tau_0)$ , respectively, which lie in  $H_i$ . Then  $F' \cap F'' \neq \emptyset$ , and since n-1 = 2n' is even we can apply Lemma 1. So we get  $S_{n'}(F') \cap S_{n'}(F'') \neq \emptyset$  and therefore  $S_{n'}(Q'(\tau_0)) \cap S_{n'}(Q''(\tau_0)) \neq \emptyset$ . **Proof of Lemma 6.** Let  $\mathfrak{Q}_r (r \geq 1$  an integer) be the lattice of all cubes from  $\mathfrak{Q}(r^{-1})$  whose vertices belong to the point lattice  $(r^{-1}\mathbb{Z})^n$  in  $\mathbb{R}^n$ . By  $\mathfrak{P}_r$  we denote the set of all  $Q \in \mathfrak{Q}_r$  with  $Q \subset I^n, Q \cap S_{n'}(I^n) \neq \emptyset$ , where  $I^n$  is the cube  $[-1,1]^n$  in  $\mathbb{R}^n$ . Since an *n*-dimensional cube has  $2^{n-n'}\binom{n}{n'}$  n'-dimensional faces and since the edge length of  $I^n$  is 2, the number  $\#\mathfrak{P}_r$  of cubes in  $\mathfrak{P}_r$  can be estimated by

$$\#\mathfrak{P}_{r} \leq 2^{n'+1} \binom{n'}{n} (2r)^{n'} = 2^{2n'+1} \binom{n}{n'} r^{n'}.$$

We fix numbers  $\theta_r$ ,  $\lambda$  as in the lemma and consider the set  $S^1 \times I^n$  which can be regarded as a solid torus with corners in  $S^1 \times \mathbb{R}^n$ . Then we define  $\mathcal{U}_r$  to be the set of all  $v \in C^1(S^1, \mathbb{R}^n)$  with the following two properties.

- (i) If  $t \in S^1, Q \in \mathfrak{P}_r$ , then there is a  $t' \in S^1$  such that the cube  $f_{\theta_r,\lambda,\nu}(\{t'\} \times I^n)$  contains the cube  $\{t\} \times Q$  in its interior.
- (ii) There is a  $t^* \in S^1$  such that

$$f_{\theta_r,\lambda,\nu}(\{t^*\}\times I^n)\cap f_{\theta_r,\lambda,\nu}((S^1\backslash\{t^*\})\times I^n)=\emptyset.$$

Using an compactness argument it is not hard to see that  $\mathcal{U}_r$  is open in  $C^1(S^1, \mathbb{R}^n)$ .

To prove that  $\mathcal{U}_r$  is not empty, i.e. to find a mapping v in  $C^1(S^1, \mathbb{R}^n)$  which belongs to  $\mathcal{U}_r$ , we remark first that  $\theta_r > 2\#\mathfrak{P}_r$  and that  $\lambda$  times the edge length of  $I^n$  is greater than the edge length of the cubes in  $\mathfrak{P}_r$ . Let  $\mathfrak{P}_r = \{Q_1, \ldots, Q_p\}$ , and let  $z_i$  be the centre of  $Q_i$ . We decompose [0,1] in the  $\theta$  subintervals  $I_i = [\frac{i-1}{\theta}, \frac{i}{\theta}]$   $(1 \leq i \leq \theta)$ . Since  $\theta \geq 2p$  it is easy to find a  $v \in C^1(S^1, \mathbb{R}^n)$  such that  $v(t) = z_i$  for all  $t \in I_{2i}$   $(1 \leq i \leq p)$ . Obviously for such a v the mapping  $f_{\theta_r,\lambda,v}$ has the property (i). Then to get property (ii) we define  $t^* = \frac{1}{2\theta}$  and modify v in the interval  $I_1$  so that the equation of (ii) is satisfied.

To prove Lemma 6 we must show that for  $v \in \mathcal{U}_r$  the restriction of  $f_{\theta_r,\lambda,v}$  to its attractor  $\Lambda$  is not injective. Therefore we shall construct points  $x' \neq x''$  in  $\Lambda$  with  $f_{\theta_r,\lambda,v}(x') = f_{\theta_r,\lambda,v}(x'')$ .

In the first step of the construction we apply (i) to find points  $t'_1, t''_1$  in  $S^1$  such that

 $t_1' \neq t_1'', \theta t_1' = \theta t_1''$  $f_{\theta_r,\lambda,v}(\{t_1'\} \times I^n) \cap f_{\theta_r,\lambda,v}(\{t_1''\} \times I^n) \neq 0.$ 

Obviously  $t'_1$  can not coincide with the point  $t^*$  of (ii).

In the second step we denote the point  $t^*$  of (ii) by  $t_1^*$  and coinsider the point  $t_1^{**}$  for which the arcs  $[t_1', t_1^*], [t_1'', t_1^{**}]$  have the same length so that  $\theta t_1^* = \theta t_1^{**}$ . By (ii) the cubes

$$f_{\theta_r,\lambda,v}(\{t_1^*\} \times I^n), \quad f_{\theta_r,\lambda,v}(\{t_1^{**}\} \times I^n)$$

are disjoint, and we consider the following two families of cubes

$$Q'(\tau) = f_{\theta_{\tau},\lambda,v}(\{t'_{1} + \tau(t^{*}_{1} - t'_{1})\} \times I^{n})$$
  

$$\tau \in [0,1]$$
  

$$Q''(\tau) = f_{\theta_{\tau},\lambda,v}(\{t''_{1} + \tau(t^{**}_{1} - t''_{1})\} \times I^{n}).$$

Since  $Q'(0) \cap Q''(0) = \emptyset$ ,  $Q'(1) \cap Q''(1) \neq \emptyset$  we can apply Lemma 8 and find a value  $\tau_0$  such that for

$$s_1' = t_1' + \tau_0(t_1^* - t_1'), \quad s_1'' = t_1'' + \tau_0(t_1^{**} - t_1'')$$

we have

$$s_1' \neq s_1'', \quad \theta s_1' = \theta s_1'',$$

$$S_n(f_{\theta_r,\lambda,\nu}(\{s_1'\}\times I^n))\cap S_n(f_{\theta_r,\lambda,\nu}(\{s_1''\}\times I^n))\neq \emptyset.$$

This implies that there are cubes  $Q'_1, Q''_1$  in  $\mathfrak{P}_r$  for which

$$f_{ heta_r,\lambda,v}(\{s_1'\} imes Q_1') \cap f_{ heta_r,\lambda,v}(\{s_1''\} imes Q_1'') 
eq \emptyset,$$

and applying (i) we find points  $t_1', t_2'' \in S^1$  such that  $\theta t_2' = s_1', \theta t_2'' = s_2''$ 

$$f_{\theta_r,\lambda,v}(\{t'_2\} \times I^n) \supset \{s'_i\} \times Q'_1, \quad f_{\theta_r,\lambda,v}(\{t''_2\} \times I^n) \supset \{s''_1\} \times Q''_1.$$

These points  $t'_2, t''_2$  have the following properties

$$\begin{aligned} \theta t_2' \neq \theta t_2'', \quad \theta^2 t_2' = \theta^2 t_2'', \\ f_{\theta_r,\lambda,v}^2(\{t_2'\} \times I^n) \cap f_{\theta_r,\lambda,v}^2(\{t_2''\} \times I^n) \neq \emptyset, \end{aligned}$$

and we conclude the second step of the construction with the remark that  $\theta t'_2$  can not be the point  $t^*$ .

In the third step we consider the point  $t_2^* \in S^1$  for which  $\theta t_2^* = t_1^* = t^*$  and the arc  $[t_2', t_2^*]$  does not contain any further point t with  $\theta t = t^*$ . Then there is a unique point  $t_2^{**}$  for which the arcs  $[t_2', t_2^*], [t_2'', t_2^{**}]$  have the same length so that  $\theta^2 t_2^* = \theta^2 t_2^{**}$ . By (ii)

$$f^2_{\theta_{\tau},\lambda,\upsilon}(\{t_2^*\}\times I^n)\cap f^2_{\theta_{\tau},\lambda,\upsilon}(\{t_2^{**}\}\times I^n)=\emptyset,$$

and as in the second step we find points  $s'_2 \in [t'_2, t^*_2], s''_2 \in [t''_2, t^{**}_2]$  such that

$$heta s_2' \neq heta s_2'', \quad heta^2 s_2' = heta^2 s_2'',$$

$$S_n(f^2_{\theta_r,\lambda,v}(\{s'_2\}\times I^n))\cap S_n(f^2_{\theta_r,\lambda,v}(\{s''_2\}\times I^n))\neq \emptyset.$$

Then there are cubes  $Q'_2, Q''_2$  in  $\mathfrak{P}_r$  such that

$$f^2_{\theta_r,\lambda,\nu}(\{s'_1\}\times Q'_2)\cap f_{\theta_r,\lambda,\nu}(\{s''_2\}\times Q''_2))\neq \emptyset,$$

and by (i) we find  $t'_3, t''_3 \in S^1$  such that  $\theta t'_3 = s'_2, \theta t''_3 = s''_2$ ,

$$f_{\theta_r,\lambda,v}(\{t'_3\}\times I^n)\supset \{s'_2\}\times Q'_2, \quad f_{\theta_r,\lambda,v}(\{t''_3\}\times I^n)\supset \{s''_2\}\times Q''_2.$$

So we have

$$\theta^2 t'_3 \neq \theta^2 t''_3, \quad \theta^3 t'_3 = \theta^3 t''_3$$

 $f^3_{\theta_r,\lambda,\upsilon}(\{t'_3\} \times I^n) \cap f^3_{\theta_r,\lambda,\upsilon}(\{t''_3\} \times I^n) \neq \emptyset.$ Continuing in this way we find points  $t'_1, t'_2, \ldots, t''_1, t''_2, \ldots$  such that

$$\theta^{k-1}t'_{k} \neq \theta^{k-1}t''_{k}, \quad \theta^{k}t'_{k} = \theta^{k}t''_{k}$$

$$f^{k}_{\theta_{r},\lambda,\nu}(\{t'_{k}\} \times I^{n}) \cap f^{k}_{\theta_{r},\lambda,\nu}(\{t''_{k}\} \times I^{n}) \neq \emptyset \quad (k = 1, 2, \dots).$$

$$(7)$$

To get the points x', x'' we consider  $\overline{t}'_k = \theta^{k-1}t'_k, \overline{t}''_k = \theta^{k-1}t''_k$  and the centres  $x'_k, x''_k$  of the cubes  $f^{k-1}_{\theta_r,\lambda,v}(\{t'_k\} \times I^n), f^{k-1}_{\theta_r,\lambda,v}(\{t''_k\} \times I^n)$  in  $\{\overline{t}'_k\} \times \mathbb{R}^n$  or in  $\{\overline{t}''_k\} \times \mathbb{R}^n$ , respectively. All these points  $x'_k, x''_k$  belong to a compact subset of  $S^1 \times \mathbb{R}^n$ , and we can find a sequence  $k_1 < k_2 < \ldots$  of indices for which the sequences  $(x'_{k_j}), (x''_{k_j}), (j = 1, 2, \ldots)$  converge to points x', x'', respectively. To see that x', x'' lie in  $\Lambda$  we consider a compact subset K of  $S^1 \times \mathbb{R}^n$  such that  $S^1 \times I^n \subset K, f_{\theta_r,\lambda,v}(K) \subset K$ . Then  $x', x'' \in \bigcap_{k=1}^{\infty} f^k_{\theta_r,\lambda,v}(K) \subset \Lambda$ . Now we show  $x' \neq x''$ . If  $\overline{t}', \overline{t}''$  are the projections of

x', x'', respectively, to  $S^1$ , then  $\overline{t}' = \lim_{j \to \infty} \overline{t}'_{k_j}, \overline{t}'' = \lim_{j \to \infty} \overline{t}''_{k_j}$ . By (6) we have  $\overline{t}'_{k_j} \neq \overline{t}''_{k_j}$ but  $\theta \overline{t}'_{k_j} = \theta \overline{t}_{k_j}$  and therefore dist $(\overline{t}'_{k_j}, \overline{t}''_{k_j}) \geq \theta^{-1}$  which implies dist $(\overline{t}', \overline{t}'') \geq \theta^{-1}$ and hence  $x' \neq x''$ . Finally we prove  $f_{\theta_r,\lambda,\nu}(x') = f_{\theta_r,\lambda,\nu}(x'')$ . By (7) the cubes  $f^k_{\theta_r,\lambda,\nu}(\{t'_{k_j}\} \times I^n), f^k_{\theta_r,\lambda,\nu}(\{t''_{k_j}\} \times I^n)$  with centres  $f_{\theta_r,\lambda,\nu}(x'_{k_j}), f_{\theta_r,\lambda,\nu}(x''_{k_j})$ , respectively, and edge length  $\lambda^{k_j}$  intersect so that the distance between these two points is at most  $\sqrt{n}\lambda^{k_j}$ . Since

$$f_{\theta_r,\lambda,\upsilon}(x') = \lim_{j\to\infty} f_{\theta_r,\lambda,\upsilon}(x'_{k_j}), \quad f_{\theta_r,\lambda,\upsilon}(x'') = \lim_{j\to\infty} f_{\theta_r,\lambda,\upsilon}(x''_{k_j}),$$

this implies  $f_{\theta_{\tau},\lambda,\nu}(x') = f_{\theta_{\tau},\lambda,\nu}(x'')$ .

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