

Model of zero-range potential with internal structure for Maxwell operator

Saint-Petersburg State University of information technologies, mechanics
and optics

Department of mathematics

Popov I. Yu.
Trifanov A.I.

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Report overview

- A model of the Helmholtz resonator;
- Self-adjoint Maxwell operator;
- Pontryagin space;
- GPI model;
- Problem for coupled domains;
- GPI model for opto-electronic system
- Conclusion;

Helmholtz resonator, Neuman

Initial operator: $L_2(\Omega^{in}) \oplus L_2(\Omega^{ex})$ $\Omega^{in}, \Omega^{ex} \subset R^3 (R^2)$

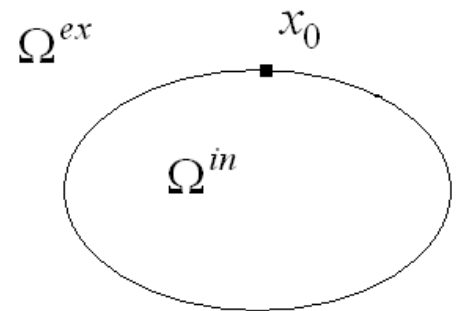
$$-\Delta = -(\Delta^{in} \oplus \Delta^{ex}) \quad \left. \frac{\partial U}{\partial x} \right|_{\partial\Omega} = 0$$

Restriction $-\Delta_0$:

$$x_0 \in \partial\Omega \quad U(x_0) = 0$$

$-\Delta_0$ is symmetric, nonself-adjoint operator

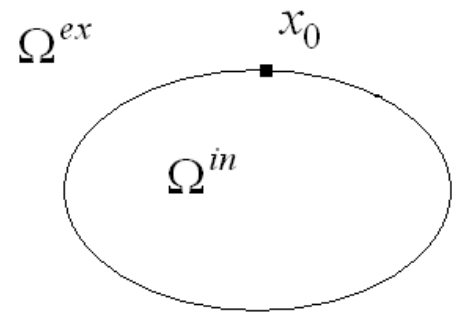
deficiency indices $(2, 2) \Rightarrow$ self-adjoint extensions exist



Helmholtz resonator, Dirichlet

Initial operator: $L_2(\Omega^{in}) \oplus L_2(\Omega^{ex})$

$$-\Delta = -(\Delta^{in} \oplus \Delta^{ex}) \quad U|_{\partial\Omega} = 0$$



Restriction $-\Delta_0$:

$$x_0 \in \partial\Omega \quad U(x_0) = 0$$

$-\Delta_0$ is symmetric, essentially self-adjoint operator

deficiency indices $(0,0)$

WHY?

Helmholtz resonator, Dirichlet

Neuman case: Green function

$$\begin{pmatrix} G^{in}(x, x_0, k) \\ G^{ex}(x, x_0, k) \end{pmatrix}$$

is a deficiency element;

Dirichlet case: derivative of the Green function may be a deficiency element:

$$\begin{pmatrix} \frac{\partial}{\partial n} G^{in}(x, x_0, k) \\ \frac{\partial}{\partial n} G^{ex}(x, x_0, k) \end{pmatrix}$$

it does't belong to $L_2(\Omega^{in}) \oplus L_2(\Omega^{ex})$

Helmholtz resonator, Dirichlet

How to construct a model?

Extension theory model for indefinite metric spaces

$$L_2(\Omega^{in}) \oplus L_2(\Omega^{ex}) \longrightarrow \text{Pontryagin space } \Pi$$

Shondin Yu.G., Tip A., Dijksma A., Popov I.Yu., et. al



All above for the Laplace operator...

Maxwell operator - ?



Maxwell operator

The starting point – self-adjoint operator

How to introduce self-adjoint Maxwell operator?

Maxwell operator

Birman M. Sh., Solomyak M. Z. Tip A.:

$$M \begin{pmatrix} \mathbf{E} \\ \mathbf{B} \end{pmatrix} = -i \begin{pmatrix} 0 & \varepsilon^{-1} \boldsymbol{\varepsilon} \mathbf{p} \mu^{-1} \\ -\boldsymbol{\varepsilon} \mathbf{p} & 0 \end{pmatrix} \begin{pmatrix} \mathbf{E} \\ \mathbf{B} \end{pmatrix}$$

$$\partial_{\mathbf{x}} (\varepsilon \mathbf{E}) = 0 \quad \partial_{\mathbf{x}} \mathbf{B} = 0 \quad \gamma_{\tau} \mathbf{E} = 0 \quad \gamma_{\nu} \mathbf{B} = 0$$

$\varepsilon(\mathbf{x}), \mu(\mathbf{x})$ - smooth strictly positive, bounded functions of $x \in R^3$,
 $\mathbf{p} = -i\partial_{\mathbf{x}}$, $\boldsymbol{\varepsilon}$ - Levi-Chivita tensor.

$\gamma_{\tau} \mathbf{E}$ and $\gamma_{\nu} \mathbf{B}$ are tangential and normal components of the corresponding fields.

GPI model

Scale of Hilbert spaces

$$\dots \subseteq H_2 \subseteq H_1 \subseteq H_0 \subseteq H_{-1} \subseteq H_{-2} \subseteq \dots$$

$$H_0 = L_2\left(\Omega^{in}, d\mathbf{x}, \square^6\right) \oplus L_2\left(\Omega^{ex}, d\mathbf{x}, \square^6\right) \quad H_k = \mathbf{R}_0(z_0)^k H_0$$

$$\mathbf{R}_0(z_0) = (M - z_0)^{-1} \quad M = M^{in} \oplus M^{ex}$$

Let $\chi_h \in H_{-3} \setminus H_{-2}$ and $\chi_{hk} \in \mathbf{R}(z_0)^{k+3} \chi_h$ $k = -2, -1, 0, 1$
 $h = 1 \dots 6$

The elements of pre-Pontryagin space are:

$$\mathbf{F} = \mathbf{F}_2 + \sum_{h=1}^6 \sum_{k=-2}^1 F_{hk} \chi_{hk} \quad \mathbf{F}_2 \in H_2 \quad F_{hk} \in \mathbb{C}$$

Pontryagin space

Pre-Pontryagin space doesn't contain the whole H_0

It should be completed into the Pontryagin space.

Let

$$\Pi_m = \left\{ (\varphi_0, \tilde{\mathbf{c}}, \mathbf{c}) \mid \varphi_0 \in H_0; \tilde{\mathbf{c}}, \mathbf{c} \in \mathbb{C}^m \right\}$$

with inner product:

$$\langle \varphi \mid \varphi' \rangle = \langle \varphi_0 \mid \varphi'_0 \rangle + \tilde{\mathbf{c}}^* \mathbf{c}' + \mathbf{c}^* \tilde{\mathbf{c}}' + \mathbf{c}^* g \mathbf{c}'$$

g is a Hermitian matrix

GPI model

The inner product:

$$[\mathbf{F}, \mathbf{G}] = (\mathbf{F}_2, \mathbf{G}_2) + \sum_{h=1}^6 \sum_{k=-2}^1 \left\{ F_{hk} (\boldsymbol{\chi}_{hk}, \mathbf{G}_2) + \bar{G}_{hk} (\mathbf{F}_2, \boldsymbol{\chi}_{hk}) \right\} + \\ + \sum_{j,h=1}^6 \sum_{k,l=-2}^1 F_{jk} \bar{G}_{hk} [\boldsymbol{\chi}_{jk}, \boldsymbol{\chi}_{hl}]$$

here

$$[\boldsymbol{\chi}_{jk}, \boldsymbol{\chi}_{hl}] = \begin{cases} (\boldsymbol{\chi}_{jk}, \boldsymbol{\chi}_{hl}), k+l \geq 0 \\ g_{kl}^{(jh)}, k+l < 0 \end{cases}$$

and

$$g_{kl}^{(jh)} = \bar{g}_{lk}^{(jh)} \quad g_{k+1,l}^{(jh)} - \bar{g}_{k,l+1}^{(jh)} = (z_0 - \bar{z}) g_{kl}^{(jh)}$$

GPI model

The resolvent for self-adjoint extension of symmetric operator in Pontryagin space is given by Krein's resolvent formula:

$$\mathbf{R}(z, \Lambda) = \mathbf{R}_0(z) - \mathbf{R}_0(z) \sum_{j,h=1}^6 |\chi_j\rangle (\Gamma^{-1})_{jh} \langle \chi_h | \mathbf{R}_0(z)$$

where

$$\Gamma(z, \Lambda)_{jh} = (\Lambda^{-1})_{jh} + \left[\left\{ \mathbf{R}_0(z) - \frac{1}{2} (\mathbf{R}_0(z) + \mathbf{R}_0(\bar{z}_0)) \right\} \chi_j, \chi_h \right]$$

GPI model

For free space we can represent the resolvent kernel in the form:

$$\langle \mathbf{x} | \mathbf{R}_0(z) | \mathbf{y} \rangle = \left\{ z^{-1} (\partial_{\mathbf{x}} \partial_{\mathbf{x}} + z^2 U) \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} - i \boldsymbol{\varepsilon} \partial_{\mathbf{x}} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right\} \frac{\exp(iz|\mathbf{x} - \mathbf{y}|)}{4\pi|\mathbf{x} - \mathbf{y}|}$$

where

$$U = (\boldsymbol{\varepsilon} \mathbf{p})^2 - \frac{\mathbf{p} \mathbf{p}}{p^2}$$

EM field-electron interaction

Initial operator: $H_0 = L_2(R^3, dx, C^6) \oplus L_2(R^3, dx)$

$$H = H_1 \oplus H_2$$

Here

H_1 - Maxwell operator in free space;

$H_2 = -\Delta + V(x)$ - Schrodinger operator of electron ;

Krein resolvent formula

Let φ_s be deficiency element of $H_s : H_s * \varphi_s = i\varphi_s$

Krein resolvent formula for the extension H_Γ of H :

$$(H_\Gamma - zI)^{-1} - (H - zI)^{-1} = \frac{H + iI}{H - iI} P \left(Q - P \frac{I + zH}{H - zI} P^{-1} \right)^{-1} P \frac{H - iI}{H - zI}$$

Where $Q = \sum_{s,p=1,2} \varphi_s \Gamma_{sp} \langle \cdot, \varphi_p \rangle$, P is the orthogonal projection from H

to the deficiency subspace N_i

Maxwell component

Maxwell operator: $L_2(R^3, dx, C^6)$

$$H_1 \begin{pmatrix} \mathbf{E} \\ \mathbf{B} \end{pmatrix} = -i \begin{pmatrix} 0 & \boldsymbol{\varepsilon} \mathbf{p} \\ -\boldsymbol{\varepsilon} \mathbf{p} & 0 \end{pmatrix} \begin{pmatrix} \mathbf{E} \\ \mathbf{B} \end{pmatrix}$$

Resolvent:

$$\mathbf{R}^1(z) = \left\{ -z^{-1} \mathbf{e}_p \mathbf{e}_p + z [p^2 - z^2] \Delta_p \right\} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \\ + p (p^2 - z^2)^{-1} \boldsymbol{\varepsilon} \cdot \mathbf{e}_p \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

$$\mathbf{e}_p = \mathbf{p} / p \quad -\Delta_p = (\boldsymbol{\varepsilon} \mathbf{p})^2$$

Resolvent $(H_\Gamma - zI)^{-1}$ construction

Hamiltonian of electron:

$$H_2 = -\Delta + V(x)$$

$$D_1(z) = \left\langle \frac{I + zH_1}{H_1 - zI} \varphi_1, \varphi_1 \right\rangle$$

$$D_2(z) = \lim_{x \rightarrow 0} \left(R_z^1(x, 0) - \frac{1}{4\pi|x|} \right), \quad R_z^i, \quad i = 1, 2,$$

is the resolvent of H_i

Resolvent

$$\mathbf{R}_z^\Gamma \begin{pmatrix} f_1 \\ f_2 \end{pmatrix} = \begin{pmatrix} R_z^1 f_1 \\ R_z^2 f_2 \end{pmatrix} + \begin{pmatrix} \frac{H_1 + iI}{H_1 - zI} & 0 \\ 0 & R_z^2(x, 0) \end{pmatrix}.$$

$$\frac{1}{(\Gamma_{11} - D_1(z))(\Gamma_{22} - D_2(z)) - |\Gamma_{12}|^2} \cdot$$

$$\begin{pmatrix} \Gamma_{22} - D_2(z) & -\Gamma_{12} \\ -\Gamma_{21} & \Gamma_{11} - D_1(z) \end{pmatrix} \begin{pmatrix} \langle (H_1 - iI)R_z^1 f_1, \varphi_1 \rangle \\ (R_z^2 f_2)(0) \end{pmatrix}$$

Solution of scattering problem

Taking $f_1 = 0$, $f_2 = \delta(x - y)$,

one obtains the “Schrodinger” component of the resolvent kernel:

$$\frac{e^{i\sqrt{z}|x-y|}}{4\pi|x-y|} + \frac{e^{i\sqrt{z}|x|}}{4\pi|x|} \frac{\Gamma_{11} - D_1(z)}{(\Gamma_{11} - D_1(z))(\Gamma_{22} - D_2(z)) - |\Gamma_{12}|^2}$$

Taking $y \rightarrow \nu\infty$

one gets the “Schrodinger” component of the solution of scattering problem

$$\psi_{\Gamma}(x, \nu) = e^{i\sqrt{z}\langle x, \nu \rangle} + \frac{e^{i\sqrt{z}|x|}}{4\pi|x|} \frac{\Gamma_{11} - D_1(z)}{(\Gamma_{11} - D_1(z))(\Gamma_{22} - D_2(z)) - |\Gamma_{12}|^2}$$

Dispersion equation

Dispersion equation

$$(\Gamma_{11} - D_1(z))(\Gamma_{22} - D_2(z)) - |\Gamma_{12}|^2 = 0$$



Open problems

- Fitting problem (comparison with realistic problems);
- Spectral problem for complex optical systems;
- Applications to photonic crystals, metamaterials, etc.



Thanks for your time!