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Most β shifts have bad ergodic properties

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1. INTRODUCTION

More than 30 years ago Rényi [1] introduced the representations of real numbers with an arbitrary base $\beta > 1$ as a generalization of the p -adic representations. One of the most studied problems in this field is the link between expansions to base β and ergodic properties of the corresponding β -shift.

In this paper we will follow the bibliography of F. Blanchard [2] and give an affirmative answer to a question on the size of the set of real numbers β having the worst ergodic properties of their β -shifts.

2. β -EXPANSIONS

Throughout this paper we denote by $[x]$ and $\{x\}$ the integer and the fractional part respectively, of the real number x .

Let $\beta > 1$ be a real number.

Definition 2.1. The expansion of a number $x \in [0, 1]$ in base β is a sequence of integers out of $\{0, 1, \dots, [\beta]\}$

$$\{i_n\}_1^\infty = \{i_n(x, \beta)\}_1^\infty,$$

defined by one of the following equivalent properties:

(1) For all $n \geq 0$

$$\sum_{k>n} \frac{i_k}{\beta^k} < \frac{1}{\beta^n}$$

(2) $i_1 = [\beta x]$ $i_2 = [\beta \{\beta x\}]$ $i_3 = [\beta \{\beta \{\beta x\}\}] \dots$

(3) If $T_\beta : [0, 1] \rightarrow [0, 1)$ is the transformation $T_\beta(x) = \beta x \pmod{1}$ then

$$i_n = [\beta T_\beta^{n-1}(x)] \quad n > 0.$$

We endow the set $\{0, \dots, [\beta]\}^{\mathbb{N}}$ with the lexicographical order ($<_{lex}$ or simply $<$) the product topology and the one-sided shift operator σ :

$$\sigma(i_1 i_2 \dots i_n \dots) = i_2 i_2 \dots i_{n+1} \dots$$

Moreover we extended the lexicographical ordering to finite blocks:

$$i_1 \dots i_n <_{lex} j_1 \dots j_m \quad \text{iff}$$

$$i_1 \dots i_n 00 \dots <_{lex} j_1 \dots j_m [\beta][\beta] \dots$$

Definition 2.2. The closure of the set of all β -expansions of $x \in [0, 1]$ is called the β -shift S_β .

Remark. S_β is σ invariant.

Parry [3] proved that the β -shift S_β is totally determined by its expansions of 1:

Theorem 2.1. *If $\{i_n(1, \beta)\}$ is not finite (i.e. it won't terminate with zeros only) then $\{s_n\} \in \{0, \dots, [\beta]\}^{\mathbb{N}}$ belongs to S_β if and only if*

$$\sigma^k\{s_n\} <_{\text{lex}} \{i_n(1, \beta)\} \quad k > 0$$

If $\{i_n(1, \beta)\} = i_1 \dots i_M 00 \dots$ then $\{s_n\}$ belongs to S_β if and only if

$$\sigma^k\{s_n\} <_{\text{lex}} i_1 \dots i_{M-1}(i_M - 1)i_1 \dots i_{M-1}(i_M - 1)i_1 \dots \quad k > 0$$

□

According to this theorem we say a word $(j_1 \dots j_m)$ is allowed iff

$$\sigma^k(j_1 \dots j_m) < \{i_n(1, \beta)\} \quad k = 0, 1, \dots, m - 1$$

Moreover he proved:

Theorem 2.2. *A sequence $\{s_n\} \in \{0, 1, \dots, [\beta]\}^{\mathbb{N}}$ is an expansion of 1 for some β iff*

$\sigma^k\{s_n\} <_{\text{lex}} \{s_n\}$ ($k > 0$) and then β is unique.

The map $\pi : \beta \mapsto \{i_n(1, \beta)\}$ is monotone increasing.

□

A more detail survey can be found in [2],[3].

3. ERGODIC PROPERTIES OF THE β -SHIFTS

In this chapter we give a brief summary of a part of Blanchard's paper. For more details and literature see [2].

The link between topological properties of $\{i_n(1, \beta)\}$ and ergodic properties of S_β is completely known. For this we look at the following classes:

Class C_1 : S_β is a subshift of finite type.

Proposition 3.1. $\beta \in C_1$ iff $\{i_n(1, \beta)\}$ is finite.

This is fulfilled for instance for $\beta = \frac{1+\sqrt{5}}{2}$

Proposition 3.2. ([3]): C_1 is dense in $(1, \infty)$

Class C_2 : S_β is sofic.

Proposition 3.3. $\beta \in C_2$ iff $\{i_n(1, \beta)\}$ is ultimately periodic.

For $\beta = \frac{3+\sqrt{5}}{2}$ the expansion of 1 is ultimately periodic but not finite.

Proposition 3.4. If S_β is sofic, then β is a Perron number.

Corollary 3.1. *The class C_2 is at most countable.*

Class C_3 : Class S_β is specified.

Proposition 3.5. *$\beta \in C_3$ iff there exists an $n \in \mathbb{N}$ such that all strings of 0's in $\{i_n(1, \beta)\}$ have length less than n . This means the origin is not an accumulation point of the orbit of 1 under T_β .*

Class C_4 : Class S_β is synchronizing.

Proposition 3.6. *$\beta \in C_4$ iff $\{i_n(1, \beta)\}$ does not contain some allowed word. That is the orbit of 1 under T_β is not dense in $[0, 1]$.*

Class C_5 : Class S_β has non of the above properties.

That is the orbit of 1 is dense and consequently $\{i_n(1, \beta)\}$ contains all allowed words.

One of the questions raised up by Blanchard is that of the size of the classes C_3, C_4 and C_5 .

The main results of this paper is to answer this question.

Definition 3.1. A subset C of $(1, \infty)$ is said to be residual iff it contains a countable intersection of open and dense sets. The complement of a residual set is called meager.

Our aim is to prove the following

Theorem 3.1. (1) C_5 is residual in $(1, \infty)$.
 (2) C_5 has full Lebesgue measure in $(1, \infty)$.
 (3) C_3 has Hausdorff dimension 1.
 (4) $C_4 \setminus C_3$ has Hausdorff dimension 1.

4. PROOF OF THE RESULTS

We start with a couple of definitions which reflect the properties of Theorem A.

Definition 4.1. A block $B = [i_1, \dots, i_m]$ is called admissible iff $\sigma^k B < B$ ($k = 1, \dots, m - 1$).

Definition 4.2. For an arbitrary block $B = [i_1, \dots, i_m]$ we define the admissability range of β by

$$AR(B) = \{\beta \in (1, \infty) | \sigma^k B < \{i_m(1, \beta)\}, k = 1, \dots, m - 1\}$$

and the admissible block range by

$$\mathcal{AR}(B) = \{C \mid C \text{ is admissible and } \sigma^k B < C \text{ } k = 1, \dots, m-1\}.$$

Remark. $\mathcal{AR}(B)$ are the starting blocks of the expansions of 1 for $\beta \in \mathcal{AR}(B)$.

Lemma 4.1. *Let $B = [i_1, \dots, j_m]$ be an admissible block. Then the cylinder set*

$$C_B = \{\beta \in (1, \infty) \mid i_k(1, \beta) = j_k \text{ } k = 1, \dots, m\}$$

is the half-open interval $[\beta_1, \beta_2)$ with $\beta_1 = \beta_1(B)$ the only solution in $(1, \infty)$ of the equation

$$\beta = j_1 + \frac{j_2}{\beta} + \dots + \frac{j_m}{\beta^{m-1}}$$

and $\beta_2 = \beta_2(B)$ is the limit of the unique solutions in $(1, \infty)$ of the equations

$$\beta_N = j_1 + \frac{j_2}{\beta} + \dots + \frac{j_N}{\beta^{m+N-1}} \quad N \in \mathbb{N}$$

where $[j_1, \dots, j_m, j_{m+1}, \dots, j_N]$ is the maximal admissible block of length N starting with entries $[j_1, \dots, j_m]$.

The diameter of C_B is at most $\frac{1}{\beta_2^{m-1}}$.

Proof. Because $j_1 j_2 \dots j_m 000 \dots$ is the smallest sequence in the set $\pi(C_B)$ it follows from the monotonicity of π that β_1 is the least number in C_B . The second part of the lemma follows from the fact that each orbit of 1 begins with admissible blocks only and from the characterization 1 of β -expansions in definition 2.1.

The last part is a consequence of the fact that β_2 fulfills the inequation

$$\beta_2 \leq j_1 + \frac{j_2}{\beta} + \dots + \frac{j_m + 1}{\beta^{m-1}}$$

this follows again from the characterization 1 in definition 2.1. and the equality:

$$|\beta_2 - \beta_1| \leq \left| j_1 + \frac{j_2}{\beta_2} + \dots + \frac{j_m + 1}{\beta_2^{m-1}} - \left(j_1 + \frac{j_2}{\beta_1} + \dots + \frac{j_m}{\beta_1^{m-1}} \right) \right|$$

□

Definition 4.3. An admissible block $[i_1, \dots, i_n]$ is called N -delaying for some natural number N iff for all blocks $B = [j_1, \dots, j_m]$, such that $[i_1, \dots, i_n] \in \mathcal{AR}(B)$ the block

$$[i_1, \dots, i_n, \underbrace{0, \dots, 0}_N, j_1, \dots, j_m]$$

is admissible.

In the next lemma we give a necessary condition for a block not being N -delaying.

Lemma 4.2. *If an admissible block C is not N -delaying it has the form*

$$C = [i_n, \dots, i_m, \underbrace{0, \dots, 0}_{N+1}, i_{m+N+2}, \dots, i_M, i_1, \dots, i_m]$$

for some $m \in \mathbb{N}$, $M > m + N + 2$.

Proof. Let $B = [1]$ then $C \in \mathcal{AR}(B)$ but

$$\sigma^M([i_1, \dots, i_m, 0, \dots, 0, i_{m+N+2}, \dots, i_M, i_1, \dots, i_m, \underbrace{0, \dots, 0}_N, 1]) > C, \underbrace{0, \dots, 0}_N, B.$$

So C is not N -delaying. On the other hand if C has not that form it follows from the admissability of C that if B is s.t. $C \in \mathcal{AR}(B)$ then

$$\sigma^k(C, \underbrace{0, \dots, 0}_N, B) < C, 0, \dots, 0, B$$

$k = 1, \dots$, length of $[C, 0, \dots, 0, B]$. \square

Lemma 4.3. *Let $B = [i_1, \dots, i_n]$ be a N -delaying block. Then the cylinder set C_B has diameter at least $\frac{1}{\beta_2(B)^{n+N}}$.*

Proof. Since B is N -delaying the only solutions $\underline{\beta}$ and $\overline{\beta}$ of the equations

$$\beta = i_1 + \frac{i_2}{\beta} + \dots + \frac{i_n}{\beta^{n-1}} \quad \text{and}$$

$$\beta = i_1 + \frac{i_2}{\beta} + \dots + \frac{i_n}{\beta^{n-1}} + \frac{1}{\beta^{N+n}} \quad \text{respectively}$$

are contained in C_B . But they fulfill the inequality

$$|\overline{\beta} - \underline{\beta}| > \overline{\beta}^{-(N+n)} > \beta_2(B)^{-(N+n)}$$

\square

Lemma 4.4. *There is a constant $A > 0$ such that for each natural M the set $D_{M,L}$ of cylinder sets in $[N-1, N]$ of length M which are not L -delaying has measure at most $A(N-1)^L$.*

Proof. By lemma 4.2. a non- L -delaying block of length M has the form $[N-1, j_2, \dots, j_k, \underbrace{0, \dots, 0}_{L+1}, i_1, \dots, i_m, N-1, \dots, j_k]$ with $j_k \neq 0, 2k + L + m = M$.

Each cylinder set $C_L = C_{[N-1, j_2, \dots, j_k, \underbrace{0, \dots, 0}_{L+1}]}$ has length at most $\beta_2(C_L)^{-(k+L)}$ (lemma 4.3).

Since $N - 1 > 0$ by the same argument as in lemma 4.3. the cylinder sets $C_{[N-1, \dots, j_k, \underbrace{0, \dots, 0}_{L+1}, i_1, \dots, i_m]}$ have length at least $\beta_2(C_L)^{-(k+L+m)}$. Consequently, there are at most $\beta_2(C_2)^m$ of them. Now

$$D_{M,L} \subset \bigcup_k \bigcup_{[N-1, \dots, j_k]} \bigcup_{[i_1, \dots, i_m]} C_{[N-1, \dots, j_k, \underbrace{0, \dots, 0}_{L+1}, i_1, \dots, i_m, N-1, \dots, j_k]}$$

Where the union is taken over all blocks such that

$$[N-1, \dots, j_n, \underbrace{0, \dots, 0}_{L+1}, i_1, \dots, i_m, N-1, \dots, j_k]$$

is admissible. Then the Lebesgue measure of $D_{M,L}$ can be estimated as follows:

$$\begin{aligned} L(D_{M,L}) &\leq \sum_k \sum_{[N-1, \dots, j_k]} \sum_{[i_1, \dots, i_m]} L(C_{[N-1, \dots, j_k, \underbrace{0, \dots, 0}_{L+1}, i_1, \dots, i_m, j_1, \dots, j_k]}) \\ &\leq \sum_k \sum_{[N-1, \dots, j_k]} \beta_2(C_L)^m L(C_{[N-1, \dots, j_k, \underbrace{0, \dots, 0}_{L+1}, i_1, \dots, i_m, j_1, \dots, j_k]}) \end{aligned}$$

Using again the same arguments as above and $j_k \neq 0$ we obtain

$$\begin{aligned} &\frac{L(C_{[N-1, \dots, j_k]})}{L(C_{[N-1, \dots, j_k, \underbrace{0, \dots, 0}_{L+1}, i_1, \dots, i_m, N-1, \dots, j_k]})} \geq \\ &\geq \frac{\beta_2(C_{[N-1, \dots, j_k]})^{-(k-2)}}{\beta_2(C_{[N-1, \dots, j_k, \underbrace{0, \dots, 0}_{L+1}, i_1, \dots, i_m, N-1, \dots, j_k]})^{-M-1}} \geq \\ &\geq \beta_2(C_{[N-1, \dots, j_k]})^{k+L+m+1}. \end{aligned}$$

So we can continue

$$\begin{aligned} L(D_{M,L}) &\leq \sum_k \sum_{[N-1, \dots, j_k]} L(C_{[N-1, \dots, j_k]}) \cdot \beta_2(C_L)^m \beta_2(C_{[N-1, \dots, j_k]})^{-(k+L+m+1)} \\ &\leq \sum_k \sum_{[N-1, \dots, j_k]} L(C_{[N-1, \dots, j_k]}) \cdot \beta_2(C_{[N-1, \dots, j_k]})^{-(k+L+1)} \\ &\leq \sum_k \sum_{[N-1, \dots, j_k]} L(C_{[N-1, \dots, j_k]}) \cdot (N-1)^{-(k+L+1)} \\ &\leq \sum_k (N-1)^{-(k+L+1)} \\ &\leq A(N-1)^L \end{aligned}$$

□

Remark. For $N = 2$ the above estimates are useless. But if we subdivide $(1, 2]$ into a countable number of subintervals we can achieve on each such subinterval corresponding estimates.

Lemma 4.5. *Let $B = [\ell_1, \dots, \ell_m]$ be an admissible block, then*

$$L(W_B \cap \mathcal{AR}(B)) = 0$$

where $W_B = \{\beta \in (1, \infty) \mid \{i_n(1, \beta)\} \text{ does not contain } B \text{ as a subword.}\}$

Proof. Let $k, N, L \in \mathbb{N}, N > 2$ be given. We denote by $CD_{n,L} (n \in \mathbb{N})$ the complement of the set $D_{n,L}$ in lemma 4.4. We will construct inductively sets W_n all containing $W_{B_R} \cap \mathcal{AR}(B_k)$, where $B_k = [\ell_1, \dots, \ell_m, \underbrace{0, \dots, 0}_k, 1]$. Obviously, $\mathcal{AR}(B_{k+1}) \supset$

$\mathcal{AR}(B_R)$ and

$$\bigcup_{k=0}^{\infty} \mathcal{AR}(B_k) = \mathcal{AR}(B).$$

Moreover

$$W_{B_k} \cap \mathcal{AR}(B_k) \supset W_B \cap \mathcal{AR}(B_k).$$

We set

$$W_0 = [N-1, N] \cap \mathcal{AR}(B_k)$$

Assume that we have constructed all set W_i up to step $n-1$. We consider the set

$$\widetilde{W}_{n-1} = W_{n-1} \cap D_{n-1,L}.$$

For each $C_{[N-1, \dots, i_{n-1}]} \subset D_{n-1,L} \cap \mathcal{AR}(B_k)$ we consider the cylinder $C_{[N-1, \dots, i_{n-1}, \underbrace{0, \dots, 0}_L, \ell_1, \dots, \ell_m]}$.

Clearly, $[N-1, \dots, i_{n-1}, \underbrace{0, \dots, 0}_L, \ell_1, \dots, \ell_m, \underbrace{0, \dots, 0}_k, 1]$ is in $\mathcal{AR}(B_k)$ and admissible and hence, by the standard arguments used before

$$\frac{L(C_{[N-1, \dots, i_{n-1}]})}{L(C_{[N-1, \dots, i_{n-1}, \underbrace{0, \dots, 0}_L, \ell_1, \dots, \ell_m, \underbrace{0, \dots, 0}_k, 1]})} \leq N^{L+m+k}$$

The set W_n we define as

$$W_n = (D_{n-1,L} \cap W_{n-1}) \cup \left(\widetilde{W}_{n-1} \setminus \bigcup_{C \in CD_{n-1,L} \cap \mathcal{AR}(B_k)} C_{[N-1, \dots, i_{n-1}, \underbrace{0, \dots, 0}_L, \ell_1, \dots, \ell_m, \underbrace{0, \dots, 0}_k, 1]} \right)$$

According to the above estimates and lemma 4.4. the measures of W_n fulfill the inequalities:

$$\begin{aligned}
L(W_n) &\leq L(D_{n-1,L} \cap W_{n-1}) + \left(1 - \left(\frac{1}{N}\right)^{L+m+k}\right) L(W_{n-1}) \\
&\leq A(N-1)^L + \left(1 - \left(\frac{1}{N}\right)^{L+m+k}\right)^n
\end{aligned}$$

Hence

$$L\left(\bigcap_{n=0}^{\infty} W_n\right) \leq A(N-1)^L.$$

Using that $W_{B_k} \cap AR(B_k) \subset \bigcap_{n=0}^{\infty} W_n$ for all L and letting L tend to infinity we can derive

$$L(W_{B_k} \cap AR(B_k)) = 0.$$

Finally the observations at the beginning of the proof give the desired result. \square

From the arbitrary choice of B and the remark after lemma 4.4. we get as a corollary:

Proposition 4.1. C_5 has full Lebesgue measure.

Remark. Carrying out a slightly more detailed analysis in the proof of the previous lemma, we are able to show that the Hausdorff dimension of the set $\tilde{W}_B = \{\beta \in W_B \mid \{i_n(1, \beta)\} \text{ contains all allowed words except } B\} \cap AR(B)$ equals the Hausdorff dimension of

$$W_B \cap AR(B).$$

Our next step is to prove the claims 3) and 4) of the main theorem. The crucial point in the proof is the estimation of the Hausdorff dimension of a class of special Cantor sets.

5. SPECIAL CANTOR SETS

We want to construct a class of Cantor sets which enable us to prove the statements 2 and 3 of the main theorem.

The construction will be made by inductively deleting intervals.

Let the natural numbers m and N be fixed.

In the first step we cancel one of the open intervals

$$\text{interior} \left(C_{[N-1, i_1, \dots, i_m]} \right) = W_{[N-1]}$$

with $[N - 1, i_1, \dots, i_m]$ an admissible block. After leaving out all intervals up to step n we proceed as follows:

In each remaining interval of the form

$$C_{[N-1, j_1, \dots, j_{n-1}]} \quad ([N - 1, j_1, \dots, j_{n-1}] \text{ is admissible})$$

we delete exactly one interval

$$W_{[N-1, j_1, \dots, j_{n-1}]} = \text{interior} \left(C_{[N-1, j_1, \dots, j_{n-1}, \ell_1, \dots, \ell_m]} \right)$$

with $[N - 1, j_1, \dots, j_{n-1}, \ell_1, \dots, \ell_m]$ an admissible block. This procedure gives rise to a Cantor set U_m in $[N - 1, N]$.

In the next lemma we give a lower bound of its Hausdorff dimension.

Lemma 5.1. *Let U_m be a Cantor set constructed as described above. Then*

$$\dim_H U_m \geq \frac{\log((N - 1)^m - 1)}{\log(N - 1)^m}.$$

Proof. We want to associate to U_m a measure μ in order to use Frostmans lemma (see appendix).

Let the construction – and therefore U_m – be fixed.

We define μ by assigning to any cylinder set its value:

$$\mu([N - 1, N]) = 1$$

If $C_{[N-1, i_1, \dots, i_n]}$ is a remaining interval then, obviously, is $C_{[N-1, i_1, \dots, i_{n-m+1}]}$ and they are nonempty. So we assign to the interval $C_{[N-1, i_1, \dots, i_n]}$ the measure

$$\mu \left(C_{[N-1, i_1, \dots, i_{n-m}]} \right) \frac{\text{diam} \left(C_{[N-1, \dots, i_n]} \right)}{\text{diam} \left(C_{[N-1, i_1, \dots, i_{n-m}]} \right)}.$$

Thus μ can be extended to a measure concentrated on U_m . Let $\beta \in U_m$ have as the expansion of 1 the sequence $\{i_n(1, \beta)\} = \{i_n\}$.

Then the construction implies the property

$$\mu \left(C_{[N - 1, \dots, i_n]} \right) \leq a_1 \prod_{k=1}^{\lfloor \frac{n}{m} \rfloor} \frac{\text{diam} \left(C_{[N-1, \dots, i_n]} \right)}{\left(1 - \frac{\text{diam} \left(W_{[N-1, \dots, i_{n-(k-1)m}] } \right)}{\text{diam} \left(C_{[N-1, \dots, i_{n-km}] } \right)} \right)}$$

With the help of similar arguments to that of lemma 4.3. we conclude

$$\text{diam} \left(C_{[N-1, \dots, i_n]} \right) \leq (N - 1)^{-n}$$

and

$$\frac{\text{diam} \left(W_{[N-1, \dots, i_{n-(k-1)m}] } \right)}{\text{diam} \left(C_{[N-1, \dots, i_{n-km}] } \right)} \leq (N - 1)^{-m}$$

There exists a constant a_2 such that for every interval $I_\varepsilon(\beta)$ with centre β and diameter ε one can find a number $p = p(\varepsilon)$ fitting the inequalities

$$a_2^{-1} \mu(I_\varepsilon(\beta)) \leq \mu(C_{[N-1, \dots, i_p]}) \leq a_2 \mu(I_\varepsilon(\beta))$$

and

$$a_2^{-1} \cdot \varepsilon \leq \text{diam}(C_{[N-1, \dots, i_p]}) \leq a_2 \cdot \varepsilon.$$

So we get

$$\begin{aligned} \frac{\log \mu(I_\varepsilon(\beta))}{\log \varepsilon} &\geq \frac{\log a_2 \mu(C_{[N-1, \dots, i_p]})}{\log a_2^{-1} \text{diam}(C_{[N-1, \dots, i_p]})} \geq \\ &\geq 1 + \frac{\log(a_3 \prod_{k=1}^{\lfloor \frac{n}{m} \rfloor} \frac{1}{1-(N-1)^{-m}})}{\log(a_4 (N-1)^{-n})}. \end{aligned}$$

Consequently

$$\liminf_{\varepsilon \rightarrow 0} \frac{\log \mu(I_\varepsilon(\beta))}{\log \varepsilon} \geq \frac{\log((N-1)^m - 1)}{\log(N-1)^m}$$

for all $\beta \in U_m$ and Frostmans lemma implies this lemma. \square

Corollary 5.1. $\dim_H C_3 = 1$.

Proof. We specify the construction of U_m by cancelling the intervals ending with a string of m zeros. Then the lemma gives the statement for the limit $m \mapsto \infty$. \square

Corollary 5.2. $\dim_H(C_4 \setminus C_3) = 1$.

Sketch of the Proof. By the remark after proposition 4.1. we see that $\dim_H\{\beta | \{i_n(1, \beta)\} \text{ contains all allowed words but the allowed word } B\} = \dim_H\{\beta | \{i_n(1, \beta)\} \text{ does not contain } B\}$ holds.

Let now $\{B_n\}$ be a sequence of words whose length tends to infinity and containing only strings of zeros of a commonly bounded length. If U_n is the special Cantor set constructed by leaving out the word B_n whenever it is possible then U_n is contained in the set $\{\beta | \{i_n(1, \beta)\} \text{ contains all allowed words but } B_n\}$. Since the Hausdorff dimension of U_n tends to 1 this implies the corollary.

\square

6. PROOF OF STATEMENT 1 OF THE MAIN THEOREM

Proposition 6.1. C_5 is residual.

Proof. We build a set $G \subseteq C_5$ which is the countable intersection of open and dense sets.

For a natural n and an admissible block $[i_1, \dots, i_n]$ we consider the lexicographically ordered sequence of all allowed blocks $\{B_k\}_{k=1}^m$. It is easy to check that the block

$$[i_1, \dots, i_n, 0, \dots, 0, \underbrace{0, \dots, 0}_{k! \cdot n}, B_k, 0, \dots, 0, B_m] = B([i_1, \dots, i_n])$$

is admissible. Therefore the set

$$\bigcup_{\substack{[i_1, \dots, i_n] \\ \text{is admissible}}} C_B([i_1, \dots, i_n])$$

is open. Moreover, for each natural N the set

$$G_N = \bigcup_{n \geq N} \bigcup_{\substack{[i_1, \dots, i_n] \\ \text{is admissible}}} C_B([i_1, \dots, i_n])$$

is open and dense in $(1, \infty)$. Obviously the set

$$\bigcap_{N=1}^{\infty} G_N = G$$

is residual and contained in C_5 because for each $\beta \in G$ and each natural number m all allowed words up to length m occur in the expansion of $1 \{i_n(1, \beta)\}$. \square

The theorem is now the summary of the above propositions.

7. CONCLUDING REMARKS

We found a quite complete hierarchy in the classes introduced by F. Blanchard. This leads to the following picture:

$$\emptyset \neq C_1 \subseteq C_2 \subseteq C_3 \subseteq C_4 \subseteq (1, \infty) \quad C_5 = (1, \infty) \setminus C_4.$$

Their sizes are indicated in the diagram below

	C_1	$C_2 \setminus C_1$	$C_3 \setminus C_2$	$C_4 \setminus C_3$	C_5
Hausdorff dimension	0	0	1	1	1
Lebesgue measure	0	0	0	0	full measure
Baire category	countable and dense	countable and dense	meager and dense	meager and dense	residual

The transition from C_4 to C_5 is quite strong in size. It goes both from zero to full Lebesgue measure and from a meager to a residual set. This indicates to look for a subset of C_5 exhibiting only one "jump" in size. In a forthcoming paper we introduce one of such subsets, namely a set having full Lebesgue measure but being meager. The set we are looking at is that of all β where the expansion of one is normal ([4]).

8. APPENDIX: HAUSDORFF DIMENSION

For general definitions and results in the theory of Hausdorff dimension see [5]. Let $X \subset \mathbb{R}$. An at most countable collection of sets is called an ε -cover of Y if it covers Y and the diameters of all its members are less than ε . For $s \in \mathbb{R}^+$ the s -dimensional outer Hausdorff measure of X is defined by

$$m_s(X) = \liminf_{\varepsilon \rightarrow 0} \left\{ \sum \text{diameter}(U_i)^s \mid \{U_i\} \text{ is an } \varepsilon\text{-cover of } X \right\}.$$

There is a unique critical value s_0 for which the s -dimensional Hausdorff measure jumps from infinity to zero:

$$s_0 = \inf \{s \mid m_s(X) = 0\}.$$

This value is called the Hausdorff dimension $\dim_H(X)$ of X . A very useful tool to get estimations of the Hausdorff dimension from below is Frostman's lemma:

Lemma 8.1. *If μ is a probability measure concentrated on X and*

$$\liminf_{\varepsilon \rightarrow 0} \frac{\log \mu(I_\varepsilon(x))}{\log \varepsilon} \geq s$$

for all $x \in X$ and $I_\varepsilon(x)$ -the interval centered at x with diameter ε , then

$$\dim_H(X) \geq s. \quad \square$$

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