

# Scharfetter Gummel Schemes for Non-Boltzmann Statistics

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Weierstrass Institute for  
Applied Analysis and Stochastics

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# Numerical methods for interesting physics

Boltzmann ●

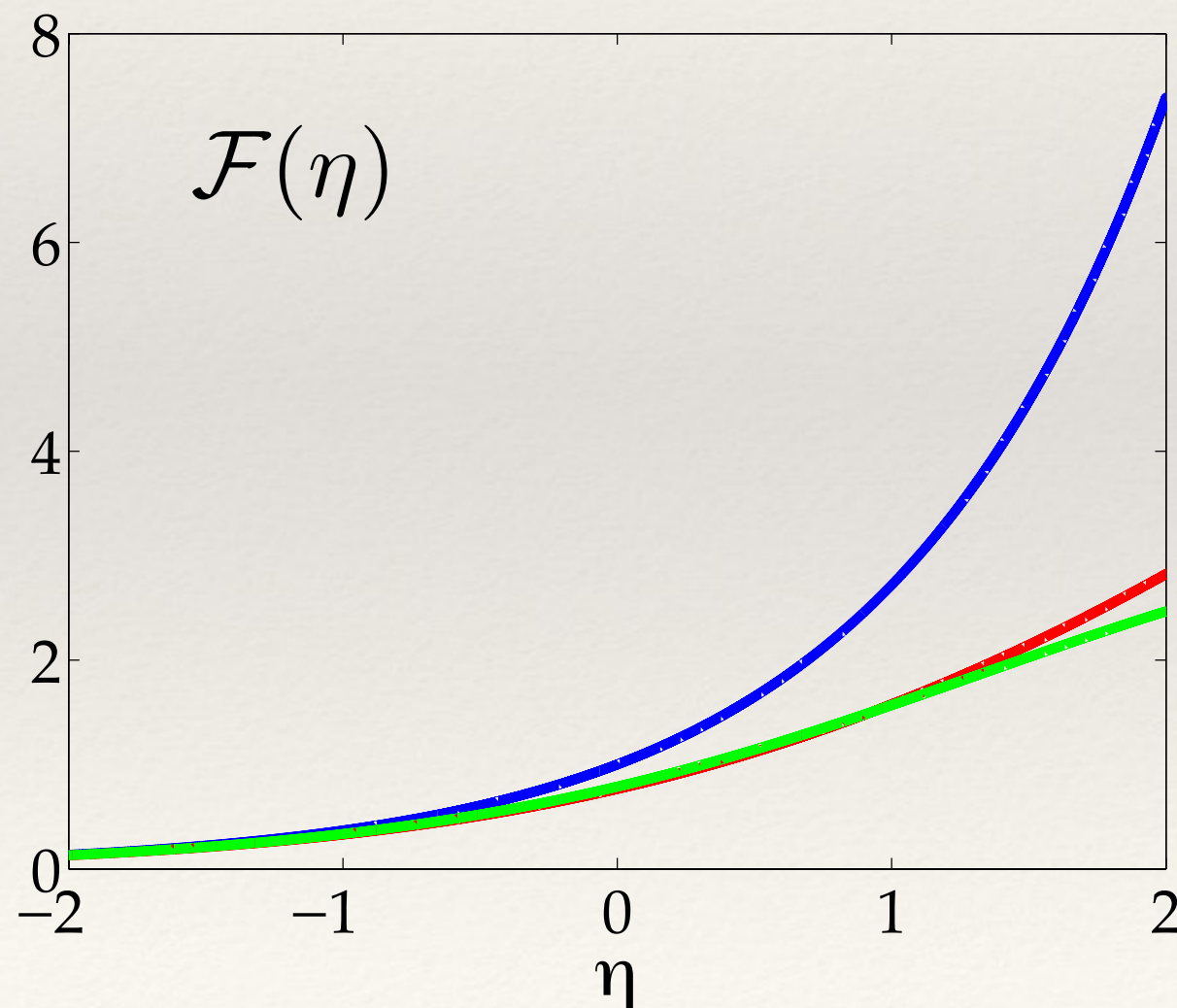
$$e^\eta$$

Blakemore ●

$$\frac{1}{e^{-\eta} + \gamma}$$

Fermi-Dirac ●

$$\frac{1}{\Gamma(\alpha+1)} \int_0^\infty \frac{E^\alpha}{1+e^{(E-\eta)}} dE$$



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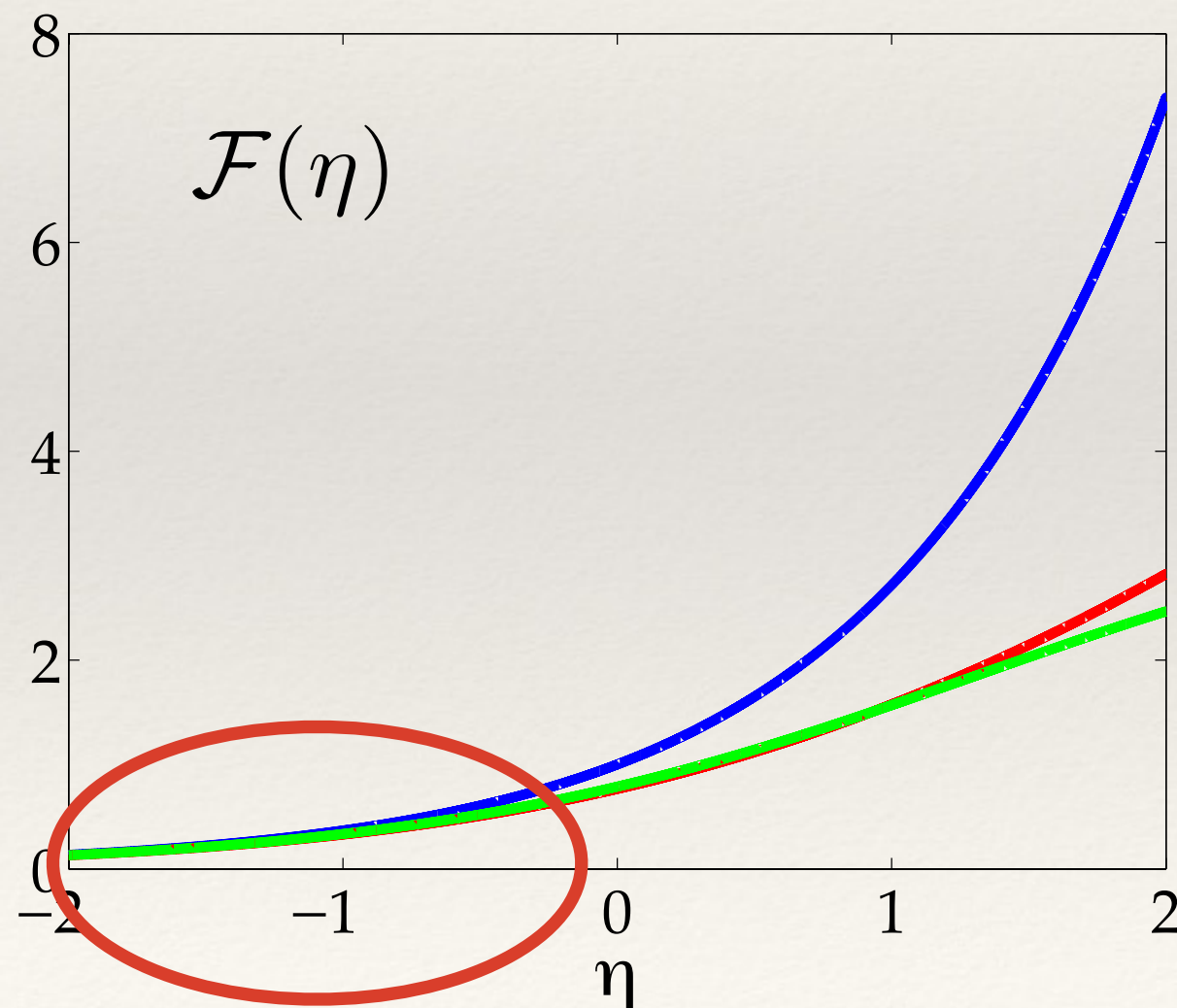
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Physics and  
numerics “easy”



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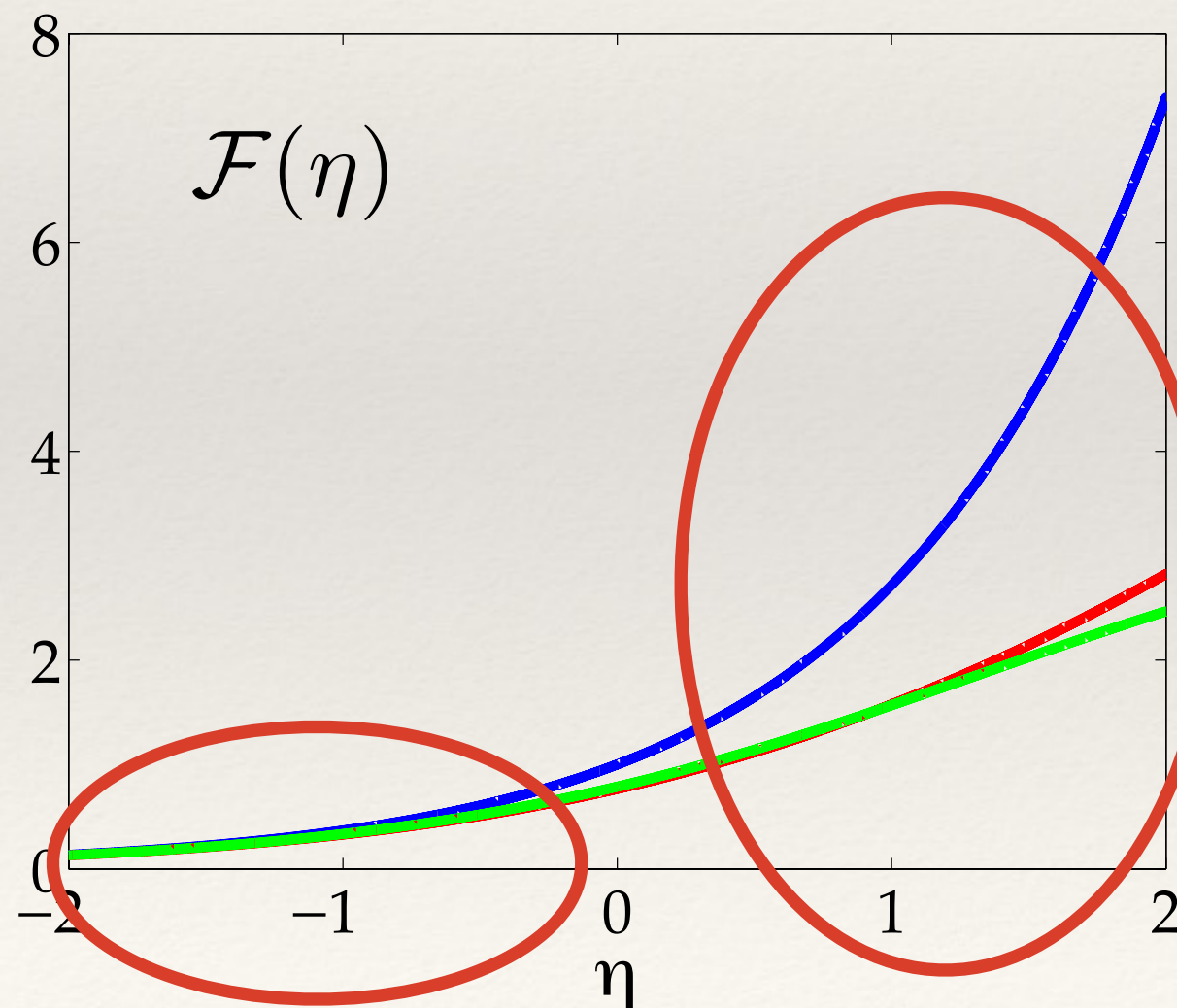
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Physics and  
numerics “easy”



Physics and  
numerics “complex”

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# Outline

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- ❖ semiconductor equations (arb. distribution function) and their discretisation
- ❖ discuss three numerical flux approximations
- ❖ assess quality by looking at a benchmark

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# Semiconductor Equations (van Roosbroeck)

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For the electrostatic potential, electron and hole densities

$$-\nabla \cdot (\varepsilon \nabla \psi) = q(C + p - n)$$

$$n_t - \frac{1}{q} \nabla \cdot \mathbf{j}_n = -R(n, p)$$

$$p_t + \frac{1}{q} \nabla \cdot \mathbf{j}_p = -R(n, p)$$



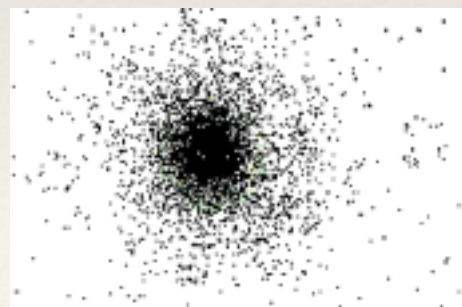
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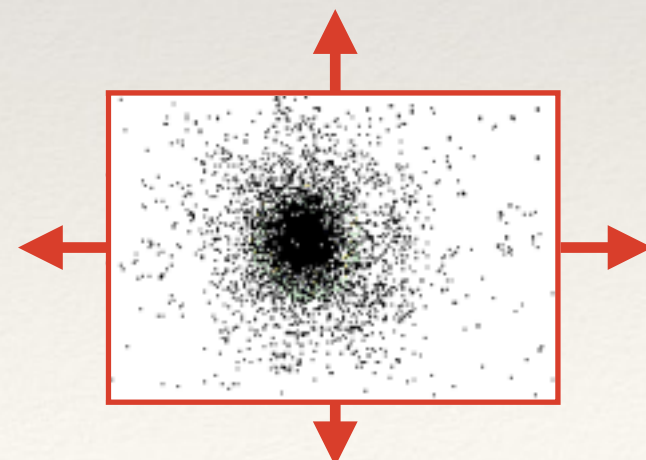
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$$p_t + \frac{1}{q} \nabla \cdot \mathbf{j}_p = -R(n, p)$$



change in mass

=



flow through boundary

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# Relationships

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Currents

Chemical potentials

Diffusion and mobility



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# Relationships

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## Currents

$$\mathbf{j}_n = -q\mu_n n \nabla \psi + qD_n \nabla n \quad \text{and} \quad \mathbf{j}_p = -q\mu_p p \nabla \psi - qD_p \nabla p$$

## Chemical potentials

## Diffusion and mobility

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# Relationships

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## Currents

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## Chemical potentials

$$n = N_c \mathcal{F} \left( \frac{q(\psi - \varphi_n) + E_{ref} - E_c}{k_B T} \right) \quad \text{and} \quad p = N_v \mathcal{F} \left( -\frac{q(\psi - \varphi_p) + E_{ref} - E_v}{k_B T} \right)$$

## Diffusion and mobility



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## Chemical potentials

$$n = N_c \mathcal{F}(\eta_n) \quad \text{and} \quad p = N_v \mathcal{F}(\eta_p)$$

## Diffusion and mobility

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$$\frac{D_n}{\mu_n U_T} = \frac{n}{N_c} (\mathcal{F}^{-1})' \left( \frac{n}{N_c} \right) \quad \text{and} \quad \frac{D_p}{\mu_p U_T} = \frac{p}{N_v} (\mathcal{F}^{-1})' \left( \frac{p}{N_v} \right)$$

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# Relationships

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drift and diffusion  
quasi Fermi potential

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$$n = N_c \mathcal{F}(\eta_n) \quad \text{and} \quad p = N_v \mathcal{F}(\eta_p)$$

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densities  $\leftrightarrow$  chemical potentials

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nonlinear



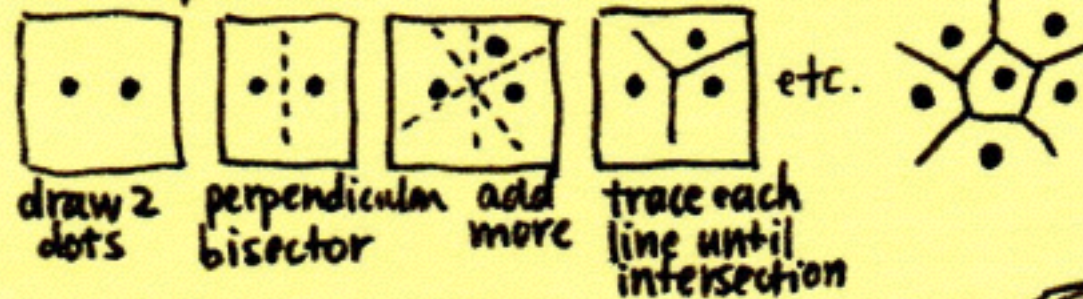
# Voronoi Cells

## How To Make Voronoi Sets

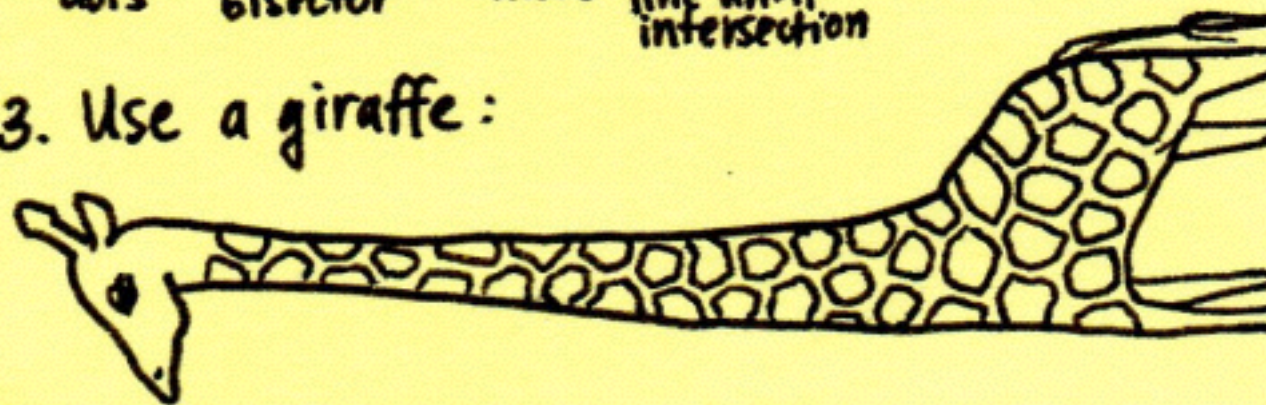
1. Use math:

Let  $x_0, \dots, x_k \in \mathbb{R}^n$ . Consider the set of points  $V_k := \{x \in \mathbb{R}^n \mid \|x - x_k\|_2 \leq \|x - x_i\|_2, i \neq k\}$ , where  $V_k$  is the Voronoi region around  $x_k$ .

2. Use pictures:



3. Use a giraffe:



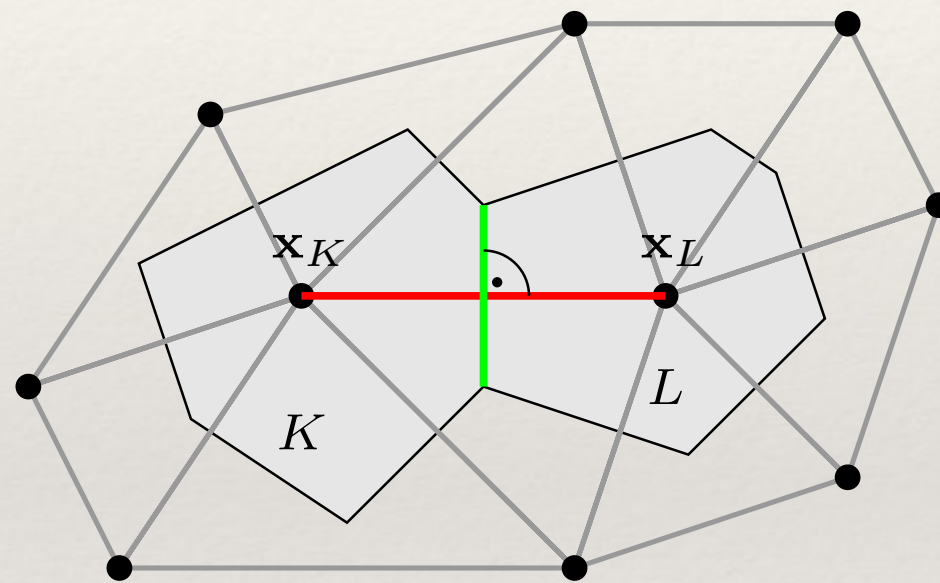


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# Voronoi Cells

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Flux along 1D edge



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# Finite Volume Discretisation

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$$\nabla \cdot (\varepsilon \nabla \psi) + q(C + p - n) = 0$$

$$\frac{\partial}{\partial t} n - \frac{1}{q} \nabla \cdot \mathbf{j}_n + R(n, p) = 0$$

$$\frac{\partial}{\partial t} p + \frac{1}{q} \nabla \cdot \mathbf{j}_p + R(n, p) = 0$$

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# Finite Volume Discretisation

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$$\int_K \nabla \cdot (\varepsilon \nabla \psi) d\mathbf{x} + q \int_K (C + p - n) d\mathbf{x} = 0$$

$$\frac{\partial}{\partial t} \int_K n d\mathbf{x} - \frac{1}{q} \int_K \nabla \cdot \mathbf{j}_n d\mathbf{x} + \int_K R(n, p) d\mathbf{x} = 0$$

$$\frac{\partial}{\partial t} \int_K p d\mathbf{x} + \frac{1}{q} \int_K \nabla \cdot \mathbf{j}_p d\mathbf{x} + \int_K R(n, p) d\mathbf{x} = 0$$



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# Finite Volume Discretisation

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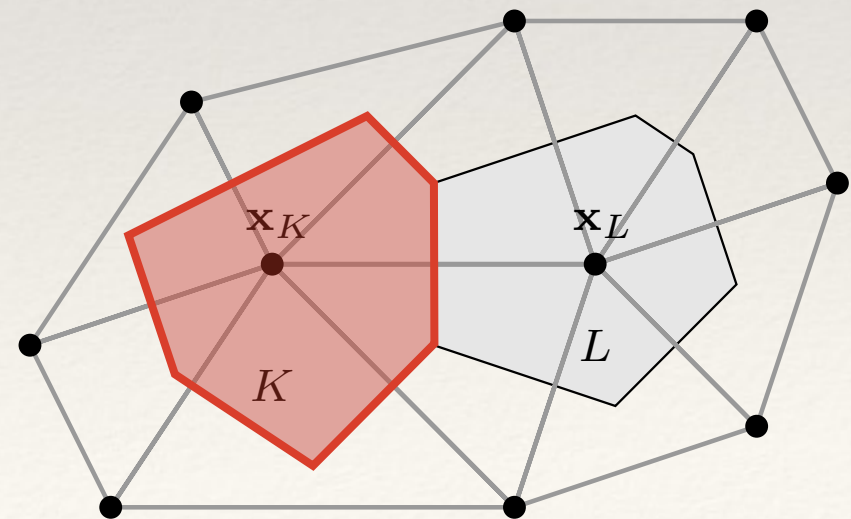
$$\int_K \nabla \cdot (\varepsilon \nabla \psi) d\mathbf{x} + q|K|(C_K + p_K - n_K) = 0$$
$$\frac{\partial}{\partial t} |K|n_K - \frac{1}{q} \int_K \nabla \cdot \mathbf{j}_n d\mathbf{x} + |K|R(n_K, p_K) = 0$$
$$\frac{\partial}{\partial t} |K|p_K + \frac{1}{q} \int_K \nabla \cdot \mathbf{j}_p d\mathbf{x} + |K|R(n_K, p_K) = 0$$

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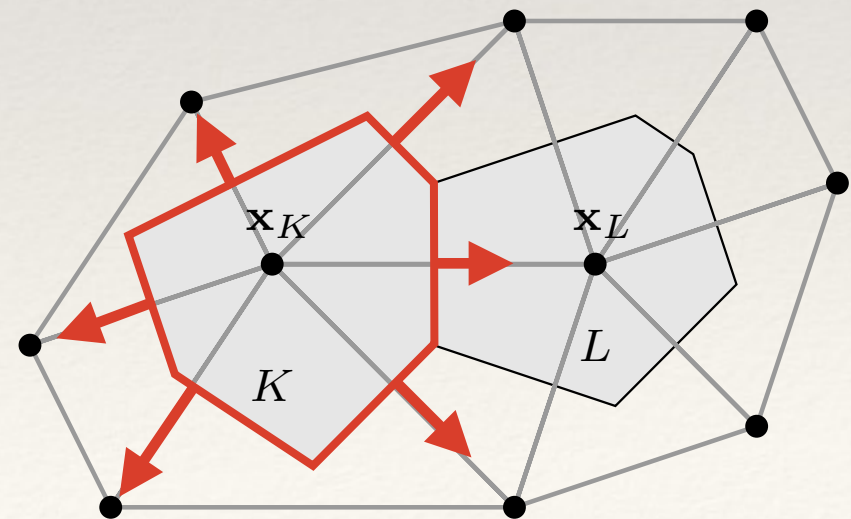


# Finite Volume Discretisation

$$\int_{\partial K} \mathbf{n} \cdot (\varepsilon \nabla \psi) dS + q|K|(C_K + p_K - n_K) = 0$$

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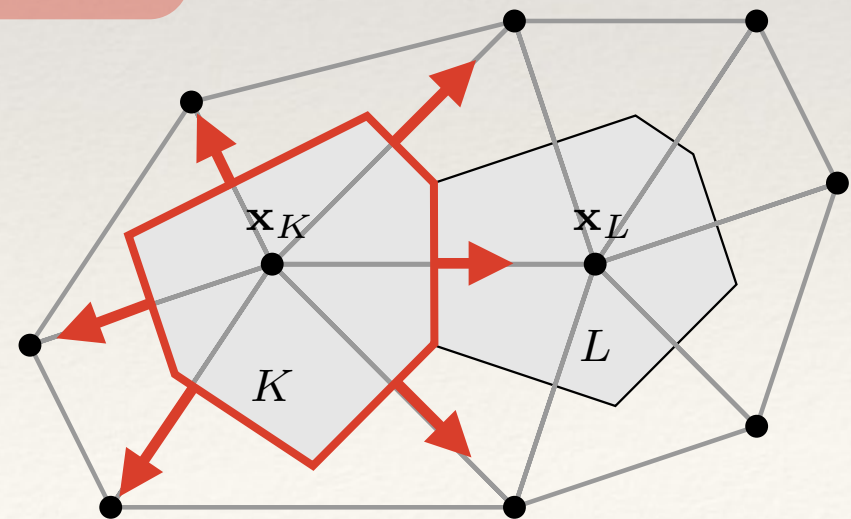


# Finite Volume Discretisation

$$\sum_{L \in N(K)} \sigma_{KL} (\mathbf{x}_L - \mathbf{x}_K) \cdot (\varepsilon \nabla \psi) + q|K|(C_K + p_K - n_K) = 0$$

$$\frac{\partial}{\partial t} |K| n_K - \frac{1}{q} \sum_{L \in N(K)} \sigma_{KL} j_{n, KL} + |K| R(n_K, p_K) = 0$$

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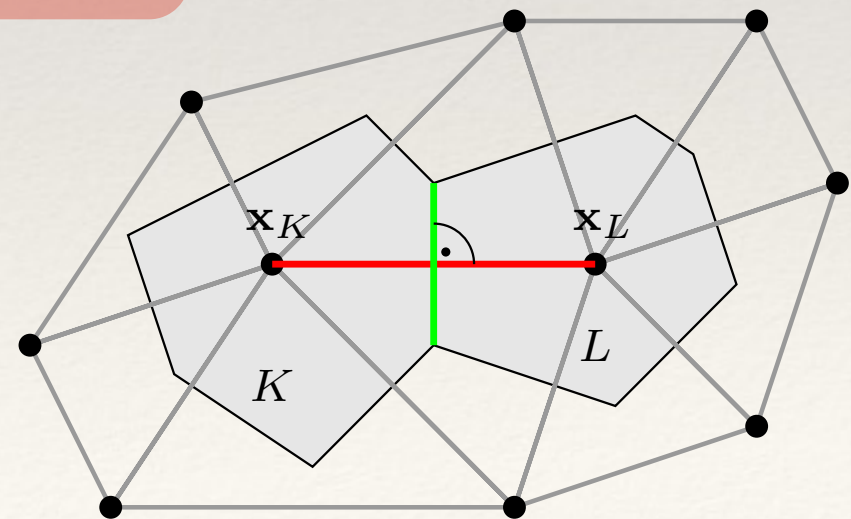


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# How to choose the fluxes?

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Desired properties:

- ❖ stable
- ❖ preservation of max principle
- ❖ approximate boundary layers well
- ❖ consistency with thermodynamic equilibrium

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# Consistency with equilibrium

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Zero current leads to

$$\mathbf{0} = \nabla\psi - U_T \nabla\eta_n$$

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Zero current leads to

$$\mathbf{0} = \nabla\psi - U_T \nabla\eta_n$$

Mimic this numerically via

$$0 = \delta\psi - U_T \delta\eta_n$$

with

$$\delta\psi = \psi_L - \psi_K \quad \text{and} \quad \delta\eta = \eta_L - \eta_K$$



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# Consistency with equilibrium

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Zero current leads to

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Mimic this numerically via

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Important for coupling!

with

$$\delta\psi = \psi_L - \psi_K \quad \text{and} \quad \delta\eta = \eta_L - \eta_K$$

# Finite Volume Discretisation

$$\sum_{L \in N(K)} \sigma_{KL} (\mathbf{x}_L - \mathbf{x}_K) \cdot (\varepsilon \nabla \psi) + q|K|(C_K + p_K - n_K) = 0$$

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$$\frac{\partial}{\partial t} |K| p_K + \frac{1}{q} \sum_{L \in N(K)} \sigma_{KL} j_{p,KL} + |K| R(n_K, p_K) = 0$$

constant!

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# How to choose the fluxes?

---

Scharfetter & Gummel (1969) for Boltzmann statistics:

$$\frac{d}{dx} j_{n,KL} = 0 \quad \text{with} \quad \begin{aligned} n(\mathbf{x}_K) &= n_K \\ n(\mathbf{x}_L) &= n_L \end{aligned}$$



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# How to choose the fluxes?

---

Scharfetter & Gummel (1969) for Boltzmann statistics:

$$\frac{d}{dx} j_n = \frac{d}{dx} q\mu \left\{ U_T \frac{d}{dx} n - n \frac{d}{dx} \psi \right\} = 0 \quad \text{with} \quad \begin{aligned} n(\mathbf{x}_K) &= n_K \\ n(\mathbf{x}_L) &= n_L \end{aligned}$$

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leads to exact solution

$$j = q\mu U_T \left[ B \left( \frac{\delta\psi_{KL}}{U_T} \right) n_L - B \left( -\frac{\delta\psi_{KL}}{U_T} \right) n_K \right],$$

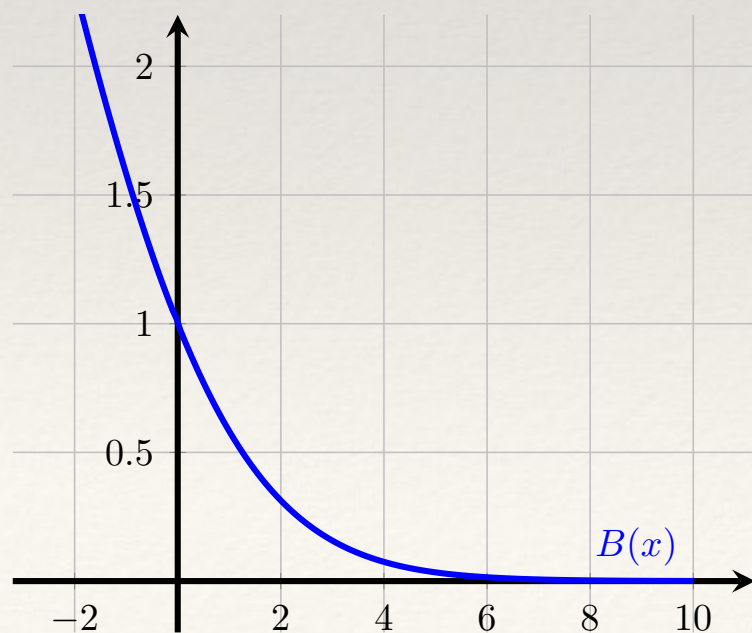
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$$B(x) := \frac{x}{e^x - 1}$$

Bernoulli function



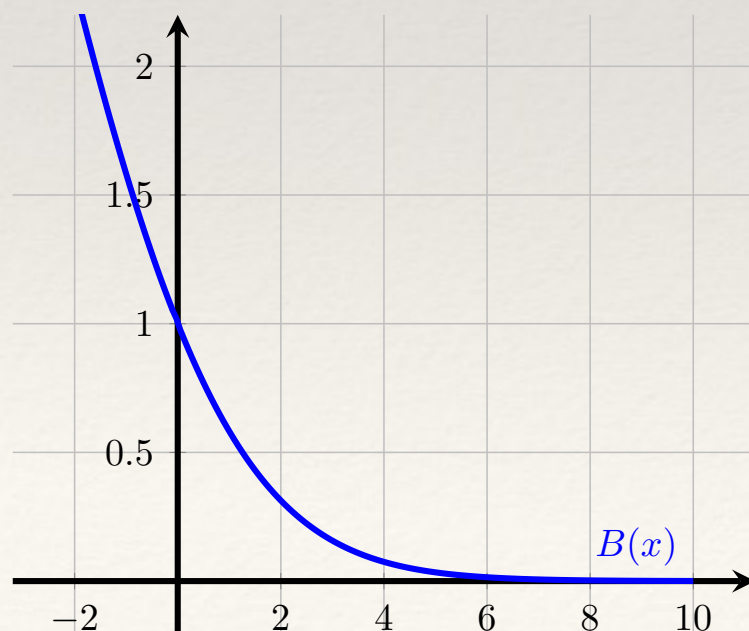
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Bernoulli function

Exact solution for  
Boltzmann statistics!  
What about other  $\mathcal{F}$ ?

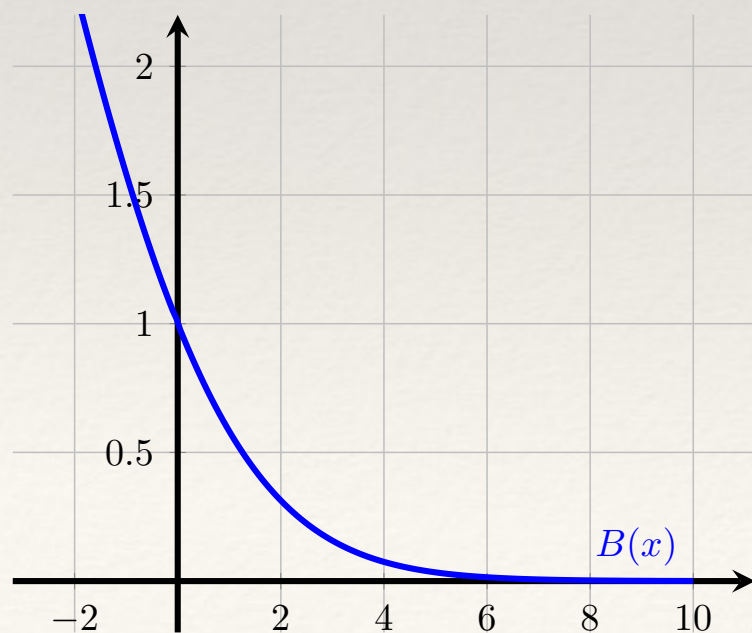
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Bernoulli function

Exact solution for  
Boltzmann statistics!  
What about other  $\mathcal{F}$ ?

Three flux approx.  
for Blakemore!

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# General Scharfetter-Gummel

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Koprucki / Gärtner (2013):

$$\frac{d}{dx} j_n = \frac{d}{dx} q\mu \left\{ U_T g \left( \frac{n}{N_c} \right) \frac{d}{dx} n - n \frac{d}{dx} \psi \right\} = 0 \quad \text{with} \quad \begin{aligned} n(\mathbf{x}_K) &= n_K \\ n(\mathbf{x}_L) &= n_L \end{aligned}$$



# General Scharfetter-Gummel

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Exact solution for Blakemore

$$j = j_0 \left\{ g \left( \frac{n_L}{N_C} \right) B \left( \frac{\delta\psi_{KL}}{U_T} + \gamma \frac{j}{j_0} \right) \frac{n_L}{N_C} - g \left( \frac{n_K}{N_C} \right) B \left( -\frac{\delta\psi_{KL}}{U_T} - \gamma \frac{j}{j_0} \right) \frac{n_K}{N_C} \right\}$$

with

$$g(x) = x(\mathcal{F}^{-1})'(x) = \frac{1}{1-\gamma x} \quad \text{and} \quad j_0 = q\mu N_C U_T$$

# General Scharfetter-Gummel

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$$\frac{d}{dx} j_n = \frac{d}{dx} q\mu \left\{ U_T g \left( \frac{n}{N_c} \right) \frac{d}{dx} n - n \frac{d}{dx} \psi \right\} = 0 \quad \text{with} \quad \begin{aligned} n(\mathbf{x}_K) &= n_K \\ n(\mathbf{x}_L) &= n_L \end{aligned}$$

Exact solution for Blakemore

fixed point equation  
exact (up to machine precision)

$$j = j_0 \left\{ g \left( \frac{n_L}{N_C} \right) B \left( \frac{\delta\psi_{KL}}{U_T} + \gamma \frac{j}{j_0} \right) \frac{n_L}{N_C} - g \left( \frac{n_K}{N_C} \right) B \left( -\frac{\delta\psi_{KL}}{U_T} - \gamma \frac{j}{j_0} \right) \frac{n_K}{N_C} \right\}$$

with

$$g(x) = x(\mathcal{F}^{-1})'(x) = \frac{1}{1-\gamma x} \quad \text{and} \quad j_0 = q\mu N_C U_T$$

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# Diffusion averaging

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Bessemoulin-Chatard (2012) and Koprucki et al. (2014):

$$\frac{d}{dx} j_n = \frac{d}{dx} q\mu \left\{ U_T g \left( \frac{n}{N_c} \right) \frac{d}{dx} n - n \frac{d}{dx} \psi \right\} = 0 \quad \text{with} \quad \begin{aligned} n(\mathbf{x}_K) &= n_K \\ n(\mathbf{x}_L) &= n_L \end{aligned}$$



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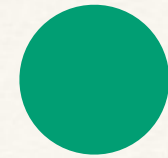
# Diffusion averaging

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Bessemoulin-Chatard (2012) and Koprucki et al. (2014):

$$j = q\mu U_T \bar{g}_{KL} \left[ B \left( -\frac{\delta\psi_{KL}}{U_T \bar{g}_{KL}} \right) n_K - B \left( \frac{\delta\psi_{KL}}{U_T \bar{g}_{KL}} \right) n_L \right]$$

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where

$$\bar{g}_{KL} = \frac{\mathcal{F}^{-1} \left( \frac{n_L}{N_c} \right) - \mathcal{F}^{-1} \left( \frac{n_K}{N_c} \right)}{\log(n_L/N_c) - \log(n_K/N_c)}$$

only consistent  
average!

approximates

$$g(x) = x(\mathcal{F}^{-1})'(x) = \frac{(\mathcal{F}^{-1})'(x)}{\log'(x)}$$

# Inverse Activity Coefficients



Fuhrmann (2015):

$$\frac{d}{dx} j_n = \frac{d}{dx} \left( -q\mu N_c \beta(\eta) e^\eta \frac{d}{dx} \varphi_n \right) = 0 \quad \text{with} \quad \begin{aligned} \eta(\mathbf{x}_K) &= \eta_K \\ \eta(\mathbf{x}_L) &= \eta_L \end{aligned}$$

with  $\beta(\eta) = \frac{\mathcal{F}(\eta)}{e^\eta}$  leads to



# Inverse Activity Coefficients



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$$j = -q\mu U_T N_c \beta_{KL} \left\{ e^{\eta_L} B \left( \frac{\delta\psi_{KL}}{U_T} \right) - e^{\eta_k} B \left( -\frac{\delta\psi_{KL}}{U_T} \right) \right\}$$

# Inverse Activity Coefficients



Fuhrmann (2015):

$$\frac{d}{dx} j_n = \frac{d}{dx} \left( -q\mu N_c \beta(\eta) e^\eta \frac{d}{dx} \varphi_n \right) = 0 \quad \text{with} \quad \begin{aligned} \eta(\mathbf{x}_K) &= \eta_K \\ \eta(\mathbf{x}_L) &= \eta_L \end{aligned}$$

with  $\beta(\eta) = \frac{\mathcal{F}(\eta)}{e^\eta}$  leads to

consistent for  
any average!

$$j = -q\mu U_T N_c \beta_{KL} \left\{ e^{\eta_L} B \left( \frac{\delta\psi_{KL}}{U_T} \right) - e^{\eta_K} B \left( -\frac{\delta\psi_{KL}}{U_T} \right) \right\}$$

where

$$\beta_{KL} = \frac{\beta(\eta_K) + \beta(\eta_L)}{2} \in [\beta(\eta_K), \beta(\eta_L)]$$

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# Compare three schemes

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● General Scharfetter-Gummel

$$j_{\text{GENSG}} = j_0 \left\{ e^{\eta_L} B \left( \delta\psi_{KL} + \gamma \frac{j}{j_0} \right) - e^{\eta_K} B \left( -\delta\psi_{KL} - \gamma \frac{j}{j_0} \right) \right\}$$

● Diffusion enhanced Scharfetter-Gummel

$$j_{\text{DESG}} = j_0 g_{KL} \left\{ \mathcal{F}(\eta_L) B \left( \frac{\delta\psi_{KL}}{g_{KL}} \right) - \mathcal{F}(\eta_K) B \left( -\frac{\delta\psi_{KL}}{g_{KL}} \right) \right\}$$

● Inverse activity based scheme

$$j_{\text{IACT}} = j_0 \beta_{KL} \left\{ e^{\eta_L} B (\delta\psi_{KL}) - e^{\eta_K} B (-\delta\psi_{KL}) \right\}$$



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# How to design a benchmark?

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Leave equilibrium!

$$\delta\varphi_{KL} = -\delta\eta_{KL} + \delta\psi_{KL}$$

$$\nabla\varphi = -\nabla\eta + \nabla\psi$$

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$$\delta\varphi_{KL} = -\delta\eta_{KL} + \delta\psi_{KL}$$

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$$\frac{h_{KL}}{L} \delta\psi \quad (\text{linear potential})$$

coarse grid

large bias

# How to design a benchmark?

Leave equilibrium!

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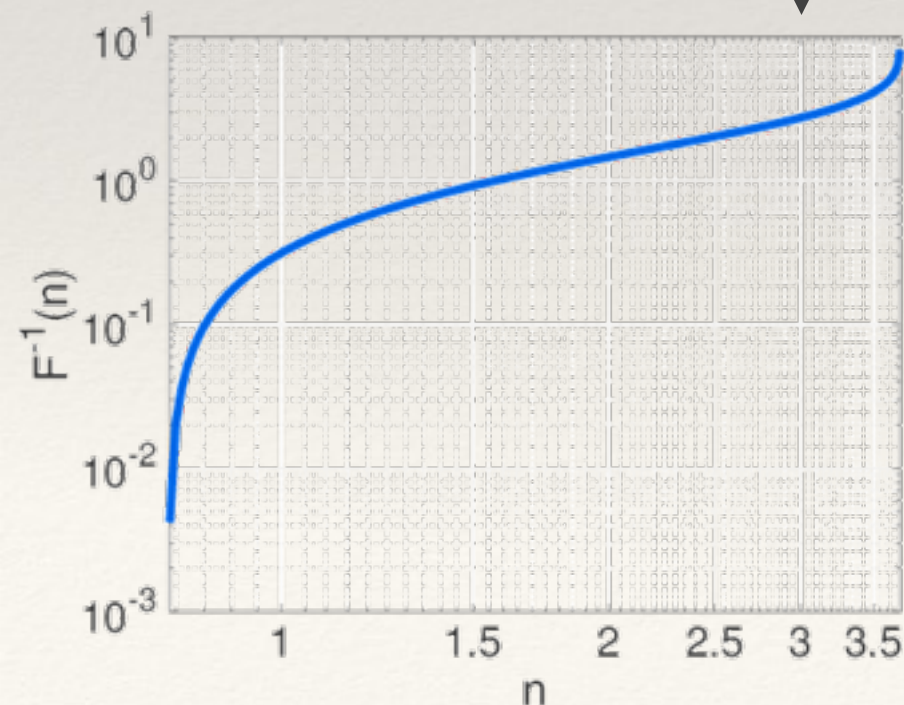
$$\nabla\varphi = -\nabla\eta + \nabla\psi$$

large contrast  
with opposite sign

$$\frac{h_{KL}}{L} \delta\psi \quad (\text{linear potential})$$

coarse grid

large bias

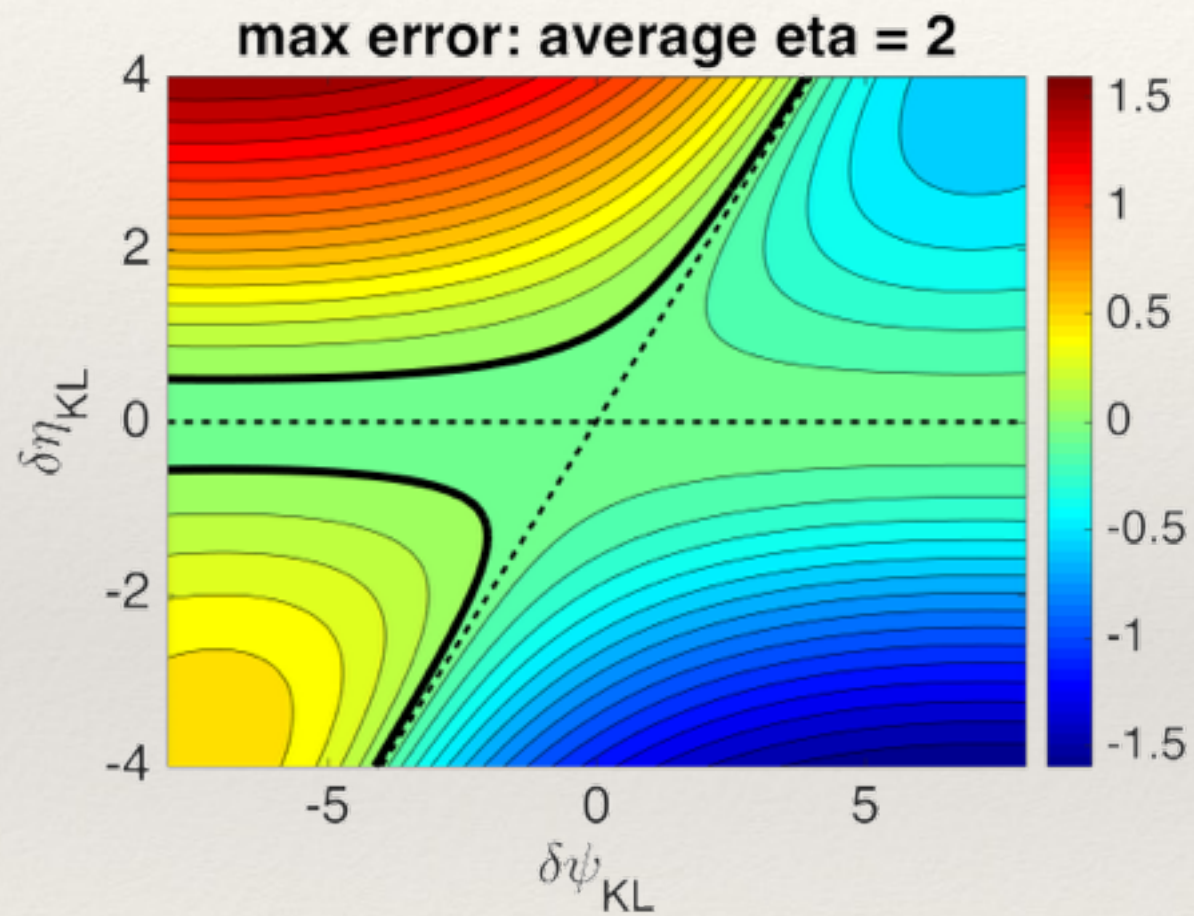


$$\eta = \mathcal{F}^{-1}(n)$$

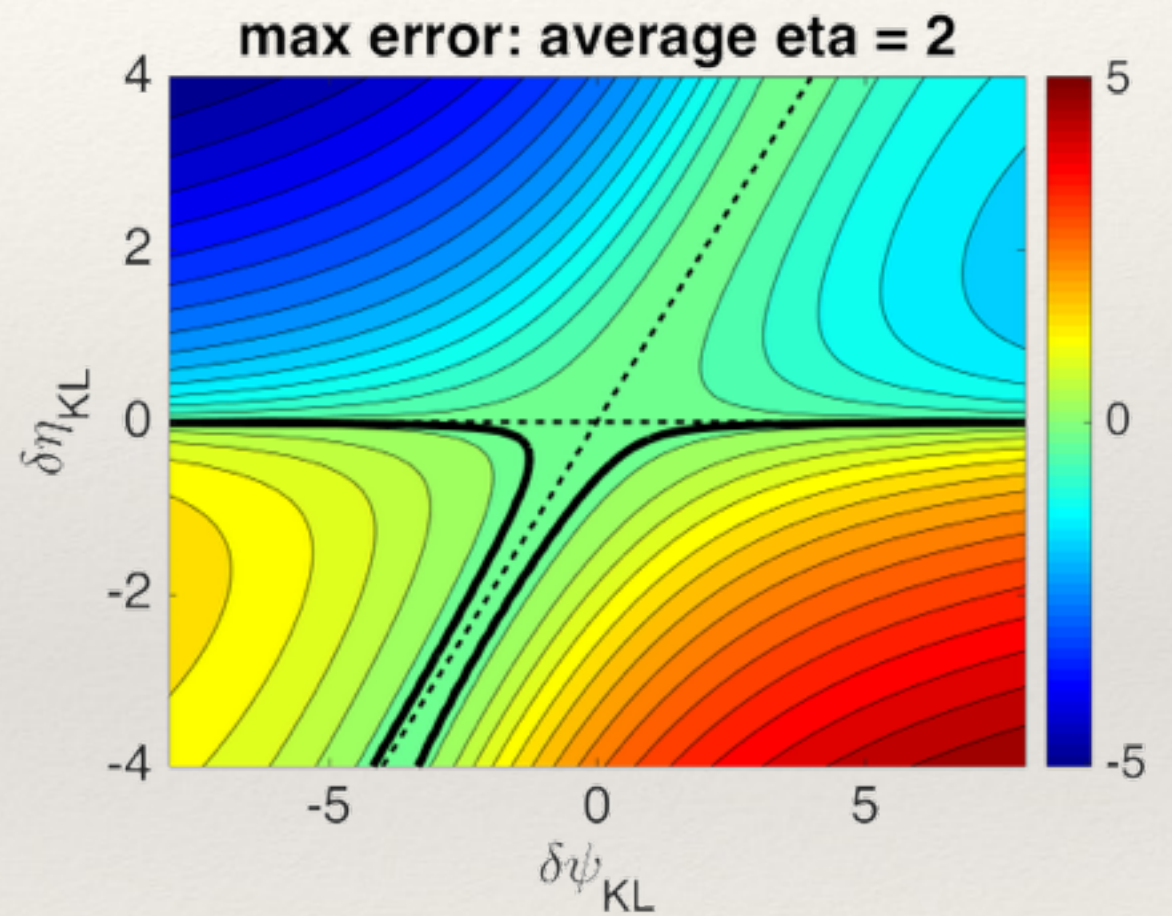
large density contrast



# Errors between schemes



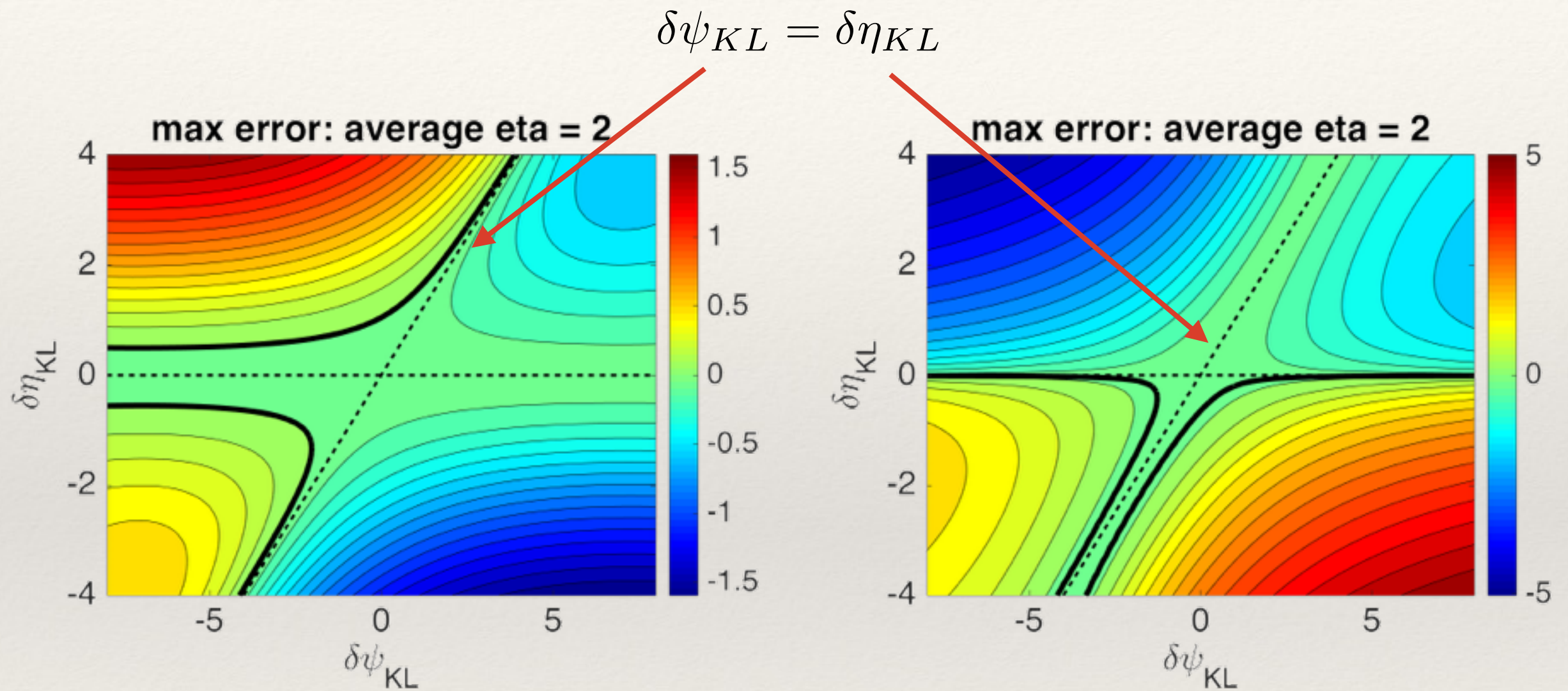
diffusion enhanced vs general SG



inverse act. vs general SG



# Errors between schemes



diffusion enhanced vs general SG

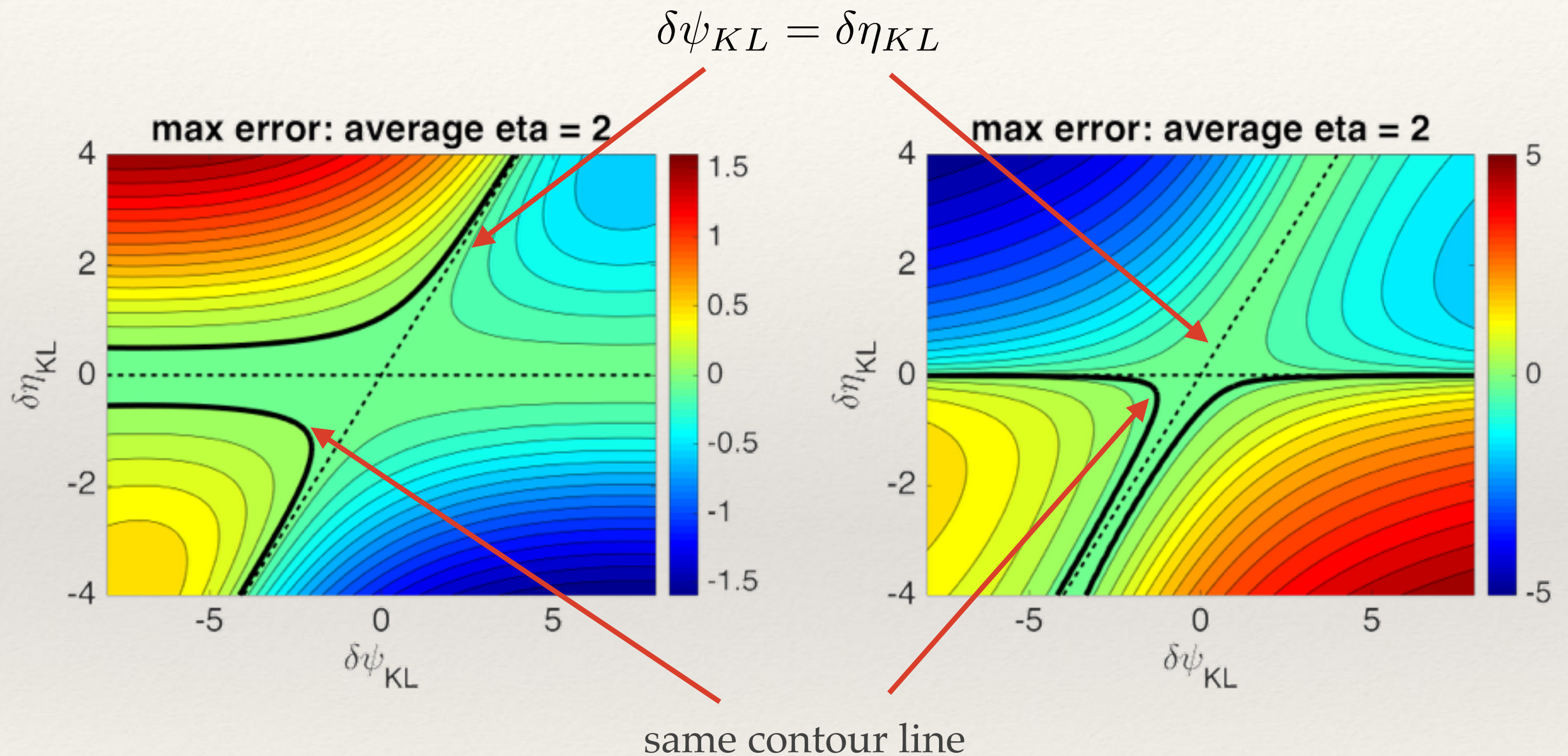


inverse act. vs general SG





# Errors between schemes



diffusion enhanced vs general SG

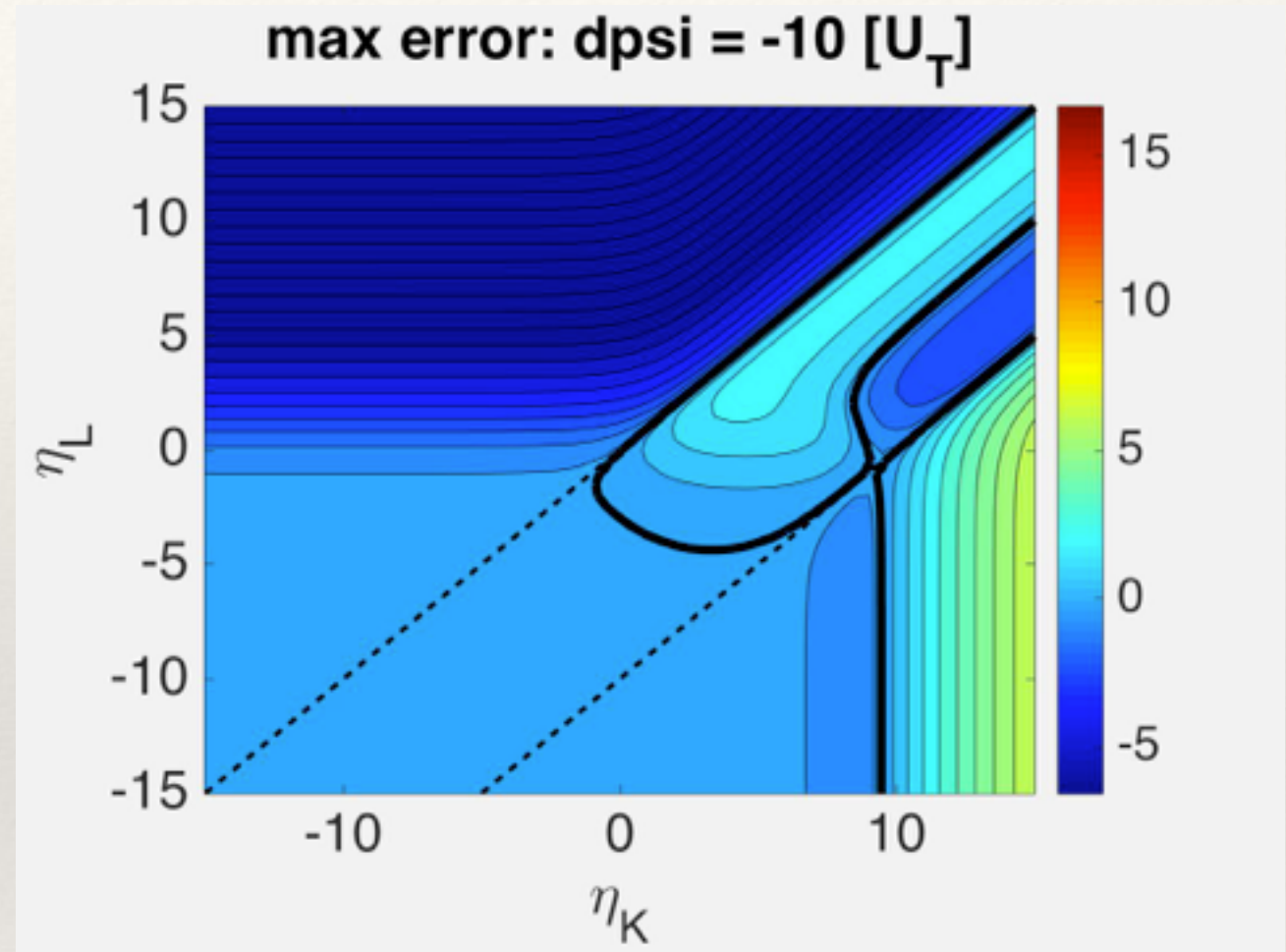
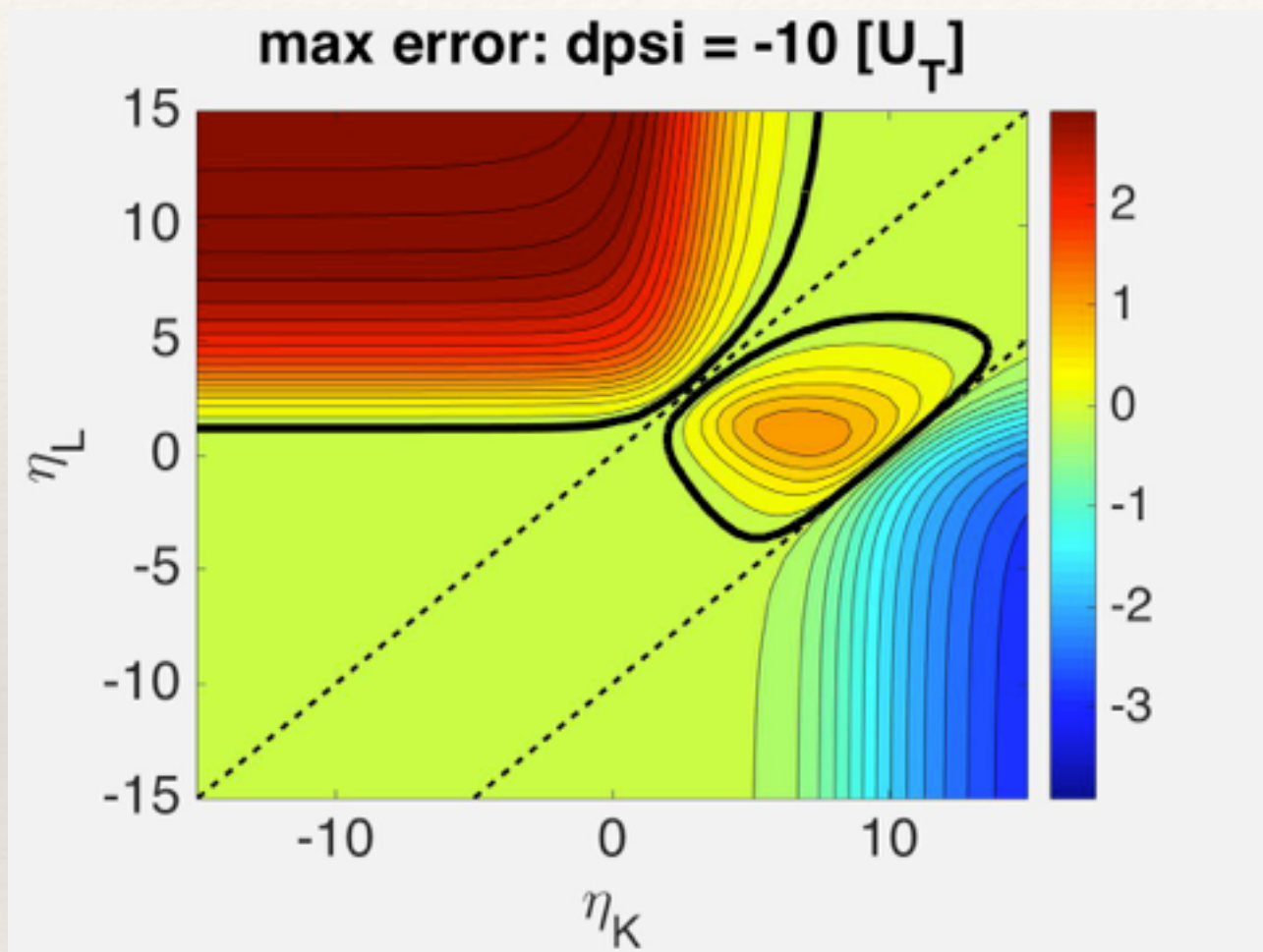


inverse act. vs general SG





# Errors between schemes



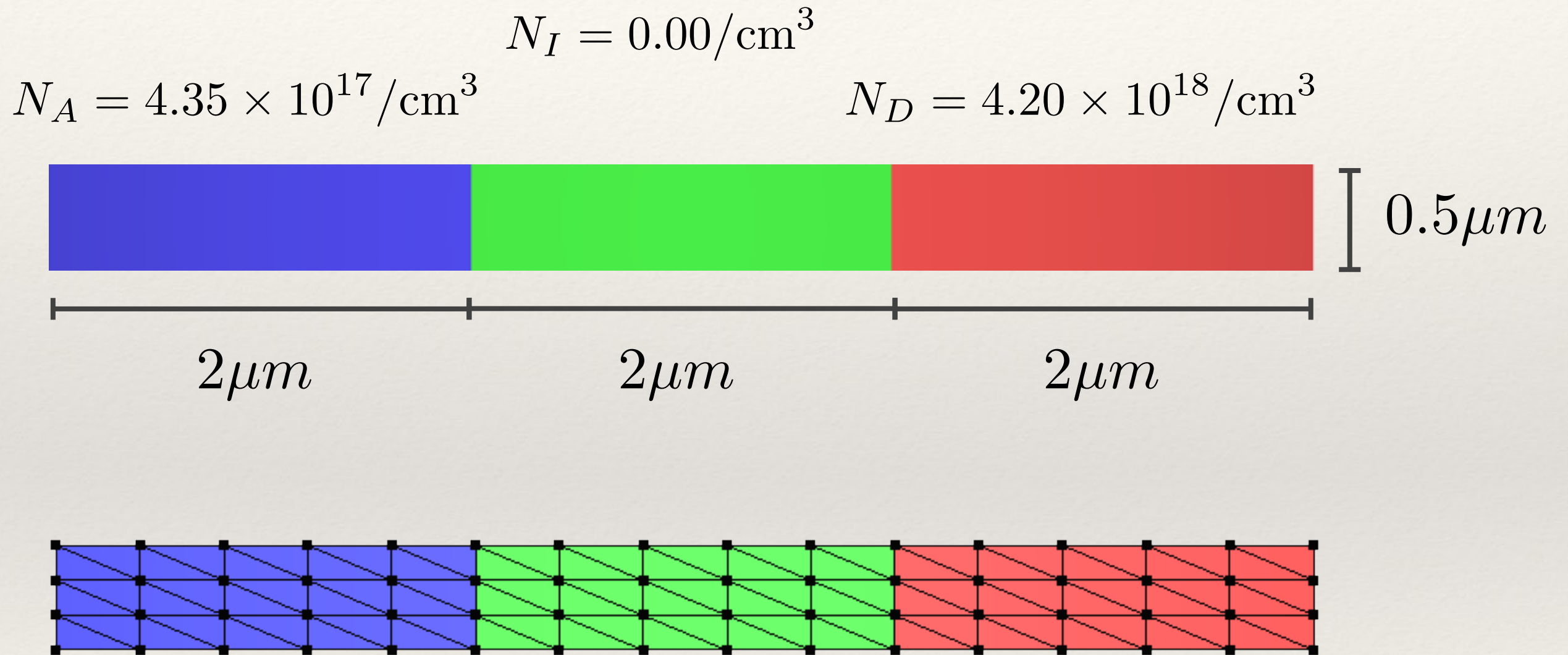
diffusion enhanced vs general SG



inverse act. vs general SG



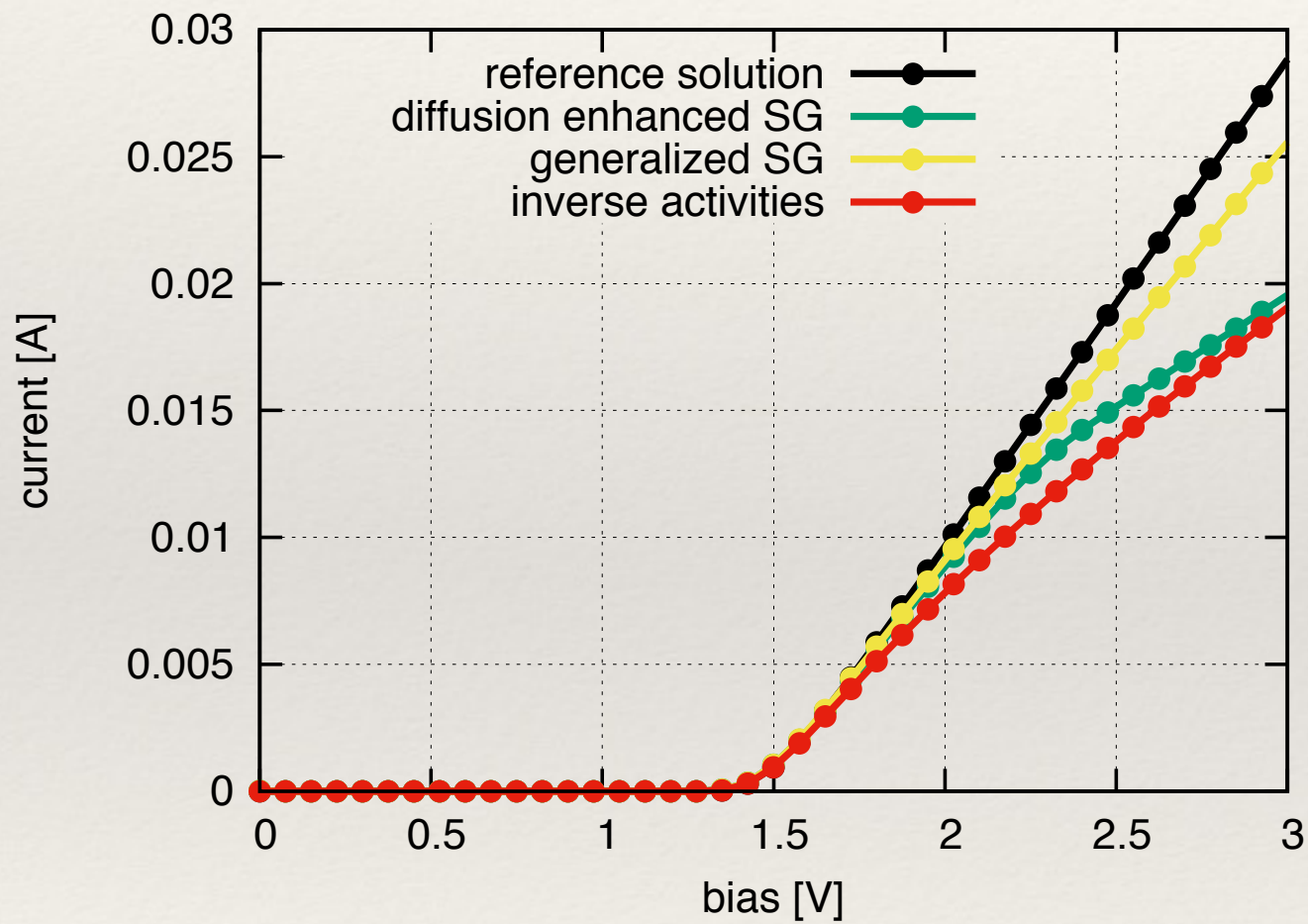
# pin



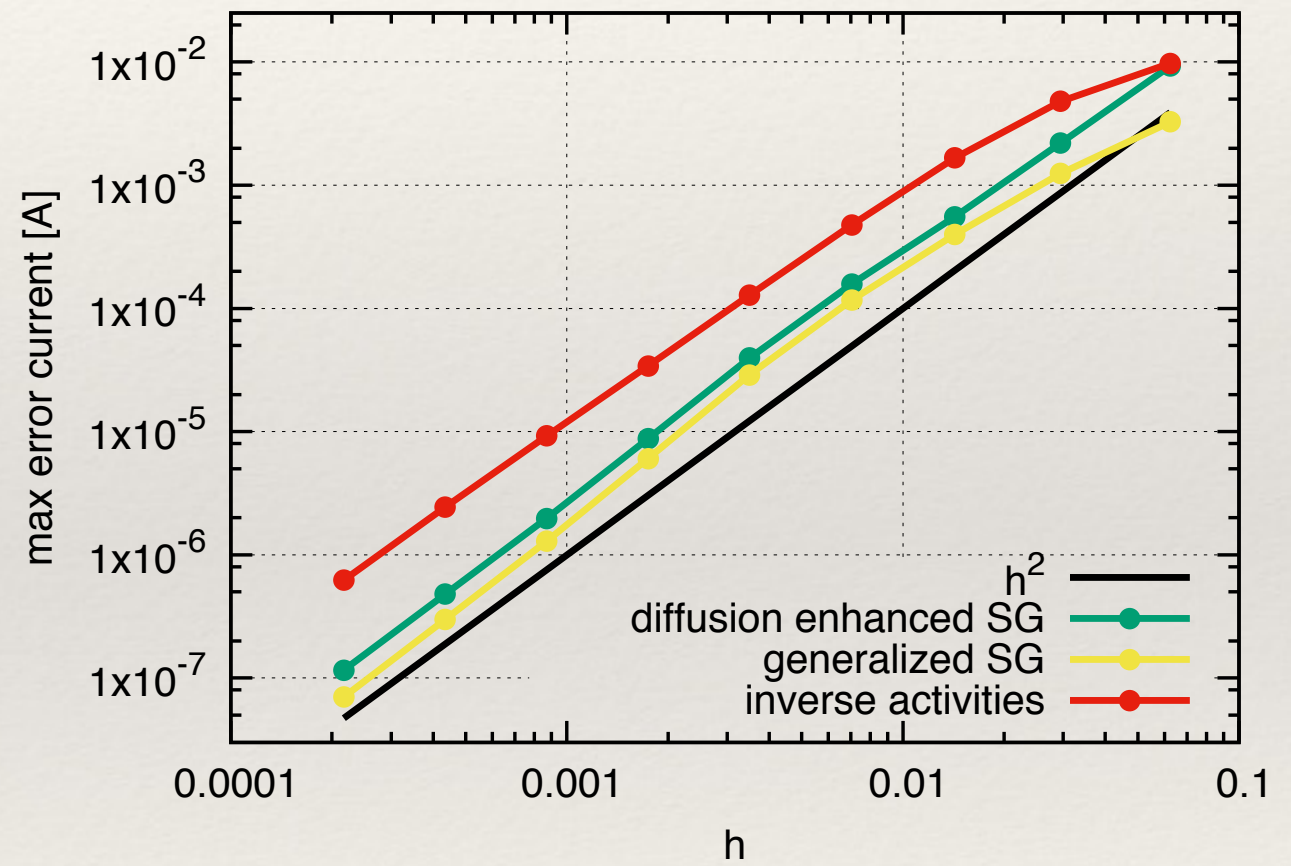
How do schemes influence current and electrostatic potential?

# IV curves

refinement level: 1



max errors IV curves





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# Results

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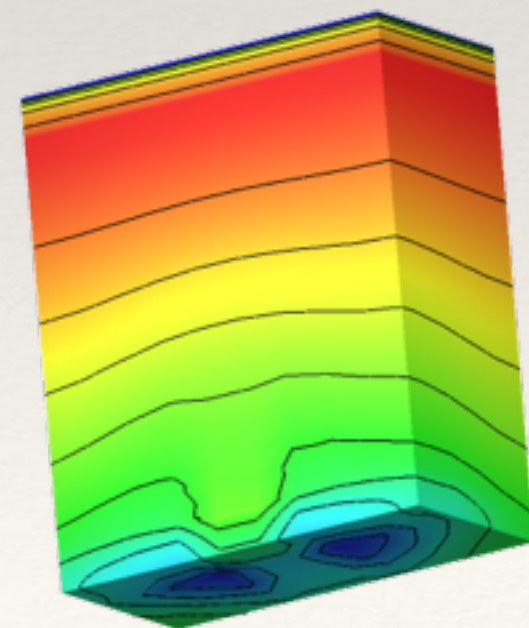
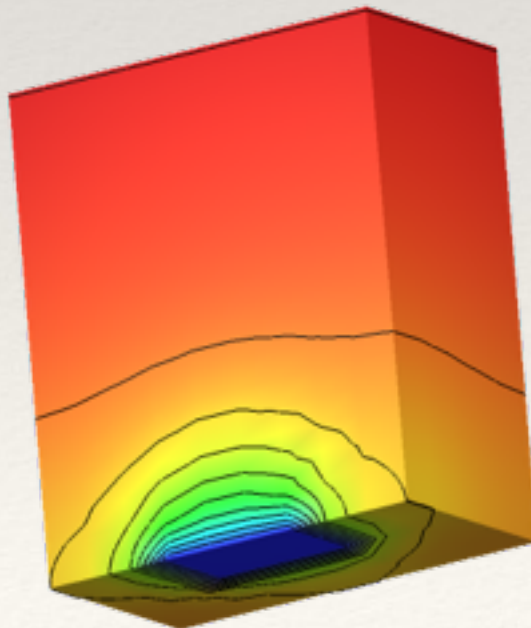
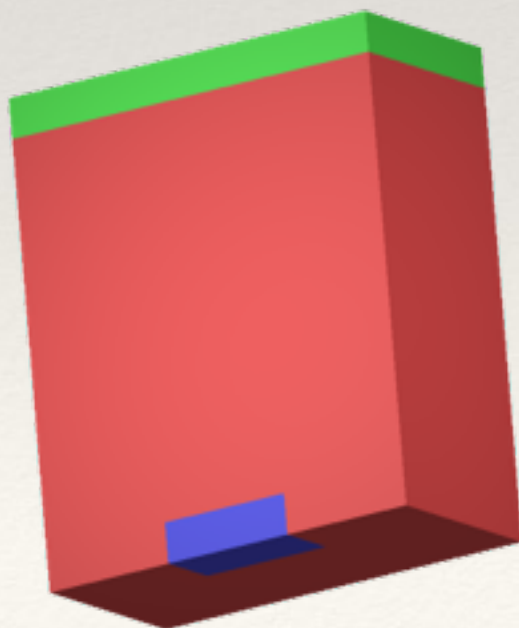
- ❖ three thermodynamically consistent schemes (Blakemore)
- ❖ all schemes converge to SG for large negative  $\eta$
- ❖ pin benchmark useful to discriminate accuracy
- ❖ exact scheme yields best current approximation; diffusion enhanced scheme good for electrostatic field
- ❖ other factors: computation times need to be considered

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# Outlook

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- ❖ prototype: ddfermi
- ❖ moderately-sized 2D / 3D problems
- ❖ different variables: heterostructure
- ❖ we welcome applications!



Muchas gracias  
por su atención!

Thank you for your attention!