

Decay of Eigenfunctions of Magnetic Hamiltonians

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Nano-Optoelectronic Systems*

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Based on the paper:

V. Iftimie, R. Purice: *Eigenfunctions decay for magnetic pseudodifferential operators*, **preprint arXiv:1005.1743, 10 pp.**

Plan of the talk

- The Result
- The Abstract Weighted Estimation
- Proof of the main Theorems

The Result

We work in \mathbb{R}^d (with $d \geq 2$) and consider:

- A magnetic field B described by a closed 2-form

$$B = \frac{1}{2} \sum_{1 \leq j, k \leq d} B_{jk} dx_j \wedge dx_k, \quad dB = 0, \quad B_{jk} = -B_{kj}.$$

- A classic Hamiltonian defined by a *real function* $h \in S^m(\mathbb{R}^d)$,
i.e.:

$$h \in C^\infty(\mathbb{R}^d \times \mathbb{R}^d)$$

and there exist $m \in \mathbb{R}$ such that $\forall \alpha \in \mathbb{N}^d, \forall \beta \in \mathbb{N}^d$:

$$\sup_{(x, \xi) \in \mathbb{R}^d \times \mathbb{R}^d} \langle \xi \rangle^{-m+|\beta|} \left| (\partial_x^\alpha \partial_\xi^\beta a)(x, \xi) \right| < \infty.$$

Hypothesis B

$$B_{jk} \in BC^\infty(\mathbb{R}^d) := \left\{ f \in C^\infty(\mathbb{R}^d) \mid \partial^\alpha f \in L^\infty(\mathbb{R}^d) \forall \alpha \in \mathbb{N}^d \right\}.$$

Hypothesis h

For $m > 0$ the symbol $a \in S^m(\mathbb{R}^d)$ is elliptic, i.e. $\exists C > 0, \exists R > 0$ such that

$$|a(x, \xi)| \geq C \langle \xi \rangle^m \quad \forall (x, \xi) \in \mathbb{R}^d \times \mathbb{R}^d \text{ with } |\xi| \geq R.$$

The Framework

We can now use the *magnetic covariant quantization* to define the *quantum Hamiltonian* associated to \hbar and B .

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Hypothesis A

We shall consider a smooth *vector potential* defining our magnetic field:

$$A = \sum_{1 \leq j \leq d} A_j dx_j, \quad A_j \in C_{\text{pol}}^{\infty}(\mathbb{R}^d), \quad B = dA$$

with $C_{\text{pol}}^{\infty}(\mathbb{R}^d)$ the space of infinitely differentiable functions with at most polynomial growth together with all their derivatives.

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(This always exist, the transverse gauge giving an explicit example).

The Framework

- For any $u \in \mathcal{S}(\mathbb{R}^d)$ (the Schwartz test functions), we define the operator:

$$\forall x \in \mathbb{R}^d, \quad \left[\mathcal{D}_p^A(h)u \right] (x) :=$$

$$(2\pi)^{-d} \int_{\mathbb{R}^{2d}} e^{i\langle x-y, \eta \rangle} \omega^A(x, y) h\left(\frac{x+y}{2}, \eta\right) u(y) dy d\eta,$$

where $\omega^A(x, y) := \exp\left(-i \int_{[x,y]} A\right)$, $\forall (x, y) \in \mathbb{R}^d \times \mathbb{R}^d$.

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- **Theorem** [IMP '07]: The operator $\mathfrak{D}p^A(h)$ is bounded for $m \leq 0$ and for $m > 0$ it is essentially self-adjoint on $\mathcal{S}(\mathbb{R}^d)$; we denote by $H(h, A)$ its closure in $L^2(\mathbb{R}^d)$.

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- **Theorem** [IMP '07]: For $m > 0$ the domain of $H(h, A)$ is

$$\mathcal{H}_A^s(\mathbb{R}^d) := \left\{ u \in L^2(\mathbb{R}^d) \mid P_s u \in L^2(\mathbb{R}^d) \right\},$$

with $P_s := \mathfrak{D}p^A(p_s)$, $p_s(\eta) := \langle \eta \rangle^s$.

Theorem A - Polynomial Decay

Let us suppose that

- Hypothesis h is verified,
- the magnetic field verifies Hypothesis B,
- we fixed a vector potential for the magnetic field as in Hypothesis A.

Let $\lambda \in \sigma_{\text{disc}}(H(h, A))$ and $u \in \text{Ker}(H(h, A) - \lambda)$.

Then

- 1 $\langle x \rangle^p u \in \bigcap_{n \in \mathbb{N}} D(H(h, A)^n) \quad \forall p \in \mathbb{N}.$
- 2 If $m > 0$ or if $m < 0$ and $\lambda \neq 0$ then $u \in \mathcal{S}(\mathbb{R}^d).$

Notation: For $\delta > 0$

$$D_\delta := \left\{ \zeta \in \mathbb{C}^d \mid |\zeta_j| < \delta, \forall j \in \{1, \dots, d\} \right\}.$$

Hypothesis ω (of analytic extension)

Let $h \in S^m(\mathbb{R}^d)$ and suppose that there exists $\delta > 0$ and a function $\tilde{h} : \mathbb{R}^d \times D_\delta \rightarrow \mathbb{C}$ such that:

- for any $x \in \mathbb{R}^d$ the function $\tilde{h}(x, \cdot) : D_\delta \rightarrow \mathbb{C}$ is analytic;
- the map $\mathbb{R}^d \times \mathbb{R}^d \ni (x, \eta) \mapsto \tilde{h}(x, \eta + i\xi) \in \mathbb{C}$ is of class $S^m(\mathbb{R}^d)$ uniformly (for the Fréchet topology) with respect to $\xi = (\xi_1, \dots, \xi_d) \in \mathbb{R}^d$ for $|\xi_j| < \delta, 1 \leq j \leq d$;
- we have: $h = \tilde{h}|_{\mathbb{R}^d \times \mathbb{R}^d}$.

Theorem B - Exponential Decay

Let us suppose that

- Hypothesis h and ω are verified,
- the magnetic field verifies Hypothesis B,
- we fixed a vector potential for the magnetic field as in Hypothesis A.

Let $\lambda \in \sigma_{\text{disc}}(H(h, A))$ and $u \in \text{Ker}(H(h, A) - \lambda)$.

Then there exists $\epsilon_0 > 0$ such that for any $\epsilon \in (0, \epsilon_0]$ we have that

- ① $e^{\epsilon \langle x \rangle} u \in \bigcap_{n \in \mathbb{N}} D(H^n)$.
- ② If $m > 0$ or if $m < 0$ and $\lambda \neq 0$ then $e^{\epsilon \langle x \rangle} u \in \mathcal{S}(\mathbb{R}^d)$.

The Abstract Weighted Estimation

- Let $f : \mathbb{R}^d \rightarrow [1, \infty)$ be a measurable function.
- Let $f_\epsilon(x) := f(\epsilon x)$ for any $\epsilon > 0$.

Hypothesis W

Let H be a self-adjoint operator in $L^2(\mathbb{R}^d)$. We suppose that:

- for any $u \in D(H)$ and $\epsilon \in (0, 1]$ we have that $f_\epsilon^{-1}u \in D(H)$;
- on $D(H)$ we define $H_\epsilon := f_\epsilon H f_\epsilon^{-1} = H + \epsilon R_\epsilon$ for any $\epsilon \in (0, 1]$ and suppose that the operators R_ϵ are H -relatively bounded uniformly in $\epsilon \in (0, 1]$.

Proposition

Let H be a self-adjoint operator in $L^2(\mathbb{R}^d)$ that verifies Hypothesis W with respect to a weight function f and suppose $\lambda \in \sigma_{\text{disc}}(H)$. Then there exists $\epsilon_0 \in (0, 1]$ such that for any $\epsilon \in (0, \epsilon_0]$ we have that $f_\epsilon u \in D(H^n)$ for any $n \geq 1$ and any $u \in \text{Ker}(H - \lambda)$.

The proof is based on basic perturbation results and the following observation: $\forall v_\epsilon \in D(H)$

$$u_\epsilon := f_\epsilon^{-1} v_\epsilon \in D(H), \text{ and } (H - \lambda_\epsilon)u_\epsilon = f_\epsilon^{-1}(H_\epsilon - \lambda_\epsilon)v_\epsilon.$$

Proof of the Main Theorems

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Definition

For $a \in S^m(\mathbb{R}^{2d} \times \mathbb{R}^d)$ we consider the linear operator defined by the following oscillatory integral:

$$[\mathfrak{E}(a)u](x) := (2\pi)^{-1} \int_{\mathbb{R}^{2d}} e^{i\langle x-y, \eta \rangle} \omega^A(x, y) a(x, y, \eta) u(y) dy d\eta,$$

$$\forall u \in \mathcal{S}(\mathbb{R}^d), \quad \forall x \in \mathbb{R}^d.$$

Proposition

Let $a \in S^m(\mathbb{R}^{2d} \times \mathbb{R}^d)$ for some $m \in \mathbb{R}$. Then there exists a unique symbol $\mathring{a} \in S^m(\mathbb{R}^d)$ such that $\mathfrak{E}(a) = \mathfrak{Op}^A(\mathring{a})$ and the following map is continuous

$$S^m(\mathbb{R}^{2d} \times \mathbb{R}^d) \ni a \mapsto \mathring{a} \in S^m(\mathbb{R}^d).$$

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Proof:

With $L : \mathbb{R}^{2d} \times \mathbb{R}^d \rightarrow \mathbb{R}^d \times \mathbb{R}^d$; $L(x, y, \eta) := ((x + y)/2, \eta)$ and $\mathbb{R}^{2d} \ni (u, v) \mapsto S(u, v) := ((u + v)/2, (u - v)) \in \mathbb{R}^{2d}$, for $b \in S^m(\mathbb{R}^d)$ we can write the distribution kernel of $\mathfrak{E}(a)$ as

$$K_{b \circ L} = \omega^A S^{-1} \mathcal{F}_2^{-1} b.$$

But $\omega^A S^{-1} \mathcal{F}_2^{-1}$ is an invertible operator.

Proof of Theorem A - Polynomial Decay

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- Let $f(x) := \langle x \rangle^p$ for some fixed $p \in \mathbb{N}$.
- Let $b \in S^m(\mathbb{R}^{2d} \times \mathbb{R}^d)$ for some $m \in \mathbb{R}$.
- Then there exists a bounded family of symbols $\{s_\epsilon\}_{\epsilon \in (0,1]}$ in $S^{m-1}(\mathbb{R}^{2d} \times \mathbb{R}^d)$ that verify the following equality as linear operators on $\mathcal{S}(\mathbb{R}^d)$:

$$f_\epsilon \mathfrak{E}(b) f_\epsilon^{-1} = \mathfrak{E}(b) + \epsilon \mathfrak{E}(s_\epsilon), \quad \forall \epsilon \in (0, 1].$$

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- We know that $D(H) = \mathcal{H}_A^m(\mathbb{R}^d)$ if $m > 0$ and $D(H) = L^2(\mathbb{R}^d)$ if $m \leq 0$.

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- We know that $D(H) = \mathcal{H}_A^m(\mathbb{R}^d)$ if $m > 0$ and $D(H) = L^2(\mathbb{R}^d)$ if $m \leq 0$.
- Due to the above remarks $f(x) := \langle x \rangle^p$ satisfies Hypothesis W with respect to H .

Point 2:

- $\mathbf{m} > \mathbf{0}$: For any $n \geq 1$ we have $D(H^n) = \mathcal{H}_A^{nm}(\mathbb{R}^d)$.

Thus: $u \in \text{Ker}(H(h, A) - \lambda)$ implies

$$\langle x \rangle^p u \in \mathcal{H}_A^\infty(\mathbb{R}^d) = \bigcap_{k \in \mathbb{N}} \mathcal{H}_A^k(\mathbb{R}^d), \quad \forall p \in \mathbb{N}.$$

And for $A_j \in C_{\text{pol}}^\infty(\mathbb{R}^d)$ we conclude that

$$\langle x \rangle^p \partial^\alpha u \in L^2(\mathbb{R}^d) \text{ for any } p \in \mathbb{N} \text{ and any } \alpha \in \mathbb{N}^d$$

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- $m < 0$ and $\lambda \neq 0$: H_ϵ is a magnetic pseudodifferential operator of order m .

$\forall \epsilon \in (0, \epsilon_0]$, $\exists n_\epsilon \in \mathbb{N}^*$ such that $\forall u \in \text{Ker}(H - \lambda)$ we can find $v \in L^2(\mathbb{R}^d)$ such that $(H_\epsilon - \lambda)^{n_\epsilon} v = 0$ and $u = f_\epsilon^{-1} v$.

$\lambda \neq 0$ implies that $v = Q_\epsilon v$ with Q_ϵ a magnetic pseudodifferential operator of order $m < 0$ and thus $v \in \mathcal{H}_A^\infty(\mathbb{R}^d)$ and the proof can continue as in the case $m > 0$.

Proof of Theorem B - Exponential Decay

Let us consider the function $f : \mathbb{R}^d \rightarrow [1, \infty)$; $f(x) = e^{\langle x \rangle}$.

- $b_{\epsilon,j}(x, y) := \epsilon(x_j + y_j) (\langle \epsilon x \rangle + \langle \epsilon y \rangle)^{-1}$,
- $c_\epsilon : \mathbb{R}^{2d} \times \mathbb{R}^d \rightarrow \mathbb{C}$; $c_\epsilon(x, y, \eta) := \tilde{h}\left(\frac{x+y}{2}, \eta + i\epsilon b_\epsilon(x, y)\right)$.

Proposition B.1

Under the assumptions of Theorem B, we denote by

$\epsilon_0 := \min\{1, \delta/4\}$ and for any $\epsilon \in (0, \epsilon_0]$,

we have $c_\epsilon \in S^m(\mathbb{R}^{2d} \times \mathbb{R}^d)$ uniformly with respect to $\epsilon \in (0, \epsilon_0]$ and the following equality is true

$$f_\epsilon \mathfrak{D} p^A(h) f_\epsilon^{-1} = \mathfrak{E}(c_\epsilon), \quad \epsilon \in (0, \epsilon_0].$$

Proof of Theorem B - Exponential Decay

We can write:

$$c_\epsilon(x, y, \eta) = h \left(\frac{x+y}{2}, \eta \right) + \epsilon d_\epsilon(x, y, \eta), \quad \forall (x, y, \eta) \in \mathbb{R}^{3d}, \forall \epsilon \in (0, \epsilon_0]$$

with

$$d_\epsilon(x, y, \eta) := i \int_0^1 \left\langle b_\epsilon(x, y), (\nabla_\eta \tilde{h}) \left(\frac{x+y}{2}, \eta + it\epsilon b_\epsilon(x, y) \right) \right\rangle dt$$

a family of symbols of class $S^{m-1}(\mathbb{R}^{2d} \times \mathbb{R}^d)$ uniformly with respect to $\epsilon \in (0, \epsilon_0]$.

Proposition B.2

Under the assumptions of Theorem B there exists a bounded family of symbols $\{r_\epsilon\}_{\epsilon \in (0, \epsilon_0]} \subset S^{m-1}(\mathbb{R}^d)$ such that

$$f_\epsilon \mathfrak{D}p^A(h) f_\epsilon^{-1} u = \mathfrak{D}p^A(h) + \epsilon \mathfrak{D}p^A(r_\epsilon), \quad \forall \epsilon \in (0, \epsilon_0].$$